

SON-1121/SOH

FORM PTO-
1-31-82

MAY 18 1998

RECORDATION 1
PATENT

11-22-1999



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2

U.S. DEPARTMENT OF COMMERCE

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies)

- | | |
|-------------------|--------------------|
| 1. Ichiro SAITO | 5. Kunikazu TOMITA |
| 2. Shiro KENMOTSU | 6. Yasuyuki TAKADA |
| 3. Tomio AOKI | |

Additional name(s) of conveying party(ies) attached Yes X No

3. Nature of conveyance: 7-9-99

Assignment

Merger



Security Agreement



Change of Name



Other _____

Execution Date:

2. Name and address of receiving party(ies)

Name: NKK Corporation

Internal

Address:

Street 1-2, 1-chome, Marunouchi, Chiyoda-ku
Address: Tokyo, JapanAdditional name(s) & address(es) attached Yes No

4. Application number(s) or patent number(s):

If the document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

Additional numbers attached Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Ronald P. Kananen, Esq.

Internal Address: Rader, Fishman & Grauer PLLC
Suite 501Street Address: 1233 20th Street, N.W.

City: Washington State: D.C. Zip: 20036

6. Total number of applications and patents involved:.....

7. Total fee (37 CFR 3.41)..... \$

Enclosed

Authorized to be charged to deposit account

8. Deposit account number: 18-0013

(Attach duplicate copy of this page if paying by deposit account)

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Ronald P. Kananen
Name of Person Signing

Joseph W. Cappelletti, Esq. for R. P. Kananen May 13, 1998
 Signature Date
 Reg. No. 33,573

Total number of pages comprising cover sheet 2

OMB No. 0861-0011 (emp. 4/94)

Dc001987

2 assignees

PATENT
REEL: 010233 FRAME: 0125

RECORDATION FORM COVER SHEET
PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies)

1. Ichiro SAITO 5. Kunikazu TOMITA
2. Shiro KENMOTSU 6. Yasuyuki TAKADA
3. Tomio AOKI

Additional name(s) of conveying party(ies) attached Yes X No

3. Nature of conveyance:

- X Assignment Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: 1, 2, 3 - March 24, 1998

4. April 8, 1998 5. April 23, 1998

2. Name and address of receiving party(ies)

Name: Sony Corporation

Internal
Address:

Street 7-35 Kitashinagawa 6-Chome
Address: Shinagawa-Ku, Tokyo, Japan

City: State: Zip:

Additional name(s) & address(es) attached ☒ Yes No

4. Application number(s) or patent number(s): 08/881,467

If the document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

Additional numbers attached Yes No X

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Ronald P. Kananen, Esq.

Internal Address: Rader, Fishman & Grauer PLLC
Suite 501

Street Address: 1233 20th Street, N.W.

City: Washington State: D.C. Zip: 20036

6. Total number of applications and patents involved:..... 1

7. Total fee (37 CFR 3.41)..... \$ 40.00

Enclosed

X Authorized to be charged to deposit account

8. Deposit account number: 18-0013

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Ronald P. Kananen
Name of Person Signing

Joseph W. Cappelletti, Jr. for R.P. Kananen
Signature
Reg. No. 33,573

May 13, 1998
Date

Total number of pages comprising cover sheet 2

OMB No. 0861-0011 (emp. 4/94)

2 assignees

SON-1121/SOH

05-21-1998

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U.S. DEPARTMENT OF COMMERCE

FORM PTO-113
1-31-87RECORDATION
PATENT

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Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies)

- | | |
|-------------------|--------------------|
| 1. Ichiro SAITO | 5. Kunikazu TOMITA |
| 2. Shiro KENMOTSU | 6. Yasuyuki TAKADA |
| 3. Tomio AOKI | |

Additional name(s) of conveying party(ies) attached Yes X No

2. Name and address of receiving party(ies)

Name: NKK Corporation

Internal
Address:Street 1-2, 1-chome, Marunouchi, Chiyoda-ku
Address: Tokyo, Japan

Additional name(s) & address(es) attached Yes No

3. Nature of conveyance:

Assignment

Merger



Security Agreement



Change of Name



Other _____

Execution Date:

4. Application number(s) or patent number(s):

If the document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

Additional numbers attached Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Ronald P. Kananen, Esq.

