

09-10-1999

Docket No.: 396.37477X(

FORM PTO-1595
1-31-92

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U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

8-31.99

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Yoshikazu SHIMA, Takafumi ABE

2. Name and address of receiving party(ies):

Name: Mitsubishi Gas Chemical Company, Inc.

Street Address: 5-2, Marunouchi 2-chome, Chiyoda-ku

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

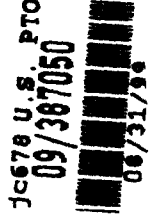
3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____

Execution Date: August 3, 1999

City: Tokyo:

Country: Japan

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: August 3, 1999

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: ANTONELLI, TERRY, STOUT & KRAUS, LLP

Internal Address:

Street Address: 1300 North Seventeenth Street
Suite 1800

City: Arlington State: VA Zip: 22209

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41)\$40.00

☒ Enclosed☒ Any deficiencies may be charged to deposit account☐ Authorized to be charged to deposit account

8. Deposit account number: 01-2135

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*William I. Solomon

Name of Person Signing

Signature

August 31, 1999

Date

Attorney Registration No.

Total number of pages including cover sheet, attachments, and document: 2

OMB No. 0651-0011 (exp. 4/94)

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02 FC:581

40.00 DP

PATENT
REEL: 010215 FRAME: 0743

ASSIGNMENT

For good and valuable consideration, the sufficiency and receipt of which is hereby acknowledged, as a below named inventor, I hereby sell and assign to Mitsubishi Gas Chemical Company, Inc. of 5-2, Marunouchi 2-chome, Chiyoda-ku, Tokyo, Japan its successors and assigns or other legal representatives all my rights, title and interest, in and for the United States of America, in and to

PROCESS FOR PRODUCING ALLYL 2-HYDROXYISOBUTYRATE

invented by me (if only one is named below) or us (if plural inventors are named below) and described in the application for United States Letters Patent therefor, executed by me even date herewith, and all United States Letters Patent which may be granted therefor, and all divisions, continuations and extensions thereof, the said interest being the entire ownership of the said Letters Patent when granted, to be held and enjoyed by said Mitsubishi Gas Chemical Company, Inc., its successors, assigns or other legal representatives, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me or us if this assignment and sale had not been made;

And I hereby agree to sign and execute any further documents or instruments which may be necessary, lawful, and proper in the prosecution of the above-named application or in the preparation and prosecution of any continuing, continuation-in-part, substitute, divisional, renewal, reviewed or reissue applications or in any amendment, extension, or interference proceedings, or otherwise to secure the title thereto in said assignee;

And I do hereby authorize and request the Commissioner of Patents to issue said Letters Patent to said Mitsubishi Gas Chemical Company, Inc.

- | | (Full Name) | (Signature) |
|---|------------------------|--------------|
| 1) Date <u>Aug. 3, 1999</u> Inventor <u>Yoshikazu SHIMA</u> | <u>Yoshikazu Shima</u> | <u>Shima</u> |
| Residence <u>Niigata-ken, Japan</u> | <u>Japanese</u> | |
| Post Office Address <u>c/o Mitsubishi Gas Chemical Company, Inc., Niigata Research Laboratory, 182, Aza-Shinwari, Tayuhama, Niigata-shi, Niigata-ken, Japan</u> | | |
| 2) Date <u>Aug. 3, 1999</u> Inventor <u>Takafumi ABE</u> | <u>Takafumi Abe</u> | <u>Abe</u> |
| Residence <u>Niigata-ken, Japan</u> | <u>Japanese</u> | |
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| 3) Date _____ Inventor _____ | | |
| Residence _____ | Citizenship _____ | |
| Post Office Address _____ | | |
| 4) Date _____ Inventor _____ | | |
| Residence _____ | Citizenship _____ | |
| Post Office Address _____ | | |
| 5) Date _____ Inventor _____ | | |
| Residence _____ | Citizenship _____ | |
| Post Office Address _____ | | |

Witness(es)

All) _____ 2) _____ 4) _____
1) _____ 3) _____ 5) _____