

Handwritten: 8/31/99
Practitioner's Docket No. SJW-1

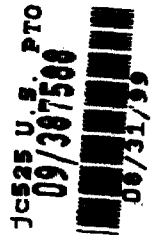
09-10-1999



VT

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

101140422



COVER SHEET FOR ASSIGNMENT (DOCUMENT) ACCOMPANYING
NEW PATENT APPLICATION (37 C.F.R. § 3.31)

IDENTIFICATION OF APPLICATION
(37 C.F.R. § 3.21 and 37 C.F.R. § 3.31(a)(4))

1. The ☒ patent application ☐ provisional patent application filed herewith and, to which the attached assignment (document) refers, is identified as follows:

a. Type of application

- ☒ Application of patent (37 C.F.R. § 1.53(b))
☐ Continued prosecution (nonprovisional) application (37 C.F.R. § 1.53(d))
☐ Provisional application (37 C.F.R. § 1.53(c))
☐ International application (37 C.F.R. § 1.431)

b. Date of execution:

NOTE: Leave this blank if assignment is for a provisional application.

c. Name of each inventor:

- 1:
2:
3:

d. Title of invention:

Handwritten: 09/387588

CERTIFICATION UNDER 37 C.F.R. § 1.10*
(Express Mail label number is mandatory.)
(Express Mail certification is optional.)

I hereby certify that this "Cover Sheet For Assignment (Document) Accompanying New Patent Application," along with any document referred to, is being deposited with the United States Postal Service on this date AUGUST 31, 1999, in an envelope as "Express Mail Post Office to Addressee," Mailing Label Number EE734757235US, addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

DOROTHY SIHELNICK

(Type or print name of person mailing paper)

Dorothy Sielnick

Signature of person mailing paper

WARNING: Certificate of mailing (first class) or facsimile transmission procedures of 37 C.F.R. § 1.8 cannot be used to obtain a date of mailing or transmission for this correspondence.

***WARNING:** Each paper or fee filed by "Express Mail" must have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. § 1.10(b).

"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will **not** be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

(Cover Sheet For Assignment Accompanying New Patent Application [4-2.3]—page 1 of 4)

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40.00 DP

NOTE: 37 C.F.R. § 3.21 states: "If an assignment of a patent application filed under § 1.53(b) is executed concurrently with, or subsequent to, the execution of the patent application, but before the patent application is filed, it must identify the patent application by its date of execution, name of each inventor, and title of the invention so that there can be no mistake as to the patent application intended. If an assignment of a provisional application under § 1.53(c) is executed before the provisional application is filed, it must identify the provisional application by name of each inventor and title of the invention so that there can be no mistake as to the provisional application intended."

NAME OF PARTY(IES) CONVEYING INTEREST (37 C.F.R. § 3.31(a)(1))

2. The party(ies) conveying this interest is (are):

Name 1: SHIBNATH GHOSAL
Name 2: _____
Name 3: _____

NAME AND ADDRESS OF PARTY(IES) RECEIVING INTEREST

(37 C.F.R. § 3.31(a)(2))

3. The rights are being conveyed to:

Name: <u>NATREON INC.</u>	and	INDIAN HERBS RESEARCH &
Address: <u>415 Cleveland Ave.</u>		SUPPLY COMPANY LTD.
<u>Highland Park, NJ 08904</u>		Shardanagar
		Saharanpur 247001,
Telephone No.: ()		U.P., India

**DESCRIPTION OF INTEREST CONVEYED OR
TRANSACTION RECORDED (37 C.F.R. § 3.31(a)(3))**

4. The accompanying document intends to accomplish:

☒ an assignment.
☐ a security agreement.
☐ a license.
☐ a merger.
☐ a change of name.
☐ a change of address.
☐ other.

**NAME AND ADDRESS OF PARTY TO WHOM CORRESPONDENCE
SHOULD BE MAILED (37 C.F.R. § 3.31(a)(5))**

5. Please address correspondence to:

Name: DR. WALTER KATZ
Address: 8 UMBERLAND PLACE
JAMESBURG, NEW JERSEY 08831

Telephone No.: (609) 409-1543

(Cover Sheet For Assignment Accompanying New Patent Application [4-2.3]—page 2 of 4)

DATE ASSIGNMENT (DOCUMENT) EXECUTED (37 C.F.R. § 3.31(a)(7))

6. The attached assignment (document) was executed on August 12, 1999.
Date

LANGUAGE OF ASSIGNMENT (DOCUMENT) TO BE RECORDED

NOTE: "The Office will accept and record non-English language documents only if accompanied by an English translation signed by the individual making the translation." 37 C.F.R. § 3.26.

7. The attached document:

☒ is in the English language.

☐ is not in the English language. An English translation signed by the individual making the translation and statement as to its accuracy is attached.

ORIGINAL DOCUMENT OR TRUE COPY SUBMITTED

NOTE: "Either the original document or a true copy of the original document may be submitted for recording. Only one side of each page shall be used. The paper used should be flexible, strong, white, non-shiny, durable, and preferably no larger than 21.6 x 33.1 cm. (8½ x 14 inches) with a 2.5 cm. (one-inch) margin on all sides." 37 C.F.R. § 3.24.

8. Submitted herewith is:

☒ the original document.

☐ a true copy of the original document.

NOTE: "If the original [assignment] document is two-sided or the wrong size, the practitioner can comply with the requirement [set out in 37 C.F.R. § 3.24] by providing a true copy of the original document using only one side of each page on the correct size paper." Notice of June 24, 1992, 1140 O.G. 63-76, at 67.

