

09-16-1999



101146774

PATENT COVER SHEET

SHEET

U.S. Department of Commerce

Patent and Trademark Office

PATENT APPLICATION

D-98060

SEP 13 1999

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MRP

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Document ID#

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Conveyance Type

(X) Assignment ()

Security Agreement

() License () Change of Name

() Merger () Other

U.S. Government

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() Departmental File () Secret File

Conveying Party(ies)

(X) Mark if additional names of conveying parties attached

First Party Dr. Yoshihito KunugiExecution Date
7/13/99Second Party Kent R. MannExecution Date
7/13/99

Receiving Party

() Mark if additional names of receiving parties attached

Name Regents of the University of Minnesota

Address 100 Church Street, SE
Minneapolis MN 55455() If document to be recorded is
an assignment and the
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09/15/1999 MTHAI1 00000131 09315877

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40.00 OP

PATENT
REEL: 010228 FRAME: 0876

Correspondent Name and AddressArea Code and Telephone Number (520) 742-6772

Name **David W. Collins**
Address **Benman & Collins**
711 West Los Altos Road
Tucson AZ 85704

Pages Enter the total number of pages of the attached conveyance document including any attachments.

Eight**Application Number(s) or Patent Number(s)**

() Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

09/315,877

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treat (PCT)

Enter PCT application number
only if a U.S. Application Number
has not been assigned.

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Number of Properties

Enter the total number of properties involved.

One**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41):

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Deposit Account ()

Deposit Account

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David W. Collins

Name of Person Signing

David W Collins

Signature

9/8/99

Date

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CONTINUATION

PATENTS ONLY

Conveying Party(ies)

() Mark if additional names of conveying parties attached

*Enter additional Conveying Parties***Execution Date**

7/22/99

Third Party Larry L. Miller**Execution Date**

7/14/99

Fourth Party Marie K. Pomije**Receiving Party**

() Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

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State/Country

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Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

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State/Country

Zip Code

() If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

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() Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

09/315,877

ASSIGNMENT OF PATENT APPLICATION

FOR GOOD AND VALUABLE CONSIDERATION,

We, the undersigned (each) in consideration of good and valuable consideration receipt of which is hereby expressly acknowledged, hereby sell, assign and transfer unto

Regents of the University of Minnesota

a non-profit organization organized under the laws of the state of Minnesota as Assignee, and its successors, assigns and legal representatives, the entire right, title and interest, for all countries in and to certain inventions relating to

VAPOCHROMIC PHOTODIODE

described in an application for Letters Patent of the United States, identified as Attorney Docket No. D-98060, in the Law Offices of Benman & Collins, and filed on May 20, 1999, as Application Serial No. 09/315,877, and the invention(s) and improvement(s) set forth therein, and any and all continuations, continuations-in-part, divisionals, and renewals of and substitutes for said application for said Letters Patent, and all the rights and privileges under any and all Letters Patent that may be granted therefor in any country, and any reissues, or reexaminations, or extensions of said Letters Patent. We request that any and all Letters Patent for said inventions be issued to said Assignee, its successors, assigns and legal representatives, or to such nominees as it may designate.

We agree that, when requested, we will, without charge to said Assignee but at its expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining patents for said inventions in any and all countries and for vesting title thereto in said Assignee, its successors, assigns and legal representatives or nominees.

We authorize and empower the said Assignee, its successors, assigns and legal representatives or nominees, to invoke and claim for any application for patent or other form of protection for said inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it, and to invoke and claim such right of priority without further written or oral authorization from us.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in any country for any purpose and more particularly in proof of the right of the said Assignee or nominee to claim the aforesaid benefit of the right of priority provided by the International convention which may henceforth be substituted for it.

We hereby authorize David W. Collins, attorney for Assignee, to insert the filing date and Serial number into the first paragraph of this assignment, after the application for Letters Patent has been filed, and the U.S. Patent Office has assigned such application a Serial Number.

We covenant with said Assignee, its successors, assigns and legal representatives, that the rights and property herein conveyed are free and clear of any encumbrance, and that we have full right to convey the same as herein expressed.

IN WITNESS WHEREOF, We have hereunto signed our names on the day and year set forth below.

Kent R. Mann
Inventor's Signature

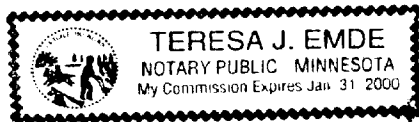
7/13/99
DATE

Kent R. Mann
Inventor's Printed Name

STATE OF Minnesota)
COUNTY OF HENNEPIN) SS

On this 13 day of JULY, 1999, before me personally came the above named Kent R. Mann who is personally known by me or proved to me on the basis of satisfactory evidence to be the same individual who executed the foregoing assignment, and who acknowledged to me that he/she executed the same of his/her own free will for the use and purposes therein set forth.

