

08-31-1999

PATENT



101131388

RECORDATION FORM COVER SHEET
PATENTS ONLY

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

☒ New

☐ Resubmission (Non-Recordation)
Document ID#

☐ Correction of PTO Error
Reel # Frame #

☐ Corrective Document
Reel # Frame #

Conveyance Type

☒ Assignment ☐ Security Agreement

☐ License ☐ Change of Name

☐ Merger ☐ Other

U.S. Government
(For Use ONLY by U.S. Government Agencies)

☐ Departmental File ☐ Secret File

Conveying Party(ies)

☒ Mark if additional names of conveying parties attached

Execution Date
Month Day Year
08-17-99

Name (line 1) C. Mark Newby

Name (line 2)

Second Party

Name (line 1) Michael C. Bennett

Name (line 2)

Execution Date
Month Day Year
8-17-99

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) Richard J. Rodrick, Esq. - MC089

Name (line 2) Becton Dickinson and Company

Address (line 1) 1 Becton Drive

Address (line 2)

Address (line 3) Franklin Lakes

City

New Jersey - U.S.A.

State/Country

07417

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

FOR OFFICE USE ONLY

08/30/1999 DNGUYEN 00000078 021666 09378976

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Mail documents to be recorded with required cover sheet(s) information to:

Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

REEL: 010195 FRAME: 0005

RECORDATION FORM COVER SHEET
CONTINUATION
PATENTS ONLY

U.S. Department of Commerce
Patent and Trademark Office
PATENT

Conveying Party(ies)

☒ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1) Jamie Crawford

Execution Date
Month Day Year
08-18-99

Name (line 2)

Execution Date
Month Day Year

Name (line 1)

Name (line 2)

Execution Date
Month Day Year

Name (line 1)

Name (line 2)

Receiving Party(ies)

☐ Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3) City State/Country Zip Code

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3) City State/Country Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

Correspondent Name and Address

Area Code and Telephone Number

201-847-7116

Name Richard J. Rodrick, Esq. - MC089

Address (line 1) Becton Dickinson and Company

Address (line 2) 1 Becton Drive

Address (line 3) Franklin Lakes, New Jersey 07417

Address (line 4)

Pages

Enter the total number of pages of the attached conveyance document
including any attachments.

5

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

60/098,282

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was
signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number

only if a U.S. Application Number
has not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

Number of Properties

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$

40.00

Method of Payment:

Enclosed ☐Deposit Account ☒

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

02-1666

Authorization to charge additional fees:

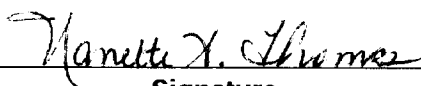
Yes ☒No ☐

Statement and Signature

*To the best of my knowledge and belief, the foregoing information is true and correct and any
attached copy is a true copy of the original document. Charges to deposit account are authorized, as
indicated herein.*

Nanette S. Thomas, Esq.

Reg. No. 33,310



August 23, 1999

Name of Person Signing

Signature

Date

ASSIGNMENT

Serial No.:

Filed:

WHEREAS, C. Mark Newby 186 Maplebrook, Tuxedo, New York 10987 and Michael C. Bennett, residing at 216 Mountain Avenue, Summit, New Jersey 07901 citizens of the United States of America and Jamie Crawford, residing at 250 West 105th Street, Apt. 3-G, New York, New York 10025 , citizen of Great Britain (hereinafter called "Assignors"), have made certain new and useful inventions or discoveries relating to:

SAFETY SHIELD ASSEMBLY

for which they have executed a Declaration for an application for Letters Patent of the United States of America; and

WHEREAS, BECTON, DICKINSON AND COMPANY,

a corporation of the State of New Jersey, (hereinafter called "Assignee"), is desirous of acquiring the entire right, title and interest therein.