**NUMBER OF APPLICATIONS IDENTIFIED IN THIS COVER SHEET
AND THE FEE**

NOTE: "All requests to record documents must be accompanied by the appropriate fee. Except as provided in paragraph (b) of this section, a fee is required for each application or patent against which the document is recorded as identified in the cover sheet. The recording fee is set in § 1.21(h). . . ." 37 C.F.R. § 3.41.

9. A. This cover sheet identifies only one application:

B. The fee for recordal (37 C.F.R. § 1.21(h)) is \$40.00.

☒ Attached is a check for \$40.00.

☐ Please charge Account _____ \$40.00.

A duplicate of this cover sheet is attached.

STATEMENT (37 C.F.R. § 3.31(a)(9))
AND SIGNATURE (37 C.F.R. § 3.31(a)(10))

10. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Date: AUGUST 31, 1999

Name of party submitting document

Signature of party submitting document

(complete the following, if the party submitting the document is applicant's attorney)

Reg. No. 19,706


Signature of Practitioner

Tel. No. (609) 409-1543

DR. WALTER KATZ

(type or print name of practitioner)

8 UMBERLAND PLACE

Customer No.

P.O. Address

JAMESBURG, NEW JERSEY 08831

TOTAL NUMBER OF PAGES BEING SUBMITTED

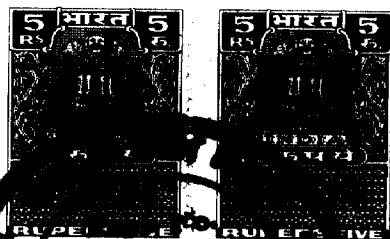
11. The total number of pages being submitted, including cover sheet, attachment(s), and document(s) are:

6

Total number of pages submitted

(Cover Sheet For Assignment Accompanying New Patent Application [4-2.3]—page 4 of 4)

SJW-1



Serial No. _____

Filed _____

ASSIGNMENT - SOLE INVENTOR

WHEREAS HIBNATH GHOSAL

residing at Varanasi, India

am a citizen of INDIA

and have invented certain new and useful inventions or improvements entitled

ST. JOHN'S WORT COMPOSITION

for which I have concurrently herewith executed an application for Letters Patent of the United States, and

WHEREAS, NATREON INC., a corporation of the State of New Jersey having a place of business at 415 Cleveland Avenue, Highland Park, New Jersey 08904 and INDIAN HERBS RESEARCH & SUPPLY COMPANY LTD., a company of India, having a place of business at Shardanagar, Saharanpur 247001, U.P., India, is desirous of obtaining the entire right, title and interest in and to the said inventions or improvements throughout the world, the said application for United States Letters Patent and any United States Letters Patent granted therefor, and any applications for Letters Patent and the Letters Patent therefor in any country or countries foreign to the United States based thereon.

NOW, THEREFORE, in consideration of the sum of One Dollar and other good and valuable consideration, the receipt of which is hereby acknowledged, I hereby sell, assign and transfer and set over unto the said NATREON INC. and INDIAN HERBS RESEARCH & SUPPLY COMPANY LTD., its successors, legal representatives and assigns, the entire right, title and interest in, to and under the said inventions or improvements, and to the said application for Letters Patent and all divisions, renewals and continuations thereof, and to any invention or improvement made by me which is described in said application, and all Letters Patent of the United States which may be granted on them and all reissues and extensions thereof, and all applications for Letters Patent which may hereafter be filed for said invention or improvement in any country or countries foreign to the United States, and all Letters Patent which may be granted for said inventions or improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

AND I HEREBY authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said inventions or improvements to the said NATREON INC. and INDIAN HERBS RESEARCH & SUPPLY COMPANY LTD., its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND I HEREBY covenant that I have full right to convey the entire right, title and interest herein assigned, and that I have not executed and will not execute, any agreement in conflict herewith;

AND I HEREBY authorize and request the attorneys of record in the said application for Letters Patent to insert in the above right hand corner of this Assignment, the Serial Number and filing date of the said application when officially known;

12 AUG 1999




AND I HEREBY further covenant and agree that I will communicate to the said NATREON INC. and INDIAN HERBS RESEARCH & SUPPLY COMPANY LTD., its successors, legal representatives and assigns, any facts known to me respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, reissue, continuation and continuation-in-part applications, make all rightful oaths and generally do everything possible to aid the said NATREON INC. and INDIAN HERBS RESEARCH & SUPPLY COMPANY LTD., its successors, legal representatives and assigns, to enforce proper patent protection for said inventions or improvements in all countries.

IN TESTIMONY WHEREOF, I hereunto set my hand and seal


Date **12 AUG 1999**, 1999

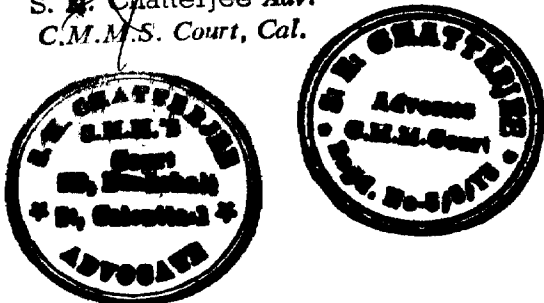
Witness: _____




Shibnath Ghosal L.S.

Identified by me


S. H. Chatterjee Adv.
C.M.M.S. Court, Cal.



ATTENDED BY ME
CERTIFICATION OF ADVOCATE

DR. KUMAR BASU
NOTARY AN OATH COMMISSIONER
Govt of West Bengal
Regd No 18/1994, C.M.M.'s Court,
Bankshall Street, Calcutta-700001
12 AUG 1999