Teresa J. Emde
NOTARY PUBLIC
My Commission Expires: JAN 31 2000



Larry L. Miller

Inventor's Printed Name

STATE OF)
) SS
COUNTY OF)

NOTARY PUBLIC
My Commission Expires: _____

Inventor's Signature

DATE _____

Marie K. Pomije

Inventor's Printed Name

STATE OF)
) SS
COUNTY OF)

NOTARY PUBLIC
My Commission Expires:

ASSIGNMENT OF PATENT APPLICATION

FOR GOOD AND VALUABLE CONSIDERATION,

We, the undersigned (each) in consideration of good and valuable consideration receipt of which is hereby expressly acknowledged, hereby sell, assign and transfer unto

Regents of the University of Minnesota

a non-profit organization organized under the laws of the state of Minnesota as Assignee, and its successors, assigns and legal representatives, the entire right, title and interest, for all countries in and to certain inventions relating to

VAPOCHROMIC PHOTODIODE

described in an application for Letters Patent of the United States, identified as Attorney Docket No. D-98060, in the Law Offices of Benman & Collins, and filed on May 20, 1999, as Application Serial No. 09/315,877, and the invention(s) and improvement(s) set forth therein, and any and all continuations, continuations-in-part, divisionals, and renewals of and substitutes for said application for said Letters Patent, and all the rights and privileges under any and all Letters Patent that may be granted therefor in any country, and any reissues, or reexaminations, or extensions of said Letters Patent. We request that any and all Letters Patent for said inventions be issued to said Assignee, its successors, assigns and legal representatives, or to such nominees as it may designate.

We agree that, when requested, we will, without charge to said Assignee but at its expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining patents for said inventions in any and all countries and for vesting title thereto in said Assignee, its successors, assigns and legal representatives or nominees.

We authorize and empower the said Assignee, its successors, assigns and legal representatives or nominees, to invoke and claim for any application for patent or other form of protection for said inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it, and to invoke and claim such right of priority without further written or oral authorization from us.

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IN WITNESS WHEREOF, We have hereunto signed our names on the day and year set forth below.

Inventor's Signature

DATE

Kent R. Mann

Inventor's Printed Name

STATE OF Minnesota)
) SS
COUNTY OF)

On this _____ day of _____, 19 _____, before me personally came the above named Kent R. Mann ho is personally known by me or proved to me on the basis of satisfactory evidence to be the same individual who executed the foregoing assignment, and who acknowledged to me that he/she executed the same of his/her own free will for the use and purposes therein set forth.

NOTARY PUBLIC

My Commission Expires: _____

Inventor's Signature

DATE

Larry L. Miller

Inventor's Printed Name

STATE OF _____)
) SS
COUNTY OF _____)

On this _____ day of _____, 19____, before me personally came the above named Larry L. Miller who is personally known by me or proved to me on the basis of satisfactory evidence to be the same individual who executed the foregoing assignment, and who acknowledged to me that he/she executed the same of his/her own free will for the use and purposes therein set forth.

NOTARY PUBLIC

My Commission Expires: _____

Marie K. Pomije
Inventor's Signature

7/14/99
DATE

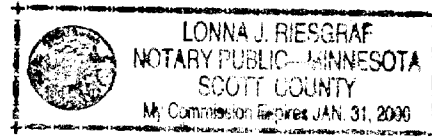
Marie K. Pomije

Inventor's Printed Name

STATE OF Minnesota)
) SS
COUNTY OF Scott)

On this 14th day of July, 1999, before me personally came the above named Marie K. Pomije who is personally known by me or proved to me on the basis of satisfactory evidence to be the same individual who executed the foregoing assignment, and who acknowledged to me that he/she executed the same of his/her own free will for the use and purposes therein set forth.

