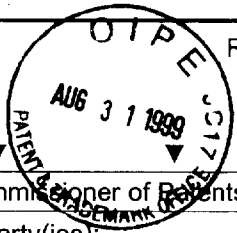


8-31-99

Docket No.: 1038-958 MIS:jb0.

FORM PTO-1595 (Modified)
(Rev. 6-93)
OMB No. 0651-0011 (exp. 4/94)
Copyright 1996-97 LegalStar
P08A/REV02



RECORD PA

09-02-1999

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office



101133102

Tab settings → → →

To the Honorable Commissioner of Patents and Tr.

Original documents or copy thereof.

1. Name of conveying party(ies):
Kenneth K. Sokoll, Pele Chong, and Michel H. Klein

Additional names(s) of conveying party(ies) Yes No

2. Name and address of receiving party(ies):
Name: **Connaught Laboratories Limited**
Address: **1755 Steeles Avenue West**

3. Nature of conveyance:
 Assignment Merger
 Security Agreement Change of Name
 Other

Execution Date: **August 10, July 19 and July 2, 1999**

City: **Toronto** State/Prov.: **Ontario**
Country: **Canada** ZIP: **M2R 3T4**

Additional name(s) & address(es) Yes No

4. Application number(s) or registration numbers(s):
If this document is being filed together with a new application, the execution date of the application is: _____

Patent Application No.	Filing date
09/331,118	December 19, 1997

Additional numbers Yes No

B. Patent No.(s)

Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:
Name: **Michael I. Stewart**
Registration No. **24,973**
Address: **6th Floor, 330 University Avenue**

City: **Toronto** State/Prov.: **Ontario**
Country: **Canada** ZIP: **M5G 1R7**

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41):.....\$ **120.00**

Enclosed - Any excess or insufficiency should be credited or debited to deposit account
 Authorized to be charged to deposit account

8. Deposit account number: _____

09/01/1999 DAGOYEN 00000223 09331118

DO NOT USE THIS SPACE

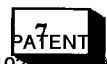
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9. Statement and signature.
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Michael I. Stewart (24,973) *Michael I. Stewart* **August 30, 1999**

Name of Person Signing Signature Date

Total number of pages including cover sheet, attachments, and



REF: 010200 PRIME-0292

13 AUG 1999

ASSIGNMENT

WHEREAS, we, KENNETH K. SOKOLL; ~~PELE CHONG;~~ and ~~MICHEL H. KLEIN;~~ citizens of Canada residing respectively at 43 Dod's Drive, Alton, Ontario, Canada, L0N 1A0; ~~32 Esteril Street, Richmond Hill, Ontario, Canada, L4C 0B6;~~ and ~~16 Munro Boulevard, Willowdale, Ontario, Canada, M2P 4B9,~~ have invented certain new and useful improvements in **BIODEGRADABLE TARGETABLE MICROPARTICLE DELIVERY SYSTEM**, for which invention we have filed an International patent application No. PCT/CA97/00980 on December 19, 1997; and

WHEREAS, we verily believe ourselves to be the original, first and co-inventors of the invention set forth in said application for Letters Patent; and

WHEREAS, CONNAUGHT LABORATORIES LIMITED, a company organized and existing under the laws of the Province of Ontario, sometimes referred to as "said assignee" having a place of business at 1755 Steeles Avenue West, North York, Ontario, Canada, M2R 3T4, are desirous of acquiring the entire and exclusive right, title and interest in and to said invention, any and all patent applications which may be filed thereon, and any and all Letters Patent which may be granted or issued thereon in the United States and throughout the world, including any and all divisions, continuations, reissues and extensions of any of the foregoing;

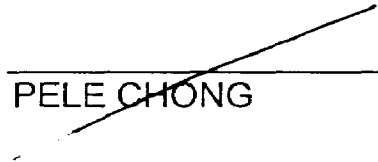
NOW, THEREFORE, in consideration of the sum of Ten Dollars (\$10.00), to us in hand paid by the said CONNAUGHT LABORATORIES LIMITED, and other good and valuable consideration, receipt of which is hereby acknowledged, we, the said KENNETH K. SOKOLL; ~~PELE CHONG;~~ and ~~MICHEL H. KLEIN,~~ have sold, assigned, transferred and set over and do hereby sell, assign, transfer and set over unto the said assignee, its successors and assigns, the entire and exclusive right, title and interest in and to said invention, patent applications and Letters Patent which may be granted or issued for said invention in the United States and throughout the world, including all divisions, continuations, reissues and extensions thereof and all international priority rights associated therewith all to be held by us had this assignment not been made, and we hereby authorize and request the Commissioner of Patents and Trademarks of the United

