

Tab settings = = =

RECORDED

09-08-1999



101137900

T

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Commissioner of Patents &

original documents or copy thereof.

1. Name of conveying party(ies):

Mary L. Gray Leonard-Jan-Peter Ketelsen
Harold F. Hess
Mark S. HybertsenAdditional name(s) of conveying party(ies) attached? ☐ Yes ☐ No

2. Name and address of receiving party(ies)

Name: Lucent Technologies Inc.

Internal Address:

AUG 31 1999

Street Address: 600 Mountain Avenue

P. O. Box 636

City: Murray Hill State: NJ ZIP: 07974-0636

Additional name(s) & address(es) attached? ☐ Yes ☐ No

3. Nature of conveyance:

8-31-99

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: 7/26/99, 7/17/99, 7/16/99, 8/6/99

4. Application number(s) or patent number(s): 09/305,712

If this document is being filed together with a new application, the execution date of the application is: 7/26/99, 7/17/99,

7/16/99, 8/6/99

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Wendy W. Koba, Esq.

Internal Address:

09/03/1999 YGIZAW 00000075 122325 09305712

03 FC:381 40.00 CH

Street Address: PO Box 556

City: Springtown State: PA ZIP: 18081

6. Total number of applications and patents involved: ☐

7. Total fee (37 CFR 3.41).....\$ 40.00

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number: 12-2325

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

40E

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Wendy W. Koba

Name of Person Signing

Wendy W. Koba

Signature

8/31/99

Date

Total number of pages including cover sheet, attachments, and document: ☐

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments

Washington, D.C. 20231

PATENT

REEL: 010210 FRAME: 0904

FD

ASSIGNMENT AND AGREEMENT

For value received, we, Mary L. Gray of Wyomissing in the County of Berks and State of Pennsylvania, Harald F. Hess of San Diego in the County of San Diego and State of California, Mark S. Hybertsen of West Orange in the County of Essex and State of New Jersey, and Leonard Jan-Peter Ketelsen of Clinton in the County of Hunterdon and State of New Jersey hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey 07974-0636, U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **Photorefectance Spectral Analysis of Semiconductor Laser Structures** described in an application for Letters Patent of the United States, Serial No. 09/305,712, filed May 5, 1999 and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. they may designate.

We agree that, when requested, we shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

Mary L. Gray
Mary L. Gray

Date: July 26, 1999

United States of America)

State of Pennsylvania) ss.:

County of Lehigh)

On this 26th day of July, 1999, before me personally came Mary L. Gray, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Diane L. Hughes
Notary Public



Harald F. Hess
Harald F. Hess

Date: July 17 1999

United States of America)

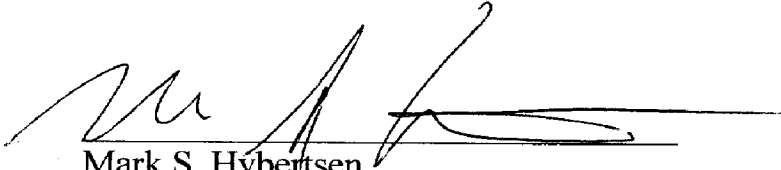
State of California) ss.:

County of San Diego)

On this 17 day of July, 1999, before me personally came Harald F. Hess, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.



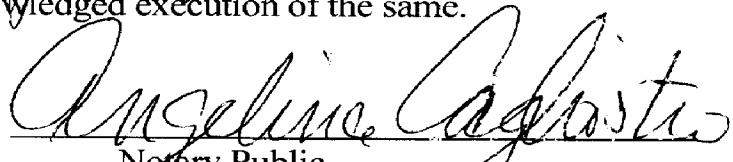
Irma L. Salas
Notary Public


Mark S. HybertsenDate: 7/14/99

United States of America)

State of New Jersey) ss.:County of Union)

On this 16th day of July, 19 99, before me personally came Mark S. Hybertsen, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.


Notary Public

ANGELINA CAGLIOSTRO
A NOTARY PUBLIC OF NEW JERSEY
My Commission Expires 1/21/2004


Leonard Jan-Peter Ketelsen

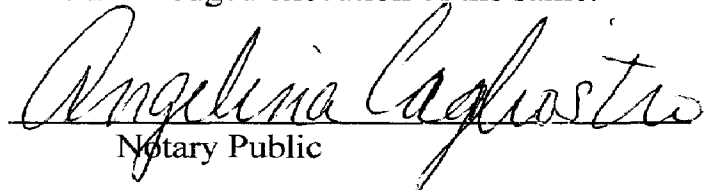
Date: 8/6/99

United States of America)

State of New Jersey) ss.:

County of Union)

On this 6th day of August, 19 99, before me personally came Leonard Jan-Peter Ketelsen, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.


Notary Public

ANGELINA CAGLIOSTRO
A NOTARY PUBLIC OF NEW JERSEY
My Commission Expires 1/21/2004