

09-02-1999

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

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HEET

101132329

Attorney Docket No.: 039592-5097

To the Assistant Commissioner for Patents:

Please record the attached original documents or copy thereof

ATTN: BOX ASSIGNMENT

1. Name of conveying party(ies):

- 1. Mark D. CLARK
- 2. Bradley J. HELMER
- 3. Glenn L. SHOAF

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Eastman Chemical Company

Internal Address:

8-19-99

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
- ☐ Security Agreement ☐ Change of Name
- ☐ Other

Execution Date: 1) August 3, 1999, 2) August 3, 1999,
3) August 4, 1999

Street Address: P.O. Box 511

City: Kingsport

State: TN Zip: 37662-5075

Additional name(s) & address(es) attached?

☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application the execution date of the application is:

A. Patent Application No.(s) 09/321,610 B. Patent No.(s)

Additional numbers attached: ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Robert J. Gaybrick
Internal Address: Customer No. 09629
Morgan, Lewis & Bockius LLP

Street Address: 1800 M Street, N.W.
City: Washington State: D.C. Zip: 20036

6. Total number of applications and patents involved: 1

7. Total fee (37 C.F.R §3.41): \$40.00

☒ Enclosed

☐ Authorized to be charged to deposit account 50-0310

8. Deposit account number: 50-0310

Attach duplicate of page if paying by deposit account

9. Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Michael T. Lindeman
MICHAEL T. LINDEMAN, 358

Jeffrey A. Lindeman, 40, 30
Name of Person Signing

Signature

August 19, 1999

Date

Total number of pages including cover sheet, attachments and documents: 3

ASSIGNMENT

WHEREAS I/We, the below named inventor(s), [hereinafter referred to as Assignor(s)], have made an invention entitled:

ACRYLIC MODIFIED WATERBORNE ALKYD OR URALKYD DISPERSIONS

for which I/WE executed an application for United States Letters Patent concurrently herewith; or on _____; or filed an application for United States Letters Patent on May 28, 1999, (Serial No. 09/321,610); and

WHEREAS, Eastman Chemical Company, a corporation of Delaware, whose post office address is P.O. Box 511, Kingsport, Tennessee 37662-5075 (hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention in all countries throughout the world, and in and to the application for United States Letters Patent on this invention and the Letters Patent to be issued upon this application;

NOW THEREFORE, be it known that, for and in consideration of the sum of One Dollar (\$1.00) in hand paid and other good and valuable consideration the receipt of which from assignee is hereby acknowledged, I/WE, as assignor(s), have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the assignee, its lawful successors and assigns, MY/OUR entire right, title, and interest in and to this invention and this application, and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues thereof, and all rights to claim priority on the basis of such application, and all applications for Letters Patent which may hereafter be filed for this invention in any foreign country and all Letters Patent which may be granted on this invention in any foreign country, and all extensions, renewals, and reissues thereof; and I/WE hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any foreign country whose duty it is to issue patents on applications as described above, to issue all Letters Patent for this invention to assignee, its successors and assigns, in accordance with the terms of this Assignment;

AND, I/WE HEREBY covenant that I/WE have the full right to convey the interest assigned by this Assignment, and I/WE have not executed and will not execute any agreement in conflict with this Assignment;

AND, I/WE HEREBY further covenant and agree that I/WE will, without further consideration, communicate with assignee, its successors and assigns, any facts known to ME/US respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States and any foreign country, it being understood that any expense incident to the execution of such papers shall be borne by the assignee, its successors and assigns.

AND, I/WE HEREBY authorize and request the attorneys I/WE have empowered in the Declaration and Power of Attorney in this application, to insert here in parentheses (Application No. 09/321,610, filed May 28, 1999) the filing date and application number of said application when known.

IN TESTIMONY WHEREOF, I/WE have hereunto set our hand(s).

County of <u>Sullivan</u>	<u>Mark D. CLARK</u> Full Name of Sole or First Assignor
State of <u>Tennessee</u>	<u>3540 McIntosh Drive, Kingsport, Tennessee 37660</u> Address
SS.	<u>Mark D. Clark</u> First Assignor's Signature
Subscribed and sworn to before me this <u>30</u> day of <u>Aug</u> , 19 <u>99</u>	<u>August 3, 1999</u> Date
<u>Elizabeth L. Wade</u> Notary Public <u>11-3-99</u>	
Names of additional inventors attached <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	

County of <u>Sullivan</u> State of <u>Tennessee</u> Subscribed and sworn to before me this <u>3rd</u> day of <u>August</u> , 19 <u>99</u> <u>Elizabeth C. Cade</u> Notary Public	SS. <u>Bradley J. HELMER</u> Full Name of Second Assignor <u>1080 Rotherwood Drive, Kingsport, Tennessee 37660</u> Address <u><i>Bradley J. Helmer</i></u> Second Assignor's Signature <u>August 3, 1999</u> Date
County of <u>Sullivan</u> State of <u>Tennessee</u> Subscribed and sworn to before me this <u>4th</u> day of <u>August</u> , 19 <u>99</u> <u>Elizabeth C. Cade</u> Notary Public	SS. <u>Glenn L. SHOAF</u> Full Name of Second Assignor <u>2336 Kings Bay Drive, Kingsport, Tennessee 37660-5015</u> Address <u><i>Glenn L. Shoaf</i></u> Second Assignor's Signature <u>August 4, 1999</u> Date
Names of additional inventors attached <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	