States and the duly constituted authorities of all foreign countries of the world to issue all Letters Patent relating to the foregoing rights to said assignee, its successors and assigns;

AND we further agree that we will promptly, upon request and without further compensation, do all lawful acts including the execution of all necessary documents, and the giving of testimony that in the opinion of the said assignee, its successors and assigns may be necessary or desirable for obtaining, sustaining, or reissuing United States and foreign Letters Patent relating to the foregoing assigned rights, and for perfecting, affirming, recording and maintaining the title of said assignee, its successors and assigns thereto, and that we will generally cooperate to the fullest extent in all matters pertaining to said invention and patents, and said assignee's title thereto.

IN WITNESS WHEREOF, we have hereunto set our hands and seal this 10 day of August, 1999.


KENNETH K. SOKOLL


PELE CHONG


MICHEL H. KLEIN

On this 10th day of August, 1999, before me, the subscribers, personally appeared KENNETH K. SOKOLL; PELE CHONG; and MICHEL H. KLEIN, to me known to be the same persons described in and who executed the foregoing instrument, and they acknowledged that they executed the same.

Je soussigné M^{me} Hervé POMMERY
Notaire à Paris
Certifie matériellement
la signature de
Monsieur Kenneth K. SOKOLL

Notary Public

Apposé sur le présent document



ASSIGNMENT

WHEREAS, we, ~~KENNETH K. SOKOLL; PELE CHONG; and MICHEL H. KLEIN~~, citizens of Canada residing respectively at ~~43 Dod's Drive, Alton, Ontario, Canada, L0N 1A0~~; 32 Estoril Street, Richmond Hill, Ontario, Canada, L4C 0B6; and ~~16 Munro Boulevard, Willowdale, Ontario, Canada, M2P 1B9~~, have invented certain new and useful improvements in **BIODEGRADABLE TARGETABLE MICROPARTICLE DELIVERY SYSTEM**, for which invention we have filed an International patent application No. PCT/CA97/00980 on December 19, 1997; and

WHEREAS, we verily believe ourselves to be the original, first and co-inventors of the invention set forth in said application for Letters Patent; and

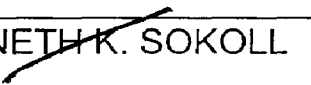
WHEREAS, CONNAUGHT LABORATORIES LIMITED, a company organized and existing under the laws of the Province of Ontario, sometimes referred to as "said assignee" having a place of business at 1755 Steeles Avenue West, North York, Ontario, Canada, M2R 3T4, are desirous of acquiring the entire and exclusive right, title and interest in and to said invention, any and all patent applications which may be filed thereon, and any and all Letters Patent which may be granted or issued thereon in the United States and throughout the world, including any and all divisions, continuations, reissues and extensions of any of the foregoing;

NOW, THEREFORE, in consideration of the sum of Ten Dollars (\$10.00), to us in hand paid by the said CONNAUGHT LABORATORIES LIMITED, and other good and valuable consideration, receipt of which is hereby acknowledged, we, the said ~~KENNETH K. SOKOLL; PELE CHONG; and MICHEL H. KLEIN~~, have sold, assigned, transferred and set over and do hereby sell, assign, transfer and set over unto the said assignee, its successors and assigns, the entire and exclusive right, title and interest in and to said invention, patent applications and Letters Patent which may be granted or issued for said invention in the United States and throughout the world, including all divisions, continuations, reissues and extensions thereof and all international priority rights associated therewith all to be held by us had this assignment not been made, and we hereby authorize and request the Commissioner of Patents and Trademarks of the United

States and the duly constituted authorities of all foreign countries of the world to issue all Letters Patent relating to the foregoing rights to said assignee, its successors and assigns;

AND we further agree that we will promptly, upon request and without further compensation, do all lawful acts including the execution of all necessary documents, and the giving of testimony that in the opinion of the said assignee, its successors and assigns may be necessary or desirable for obtaining, sustaining, or reissuing United States and foreign Letters Patent relating to the foregoing assigned rights, and for perfecting, affirming, recording and maintaining the title of said assignee, its successors and assigns thereto, and that we will generally cooperate to the fullest extent in all matters pertaining to said invention and patents, and said assignee's title thereto.

