

09-08-1999

U.S. DEPARTMENT OF COMMERCE

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Patent and Trademark Office
Docket No. 041758/2003

101138514

To the Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
Nanibhushan Dattagupta; C. Nagaraja Sridhar; Whei-Kuo Wu

- Individual(s)
- General Partnership
- Corporation-State
- Association
- Limited Partnership
- Other

MRD
8-26-99

2. Name and address of receiving party(ies):

Name: Applied Gene Technologies, Inc.
Internal Address:
Street Address: 6046 Cornerstone West, Suite 130
City: San Diego, State: California ZIP: 92121

Additional name(s) & address(es) attached? Yes No

Additional name(s) of conveying party(ies) attached? Yes No

3. Nature of conveyance:

- Assignment
- Security Agreement
- Other:
- Merger
- Change of Name

Execution Date: August 23, 1999 and August 24, 1999

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

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Date of Deposit August 26, 1999.

I hereby certify that this correspondence is being deposited with the United States Postal Service's "Express Mail Post Office to Addressee" service on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Germaine Sarda
Printed Name

Germaine Sarda
Signature

4. Application number(s) or patent number(s): *09/385,624*

If this document is being filed together with a new application, the execution date of the application is: August 26, 1999

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Barry S. Wilson
Foley & Lardner
402 West Broadway, Suite 2300
San Diego, CA 92101

6. Total number of applications and patents involved: 1

7. Total fee (37 C.F.R. § 3.41): \$40

- Enclosed
- Authorized to be charged to deposit account, referencing Attorney Docket (docketno)

8. Deposit account number: 50-0872

The Commissioner is hereby authorized to charge any fees under 37 C.F.R. § 1.21 that may be required by this paper, or to credit any overpayment to Deposit Account No. 50-0872.

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04 FC:581 Statement and signature. *40.00 OP*

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Name: Barry S. Wilson
Registration No: 39,431

Barry Wilson
Signature

August 26, 1999
Date

Total number of pages comprising cover sheet, attachments and document: 2

Mail documents to be recorded with required cover sheet information to:

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Washington, D.C. 20231

1526 U.S. PTO
09/385624
08/26/99

ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. Nanibhushan Dattagupta
2. C. Nagaraja Sridhar
3. Whei-Kuo Wu

hereby sells, assigns, and transfers to ASSIGNEE, Applied Gene Technologies, Inc., a California Corporation, having its statewide administrative offices located at 6046 Cornerstone West, Suite 130, San Diego, California 92121, and the successors, assigns and legal representatives of the ASSIGNEE all of it right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements in the invention entitled:

SAMPLE PROCESSING TO RELEASE NUCLEIC ACIDS FOR DIRECT DETECTION

and which is found in

- (a) U.S. patent application, entitled as above under Foley & Lardner Docket No. 041758/2003 and listing the above named persons as inventors.
- (b) U.S. patent application serial no. _____.
- (c) U.S. Patent No.: _____, issued _____.

And any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or in any continuation, division, continuation-in-part, extension or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and Legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, Applied Gene Technologies, Inc.

Executed this

24th day of August, 1999.

23rd day of August, 1999.

24th day of August, 1999.

Signature of Inventor(s)

Nanibhushan Dattagupta
Nanibhushan Dattagupta

C. Nagaraja Sridhar
C. Nagaraja Sridhar

Whei-Kuo Wu
Whei-Kuo Wu