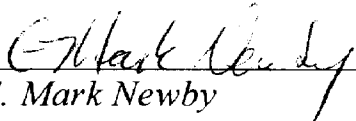
NOW, THEREFORE, BE IT KNOWN that for and in consideration of the premises and in acknowledgment, confirmation and performance of obligations which arose out of the terms and conditions of Assignors' employment by Assignee at the time the invention was made, and other valuable considerations to them, the receipt and sufficiency of which are hereby acknowledged, Assignors have assigned, and transferred, and do hereby assign, and transfer unto said Assignee the entire right, title, and interest in and to all said inventions and discoveries disclosed in said application, whose identification above by serial number and filing date, when available, is hereby authorized, and in and to said application, all substitutions, divisions and continuations or continuations-in-part, thereof, and in and to all Letters Patents, United States and foreign, that may be granted for said inventions and discoveries, and in and to all extensions, renewals and reissues thereof, the same to be held and enjoyed by said Assignee, its successors and assigns, as fully and entirely as the same would have been held and enjoyed by Assignors if this Assignment and sale had not been made; and

Assignors hereby authorize and request the Commissioner of Patents and Trademarks of the United States to issue said Letters Patent in accordance with this Agreement; and

For the consideration aforesaid, Assignors covenant and agree with said Assignee that they have a full and unencumbered title to the inventions and discoveries above described and hereby assigned, which title they warrant unto said Assignee, its successors and assigns; and

For the consideration aforesaid, Assignors further covenant and agree that they will, whenever requested, but without cost to them promptly communicate to said Assignee or its representatives any facts known to them relating to said inventions and discoveries, testify in any interference or legal proceedings involving said inventions and discoveries, and execute any additional papers that may be necessary to enable said Assignee or its representatives, successors, nominees, or assigns to secure full and complete protection of the inventions and discoveries or that may be necessary to vest in Assignee the complete title to the inventions and discoveries and patents hereby conveyed and to enable it to record title.

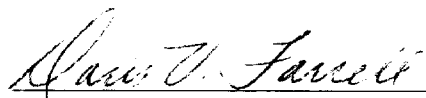
IN TESTIMONY WHEREOF, Assignors have hereunto set their hands and seals on the dates subscribed below.


C. Mark Newby

DATE: AUG. 17, 1999

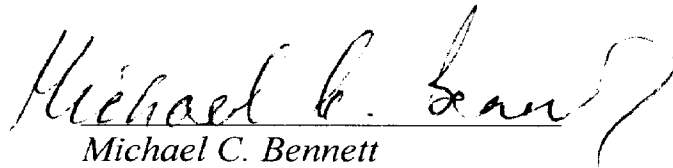
STATE OF New Jersey)
COUNTY OF Essex)

BE IT REMEMBERED, that on this 17th day of August, 1999, before me, a Notary Public, personally appeared C. Mark Newby, who I am satisfied is the person named in and who executed the foregoing instrument in my presence, I and having first made known to him the contents thereof, she did acknowledge that she signed, sealed, and delivered the same as her voluntary act and deed for the uses and purposes therein expressed.


Notary Public
My Commission Expires:

DORIS V. FARRELL
A Notary Public of New Jersey
My Commission Expires Feb. 25, 2004

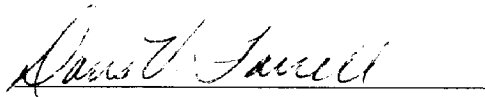
IN TESTIMONY WHEREOF, Assignors have hereunto set their hands and seals on the dates subscribed below.


Michael C. Bennett

DATE: Aug 17, 99

STATE OF New Jersey)
COUNTY OF Bergen)

BE IT REMEMBERED, That on this 17th day of August, 1999, before me, a Notary Public, personally appeared Michael C. Bennett, who I am satisfied is the person named in and who executed the foregoing instrument in my presence, and I having first made known to him the contents thereof, he did acknowledge that he signed, sealed, and delivered the same as his voluntary act and deed for the uses and purposes therein expressed.


Notary Public
My Commission Expires:

DORIS V. FARRELL
A Notary Public of New Jersey
My Commission Expires Feb. 25, 2004

IN TESTIMONY WHEREOF, Assignors have hereunto set their hands and seals on the dates subscribed below.

Jamie Crawford
Jamie Crawford

DATE: August 18, 1999

STATE OF New Jersey,
COUNTY OF Bergen)

BE IT REMEMBERED, That on this 18th day of August, 1999, before me, a Notary Public, personally appeared Jamie Crawford, who I am satisfied is the person named in and who executed the foregoing instrument in my presence, and I having first made known to him the contents thereof, he did acknowledge that he signed, sealed, and delivered the same as his voluntary act and deed for the uses and purposes therein expressed.

Doris V. Farrell
Notary Public
My Commission Expires:

DORIS V. FARRELL
A Notary Public of New Jersey
My Commission Expires Feb. 25, 2004

Doc. No.: 10892