

09-09-1999

Attorney Docket No.: 05189/013001

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9/7/99

Assistant Commissioner for Patents: Please

1. Name of conveying party(ies):

Mau-Phon Houg, Yeong-Her Wang and  
Chien-Jung Huang

2. Name and address of receiving party(ies):

National Science Council  
18Fl., 106 Ho-Ping E. Road, Sec. 2  
Taipei, Taiwan, 106, R.O.C.Additional name(s) attached? ☐ Yes ☒ NoAdditional names/addresses attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment  
☐ Merger  
☐ Security Agreement  
☐ Change of Name  
☐ Other:

Execution Date: Mau-Phon Houg August 26, 1997

Yeong-Her Wang August 26, 1997

Chien-Jung Huang August 26, 1997

4. Application number(s) or patent number(s):

If this document is being filed with a new application, the execution date of the application is:

A. Patent Application No.(s):

08/922,186

B. Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No5. Name/address of party to whom correspondence  
concerning document should be mailed:Y. Rocky Tsao  
Fish & Richardson P.C.  
225 Franklin Street  
Boston, MA 02110-2804

6. Total number of applications/patents involved: 1

7. Total fee (37 CFR 3.41): \$40

- ☒ Enclosed  
☐ Authorized to charge deposit account

8. Deposit account number: 06-1050

If the fee above is being charged to deposit account, a  
duplicate copy of this cover sheet is attached. Please  
apply any additional charges, or any credits, to our  
Deposit Account No. 06-1050.

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9. Statement and signature: *To the best of my knowledge and belief, the foregoing information is true and correct and the  
attached is the original document.*

Y. Rocky Tsao

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 3

09/08/1999 NTHAI1 00000256 08922186

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40.00 OP

Date of Deposit

September 3, 1999  
I hereby certify under 37 CFR 1.8(a) that this correspondence is being  
deposited with the United States Postal Service as **first class mail**  
with sufficient postage on the date indicated above and is addressed to  
the Assistant Commissioner for Patents, Washington, D.C. 20231.Diane M. Saturno  
Diane M. Saturno  
PATENT

REEL: 010214 FRAME: 0573

**ASSIGNMENT**

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged, the undersigned do hereby:

SELL, ASSIGN AND TRANSFER to National Science Council (the "Assignee"), whose post office address is 18 Fl., 106 Ho-Ping E. Road, Section 2, Taipei, Taiwan, 106, the entire right, title and interest for the United States and all foreign countries in and to any and all improvements which are disclosed in the application for United States Letters Patent, which has been executed by the undersigned on Aug. 26, 1997, and is entitled "LIQUID PHASE DEPOSITION METHOD FOR GROWING SILICON DIOXIDE FILM ON III-V SEMICONDUCTOR SUBSTRATE TREATED WITH AMMONIUM HYDROXIDE" such application and all divisional, continuing, substitute, renewal, reissue and all other applications for patent which have been or shall be filed in the United States and all foreign countries on any of such improvements; all original and reissued patents which have been or shall be issued in the United States and all foreign countries on such improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application in the United States;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such improvements to the Assignee;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned;

COVENANT, that when requested and at the expense of the Assignee, to carry out in good faith the intent and purpose of this Assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all such improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the Assignee all facts known to the undersigned relating to such improvements and the history thereof; and generally do everything possible which the Assignee shall consider desirable for securing, maintaining and enforcing proper patent protection for such improvements and for vesting title to such improvements in the Assignee;

TO BE BINDING on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignee.

Mau-phon HUNG  
Mau-Phon HOUNG

Aug. 26, 1997  
Date

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Department of Electrical Engineering  
National Cheng-Kung University  
Tainan, Taiwan

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Aug. 26, 1997  
Date

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Chien-Jung HUANG  
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Date

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-- End --