

09-02-1999



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To the Honorable Commissioner of Patents and Trademarks

and original documents or copy thereof.

1. Name of conveying party(ies):  
KUNIIHIKO MORI; YOSHITADA KATAGIRI

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: August 23, 1999

2. Name and address of receiving party(ies):

Name: NIPPON TELEGRAPH AND TELEPHONE CORPORATION

Address: 3-1 Otemachi 2-chome, Chiyoda-ku,  
Tokyo 100-8116, Japan

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4(a). Patent Application No.(s): Filed concurrently herewith

4(b). Patent No.(s):

If this document is being filed together with a new application, the execution date of the application is: August 23, 1999

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence  
concerning document should be mailed:

Name: Roger T. Frost

Address: JONES & ASKEW, LLP  
2400 Monarch Tower  
3424 Peachtree Road, N.E.  
Atlanta, Georgia 30326

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41) enclosed: \$ 40.00

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8. Statement and signature.

**Express Mail Label No. EL329527278US**

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document*

Roger T. Frost

Name of Person Signing

[Signature]  
Signature

August 26, 1999

Date

Attorney Docket No: 13700-0198

Total number of pages including cover sheet: 2

Mail documents to be recorded with required cover sheet information to:

Assistant Commissioner for Patents  
Box Assignments  
Washington, DC 20231

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ASSIGNMENT

N77-98

The undersigned Inventor(s),

in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, does(do) hereby sell, transfer, and assign to the Assignee, NIPPON TELEGRAPH AND TELEPHONE CORPORATION, a corporation organized and existing under the laws of Japan, and having a place of business at 3-1, Otemachi 2-chome, Chiyoda-ku, Tokyo, 100-8116, Japan, the full, exclusive, and entire right, title, and interest for the territory of the United States of America, in and to the invention entitled

## METHOD FOR MANUFACTURING OPTICAL FILTER

as described and claimed in the application for Letters Patent filed by us on or about \_\_\_\_\_; and the full, exclusive and entire right, title, and interest in and to said application, in and to any divisions, continuations, reexaminations, and reissues thereof; and I(we) hereby request the Commissioner of Patents and Trademarks of the United States of America to issue said Letters Patent to the Assignee, for its interest, for the sole use of the Assignee and its successors, assigns and legal representatives, as fully and entirely as the same would have been held by us had this assignment not been made;

AND, for the same consideration, I(we) agree to sign all lawful papers, execute all division, continuing, reexamination, reissue and other applications, make all assignments and rightful oaths, and generally do everything possible to the Assignee, its successors, assigns, and nominees, to obtain and enforce proper protection for all said inventions and improvements in the United States of America.

I (We) hereby authorize and request our attorney, Roger T. Frost, Reg. No. 22,176, to insert here in parentheses the application number (App. No. \_\_\_\_\_) and filing date (\_\_\_\_\_) of said application when known.

Date Signed: August 23, 1999

Kunihiko MORI

Kunihiko MoriDate Signed: August 23, 1999

Yoshitada KATAGIRI

Yoshitada Katagiri

Date Signed: \_\_\_\_\_ 1999

Date Signed: \_\_\_\_\_ 1999

Date Signed: \_\_\_\_\_ 1999

Date Signed: \_\_\_\_\_ 1999

Date Signed: \_\_\_\_\_ 1999