

09-10-1999

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To the Honorable Commissioner of Patents and Trademarks

1 Name of conveying party(ies):

Wan-Kei WAN; Anthony DUNCAN; Gordon CAMPBELL; and Derek BOUGHNER

Additional name(s) of conveying parties attached? ☐ Yes ☒ No

3 Nature of Conveyance

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other: _____

Execution Date: November 28, 1998; November 30, 1998; November 30, 1998; and November 25, 1998, respectively

2 Name and address of receiving party(ies)

University of Western Ontario

Internal Address: _____

Street Address: _____

City: London, Ontario Country: CANADA ZIP: N6A 5B8Additional name(s) & address(es) attached? ☐ Yes ☒ No

4 Application number(s) or patent number(s)

If this document is being filed together with a new application, the execution date of the application is

A. Patent Application No.(s)

09/194,634

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5 Names and address of party to whom correspondence concerning document should be mailed

Name: Holly D. KozlowskiInternal Address: Dinsmore & Shohl LLPStreet Address: 1900 Chemed Center
255 East Fifth StreetCity: Cincinnati State: Ohio ZIP: 45202

6 Total number of applications and patents involved

1

7 Total fee (37 CFR 3.41) \$ 40.00

- ☒ Enclosed
☐ Authorization to be charged to deposit account
☒ Any overpayment should be credited to Deposit Account No. 04-1133

8 Deposit Account Number:

04-1133

(Attach duplicate copy of this page if paying by deposit account)

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9 Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document

Holly D. Kozlowski (Reg. No. 30,468)

Name of Person Signing Registration Number

Signature

3 September 1999

Date

Total Number of pages including cover sheet

4

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this paper is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Box Assignment; Assistant Commissioner for Patents, Washington, DC 20231 on Sept 10, 1999.

Lauren E. Mains

478904.01

PATENT
REEL: 010232 FRAME: 0584

ASSIGNMENT

WHEREAS, WE, Wan-Kei WAN, Anthony DUNCAN, Gordon CAMPBELL and Derek BOUGHER, all citizens of Canada, and having an address at The University of Western Ontario; Stevenson Lawson Building; Room 319; London, Ontario N6A 5B8, Canada, have invented an **Expandable Bioprosthetic Valve Stent**, for which International Application No. PCT/CA97/00374 was filed May 30, 1997; and

WHEREAS, The University of Western Ontario, of London, Ontario N6A 5B8, (hereinafter referred to as "ASSIGNEE"), is desirous of acquiring the entire interest to said invention in the United States;

NOW, THEREFORE, in consideration of good and valuable consideration, the receipt of which is hereby acknowledged, we, said ASSIGNORS, hereby sell, assign, and transfer and set over, unto said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title and interest in, to and under said invention in the United States, and any United States application relating thereto and all divisions, renewals, and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues, reexaminations and extensions thereof;

ASSIGNORS HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

ASSIGNORS HEREBY grant the firm of DINSMORE & SHOHL the power to insert in this Assignment any further identification or information which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

ASSIGNORS HEREBY covenant and agree that they have full right to convey the entire interest herein assigned, and that they have not executed, and will not execute, any agreement in conflict herewith.

ASSIGNORS HEREBY further covenant and agree that they will communicate to said ASSIGNEE, its successors, legal representatives and assigns, any facts known to them respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue, reexamination and foreign applications, make all rightful oaths, and generally do everything possible to aid said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in the United States.

Dated: Nov 25, 1998


Wan-Kei WAN

Dated: _____

Anthony DUNCAN

Dated: 30 Nov 1998


Gordon CAMPBELL

Dated: Nov 23/98


Derek BOUGHNER

374386.01

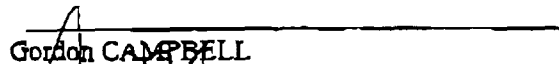

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RESEARCH SVS TWO

006/006

Dated: Nov 30/98
Anthony DUNCAN

Dated: _____


Gordon CAMPBELLDated: Nov 25/98
Derek BOUGHNER

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