

09-10-1999

ocket No.: FI9-99-017

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office



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8.31.99

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Carl J. Radens

2. Name and address of receiving party(ies):

Name: International Business Machines Corporation

Address: New Orchard Road

Additional names(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

City: Armonk

State/Prov.: NY

Country: USA

ZIP: 10504

Execution Date: 8/31/99

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: 8/31/99

Patent Application No.

Filing date

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Frederick W. Gibb, III, Esq.

Registration No. 37,629

Address: McGinn & Gibb, P.C.

1701 Clarendon Boulevard

Suite 100

City: Arlington

State/Prov.: VA

Country: USA

ZIP: 22209

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☒ Authorized to be charged to deposit account

8. Deposit account number:

09-0458 (FI-422)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Daryl K. Neff, Esq. Reg. No. 38,253

Name of Person Signing

Signature

Date

(914) 894-3664

Total number of pages including cover sheet, attachments, and document:

2

ASSIGNMENT

Whereas, we

Inventor and City	(1) Carl J. Radens County of Dutchess	City of La Grangeville and State of New York
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have invented certain improvements in

Title	DRAM TRENCH CELL
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and have executed, respectively, a United States patent application therefor on




Date That Inventor Signed the Declaration	(1) 8/31	1999.
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Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York, 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

City (1) Signed at East Fishkill, NY

Date on 8/31/99

			signature)inventor
(Carl First Name	J. Middle Initial	Radens Last Name	

Inventor (1)