

09-10-1999

Docket No.: 1000.4B

FORM PTO-1595 (Mod)  
(Rev. 8-93)  
OMB No. 0651-0011 (e)  
Copyright 1994-97 Leg:  
P08/REV02



TION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

ENTENTS ONLY

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Tab settings → → → 101141935

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

AFFYMETRIX, INC., a California corporation

MLO  
9-17-99

## 2. Name and address of receiving party(ies):

Name: Affymetrix, Inc., a Delaware corporation

Internal Address:

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☐ Assignment ☒ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Street Address: 3380 Central Expressway

City: Santa Clara State: CA ZIP: 95051

Execution Date: September 28, 1998

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/057,162

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Philip L. McGarrigle, Chief IP Counsel

Internal Address: Legal Dept., Affymetrix, Inc.

Street Address: 3380 Central Expressway

City: Santa Clara State: CA ZIP: 95051

## 6. Total number of applications and patents involved: 1

## 7. Total fee (37 CFR 3.41):.....\$ 40.00

- ☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account  
☒ Authorized to be charged to deposit account

## 8. Deposit account number:

01-0431

09/09/1999 MTHA11 00000269 010431 09057162

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Vern Norviel, Reg. No. 32,483

Name of Person Signing

Signature

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Date

*State of Delaware*  
*Office of the Secretary of State*

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PAGE 1

I, EDWARD J. FREEL, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF MERGER, WHICH MERGES:

"AFFYMETRIX, INC.", A CALIFORNIA CORPORATION,  
WITH AND INTO "AFFYMETRIX, INC." UNDER THE NAME OF  
"AFFYMETRIX, INC.", A CORPORATION ORGANIZED AND EXISTING UNDER  
THE LAWS OF THE STATE OF DELAWARE, AS RECEIVED AND FILED IN THIS  
OFFICE THE TWENTY-NINTH DAY OF SEPTEMBER, A.D. 1998, AT 4  
O'CLOCK P.M.



A handwritten signature in cursive script, reading "Edward J. Freel", is written over a horizontal line.

*Edward J. Freel, Secretary of State*

2872545 8100M  
981437881

AUTHENTICATION: 9404956  
DATE: 11-13-98

**CERTIFICATE OF MERGER****OF****AFFYMETRIX, INC.,  
a California corporation****INTO****AFFYMETRIX, INC.,  
a Delaware corporation****(UNDER SECTION 252 OF THE GENERAL  
CORPORATION LAW OF THE STATE OF DELAWARE)**

**Affymetrix, Inc., a Delaware corporation, hereby certifies that:**

**(1) The name and state of incorporation of each of the constituent corporations are:**

- (a) Affymetrix, Inc., a Delaware corporation ("Affymetrix Delaware");  
and**
- (b) Affymetrix, Inc., a California corporation ("Affymetrix California").**

**(2) An Agreement of Merger has been approved, adopted, certified, executed and acknowledged by Affymetrix California and by Affymetrix Delaware in accordance with the provisions of subsection (c) of Section 252 of the General Corporation Law of the State of Delaware.**

**(3) The name of the surviving corporation is Affymetrix, Inc., a Delaware corporation, which will continue its existence as the surviving corporation under its present name upon the effective date of the merger.**

**(4) The certificate of incorporation of Affymetrix Delaware shall be the certificate of incorporation of the surviving corporation after the effectiveness of the merger.**

**STATE OF DELAWARE  
SECRETARY OF STATE  
DIVISION OF CORPORATIONS  
FILED 04:00 PM 09/29/1998  
981377659 - 2872545**

(5) The executed Agreement of Merger is on file at the principal place of business of the surviving corporation, Affymetrix Delaware, located at 3380 Central Expressway, Santa Clara, California 95051.

(6) A copy of the Agreement of Merger will be furnished by Affymetrix Delaware, on request and without cost, to any stockholder of Affymetrix California or Affymetrix Delaware.

(7) The authorized capital stock of Affymetrix California is 50,000,000 shares of Common Stock and 27,500,000 shares of Preferred Stock, both at No Par Value.

(8) The effective date of this merger shall be September 29, 1998.

IN WITNESS WHEREOF, Affymetrix Delaware has caused this Certificate of Merger to be signed by Edward M. Hurwitz, Vice President and Chief Financial Officer, on the 29th day of September, 1998.

AFFYMETRIX, INC.,  
a Delaware corporation

By: Edward M. Hurwitz  
Edward M. Hurwitz  
Vice President and Chief Financial Officer