

9/2/99

09-13-1999



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In place of Form PTO-1595

101143760

Docket No. AT9-99-376

RECORDATION FORM COVER SHEET  
PATENTS

To the Assistant Commissioner for Patents: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Carl Phillip Gusler  
Rick Allen Hamilton, II  
Stephanie E. Woods

2. Name and address of receiving party(ies):

International Business Machines Corporation  
New Orchard Road  
Armonk, New York 10504

3. Nature of conveyance:

Assignment

Execution Date: 9/1/99



4. Application number(s) or patent number(s): 09/389192

If this document is being filed together with a new application, the execution date of the application is 9/1/99

A. Patent Application No.(s)

B. Patent No.(s)

5. Name and address of party to whom correspondence concerning document should be mailed:

David A. Mims Jr.  
IBM Corporation  
Intellectual Property Law  
Internal Zip 4054  
11400 Burnet Road  
Austin, TX 78758  
(512) 823-0950

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00

Authorized to be charged to deposit account

8. Deposit account number:

09-0447

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

David A. Mims Jr.  
Name of Person Signing

David A. Mims Jr.  
Signature

9/1/99  
Date

Total number of pages including cover sheet: 2

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01 FC:581 40.00 CH

A S S I G N M E N T

Whereas, we,

- (1) Carl Phillip Gusler of Austin,  
County of Travis and State of Texas,  
and Rick Allen GALT
- (2) Richard Alexander Hamilton, II of Austin,  
County of Travis and State of Texas,  
and
- (3) Stephanie E. Woods of Pearland,  
County of Brazoria and State of Texas,

have invented certain improvements in

AUTOMATED RISK ASSESSMENT TOOL FOR AIX-BASED COMPUTER SYSTEMS

and executed, respectively, a United States patent application therefore on  
(Dates Inventors Signed Declaration) (1) September 1, 1999 and (2) September 1, 1999  
and  
(3) Sept. 1, 1999

Whereas, *INTERNATIONAL BUSINESS MACHINES CORPORATION*, a corporation of New York, having a place of business at Armonk, New York 10504. (hereinafter called *IBM*), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefore;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to *IBM*, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to *IBM*, its successors and assigns; and we hereby agree that *IBM* may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by *IBM*.

(1) Signed at Austin on September 1, 1999

Carl Phillip Gusler  
Carl Phillip Gusler

and (2) Signed at AUSTIN on Sept 1, 1999

Richard Alexander Hamilton, II  
Richard Alexander Hamilton, II  
Rick Allen GALT

and (3) Signed at Austin on September 1, 1999

Stephanie E. Woods  
Stephanie E. Woods