IN WITNESS WHEREOF, we have hereunto set our hands and seal this *19* day of *July*, 1999.


KENNETH K. SOKOLL


PELE CHONG


MICHEL H. KLEIN

On this *19* day of *July*, 1999, before me, the subscribers, personally appeared ~~KENNETH K. SOKOLL~~; PELE CHONG; and ~~MICHEL H. KLEIN~~, to me known to be the same persons described in and who executed the foregoing instrument, and they acknowledged that they executed the same.


Notary Public

**GAVIN ROSS ZENLEY, Notary Public, City of Toronto,
limited to the attestation of instruments and the taking of
affidavits, for Connaught Laboratories Limited,
Expires August 11, 2001.**

ASSIGNMENT

WHEREAS, we, ~~KENNETH K. SOKOLL; PELE CHONG;~~ and MICHEL H. KLEIN, citizens of Canada residing respectively at ~~43 Dod's Drive, Alton, Ontario, Canada, L0N 1A0; 32 Estoril Street, Richmond Hill, Ontario, Canada, L4C 0B6;~~ and 16 Munro Boulevard, Willowdale, Ontario, Canada, M2P 1B9, have invented certain new and useful improvements in **BIODEGRADABLE TARGETABLE MICROPARTICLE DELIVERY SYSTEM**, for which invention we have filed an International patent application No. PCT/CA97/00980 on December 19, 1997; and

WHEREAS, we verily believe ourselves to be the original, first and co-inventors of the invention set forth in said application for Letters Patent; and

WHEREAS, CONNAUGHT LABORATORIES LIMITED, a company organized and existing under the laws of the Province of Ontario, sometimes referred to as "said assignee" having a place of business at 1755 Steeles Avenue West, North York, Ontario, Canada, M2R 3T4, are desirous of acquiring the entire and exclusive right, title and interest in and to said invention, any and all patent applications which may be filed thereon, and any and all Letters Patent which may be granted or issued thereon in the United States and throughout the world, including any and all divisions, continuations, reissues and extensions of any of the foregoing;

NOW, THEREFORE, in consideration of the sum of Ten Dollars (\$10.00), to us in hand paid by the said CONNAUGHT LABORATORIES LIMITED, and other good and valuable consideration, receipt of which is hereby acknowledged, we, the said ~~KENNETH K. SOKOLL; PELE CHONG;~~ and MICHEL H. KLEIN, have sold, assigned, transferred and set over and do hereby sell, assign, transfer and set over unto the said assignee, its successors and assigns, the entire and exclusive right, title and interest in and to said invention, patent applications and Letters Patent which may be granted or issued for said invention in the United States and throughout the world, including all divisions, continuations, reissues and extensions thereof and all international priority rights associated therewith all to be held by us had this assignment not been made, and we hereby authorize and request the Commissioner of Patents and Trademarks of the United

States and the duly constituted authorities of all foreign countries of the world to issue all Letters Patent relating to the foregoing rights to said assignee, its successors and assigns;

AND we further agree that we will promptly, upon request and without further compensation, do all lawful acts including the execution of all necessary documents, and the giving of testimony that in the opinion of the said assignee, its successors and assigns may be necessary or desirable for obtaining, sustaining, or reissuing United States and foreign Letters Patent relating to the foregoing assigned rights, and for perfecting, affirming, recording and maintaining the title of said assignee, its successors and assigns thereto, and that we will generally cooperate to the fullest extent in all matters pertaining to said invention and patents, and said assignee's title thereto.

IN WITNESS WHEREOF, we have hereunto set our hands and seal this 27 day of July, 1999.

KENNETH K. SOKOLL

PELE CHONG



MICHEL H. KLEIN

On this 27 day of July, 1999, before me, the subscribers, personally appeared KENNETH K. SOKOLL; PELE CHONG; and MICHEL H. KLEIN, to me known to be the same persons described in and who executed the foregoing instrument, and they acknowledged that they executed the same.



Notary Public

CAROL ANN GILLIS, Notary Public, Municipality of Metropolitan Toronto, limited to the attestation of instruments and the taking of affidavits, for Coughaught Laboratories Limited. Expires January 21, 2000.