Lonna J. Riesgraf
NOTARY PUBLIC
My Commission Expires: 1-31-2008



For: ☒ U.S. and/or ☒ Foreign Rights
 For: ☐ U.S. Application or ☐ U.S. Patent
 For: ☐ Inventor(s) or ☐ Present Owner

ASSIGNMENT OF INVENTION

In consideration of good and valuable consideration, receipt of which is hereby expressly acknowledged,

ASSIGNOR:

*(inventor(s) or
 person(s) or entity(ies)
 who own the invention)*

Dr. Yoshihito Kunugi
 Type or Print Name(s) of ASSIGNOR(S)

27-1-619, Yamane-cho, Higashi,
 Address
Hiroshima, Japan 732-0048
Japanese
 Nationality

(If assignment is by person or entity to whom invention was previously assigned and this was recorded in PTO add the following)

Recorded on _____
 Reel _____
 Frame _____

hereby sells, assigns and transfers to
 ASSIGNEE:

Regents of the University of Minnesota
 Typed or Printed Name of ASSIGNEE
100 Church Street, SE
 Address
Minneapolis MN 55455
 Nationality

and the successors, assigns and legal representatives of the ASSIGNEE

(complete one of the following)

☒ the entire right, title and interest
☐ an undivided _____ percent (_____ %) interest
 for the United States and its territorial possessions

(check the following box if foreign rights are also to be assigned)

☒ and in all foreign countries, including all rights to claim priority,
 in and to any and all improvements which are disclosed in the invention entitled:

VAPOCHROMIC PHOTODIODE

(check and complete (a), (b), (c) or (d))

and which is found in

(a) ☐ U.S. patent application executed on even date herewith

Page 1 of 2

- (b) ☐ U.S. patent application executed on _____
(c) ☒ U.S. application Serial No. 09/315,877 filed on May 20, 1999.
(d) ☐ U.S. patent no. _____ issued _____
☐ A change of address to which correspondence
is to be sent regarding patent maintenance fees
is being sent separately.

(also check (e) if foreign application(s) is also being assigned)

- (e) ☒ and any legal equivalent thereof in a foreign country, including the right to
claim priority.

and in, and to, all Letters Patent to be obtained for said invention by the above application or any
continuation, division, renewal, or substitute thereof, and as to letters patent any re-issue or re-
examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been
or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request be provided promptly
with all pertinent facts and documents relating to said invention and said Letters Patent and legal
equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any
interference, litigation or proceeding related thereto and will promptly execute and deliver to
ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply
for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and
said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this _____ day
of _____ 1999 *(Date of signing)*.

*WARNING: Date of signing must be the same as the date of execution of the application if
item (a) was checked above.*

Yoshitaka Kurauchi
Signature of ASSIGNOR(S)
7/13/99

If ASSIGNOR is a legal entity complete the following information

Type or print the name of the above person
authorized to sign on behalf of ASSIGNOR

Title

*NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then
it will only be prima facie evidence of execution 35 USC 261. Use next page if notarization is desired.*

☐ Notarization or Legalization Page Added.

ASSIGNMENT OF PATENT APPLICATION

FOR GOOD AND VALUABLE CONSIDERATION,

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a non-profit organization organized under the laws of the state of Minnesota as Assignee, and its successors, assigns and legal representatives, the entire right, title and interest, for all countries in and to certain inventions relating to

VAPOCHROMIC PHOTODIODE

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IN WITNESS WHEREOF, We have hereunto signed our names on the day and year set forth below.

Inventor's Signature

DATE

Kent R. Mann

Inventor's Printed Name

STATE OF Minnesota)
) SS
COUNTY OF)

On this _____ day of _____, 19____, before me personally came the above named Kent R. Mann ho is personally known by me or proved to me on the basis of satisfactory evidence to be the same individual who executed the foregoing assignment, and who acknowledged to me that he/she executed the same of his/her own free will for the use and purposes therein set forth.

NOTARY PUBLIC

My Commission Expires: _____

L. M.
Inventor's Signature

7/22/99
DATE

Larry L. Miller
Inventor's Printed Name

STATE OF MINNESOTA)
COUNTY OF HENNEPIN) SS

On this 26th day of JULY, 19 99, before me personally came the above named Larry L. Miller who is personally known by me or proved to me on the basis of satisfactory evidence to be the same individual who executed the foregoing assignment, and who acknowledged to me that he/she executed the same of his/her own free will for the use and purposes therein set forth.



Teresa J. Emde
NOTARY PUBLIC
My Commission Expires: 1/31/2000

Inventor's Signature
Marie K. Pomije
Inventor's Printed Name

DATE

STATE OF _____)
COUNTY OF _____) SS

On this _____ day of _____, 19 _____, before me personally came the above named Marie K. Pomije who is personally known by me or proved to me on the basis of satisfactory evidence to be the same individual who executed the foregoing assignment, and who acknowledged to me that he/she executed the same of his/her own free will for the use and purposes therein set forth.

NOTARY PUBLIC
My Commission Expires: _____