Internal Address: Rader, Fishman & Grauer PLLC
Suite 501Street Address: 1233 20th Street, N.W.

City: Washington State: D.C. Zip: 20036

6. Total number of applications and patents involved:.....

7. Total fee (37 CFR 3.41)..... \$

Enclosed

Authorized to be charged to deposit account

8. Deposit account number: 18-0013

(Attach duplicate copy of this page if paying by deposit account)

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Ronald P. Kananen
Name of Person Signing

Joseph W. Cappelletti, Esq. May 13, 1998
Signature Date
Reg. No. 33,573

Total number of pages comprising cover sheet 2

OMB No. 0861-0011 (emp. 4/94)

Dc001987

PATENT
REEL: 9176 FRAME: 0455PATENT
REEL: 010233 FRAME: 0127

WHEREAS:

Johiro SAITO, Shiro KENMOTSU and Tomio AOKI,

whose full post office address(es) is (are)

c/o SONY CORPORATION, 7-35, Kitashinagawa 6-chome,

Shinagawa-ku, Tokyo, Japan,

and

Kunikazu TOMITA and Yasuyuki TAKADA,

whose full post office address(es) is (are)

c/o NKK CORPORATION, 1-2, 1-chome, Marunouchi, Chiyoda-ku,

Tokyo, Japan,

(hereinafter referred to as ASSIGNOR), has/have invented certain new and useful improvements in an invention entitled:

STEEL SHEET FOR HEAT-SHRINK BAND

(a) for which an application for United States Letters Patent is being executed and filed concurrently herewith;

(b) for which an application for United States Letters Patent was executed on _____;

(c) for which an application for United States Letters Patent was filed on June 24, 1997,

Serial No. 08/881,467;

(d) for which United States Letters Patent No. _____ has issued on _____.

WHEREAS:

SONY CORPORATION, 7-35, Kitashinagawa 6-chome,

Shinagawa-ku, Tokyo, Japan and

NKK CORPORATION, 1-2, 1-chome, Marunouchi, Chiyoda-ku,

Tokyo, Japan

(hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire interest in, to and under said invention and in, to and under Letters Patent or similar legal protection to be obtained therefor in the United States and in any and all foreign countries.

PATENT

REEL: 9176 FRAME: 0456

PATENT

REEL: 010233 FRAME: 0128

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that in consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt and sufficiency of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE, its lawful successors and assigns, the full and exclusive right, title and interest to said invention in the United States and its territorial possessions and in all foreign countries and to all Letters Patent or similar legal protection in the United States and its territorial possessions and in any and all foreign countries to be obtained for said invention by said application or any continuation, division, continuation-in-part, reexamination, renewal, substitute, extension or reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted; and authorize and request the Commissioner of Patent of the United States and any official of any foreign country whose duty it is to issue patents of legal equivalents thereto, to issue same for this invention to ASSIGNEE, its lawful successors and assigns.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to ASSIGNOR and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof in any foreign country which may be necessary or desirable to carry out the purposes thereof.

PATENT
REEL: 9176 FRAME: 0457

PATENT
REEL: 010233 FRAME: 0129

IN WITNESS WHEREOF, I/WE have hereunto set hand and signed on the date indicated below:

<u>INVENTOR(S)</u>	<u>DATE SIGNED</u>	<u>WITNESS(ES)</u>
1) <u>Ichiroh Saitoh</u>	<u>March 24, 1998</u>	<u>Yumi Hanamori</u>
2) <u>Shiro. Kenmitsu</u>	<u>March 24, 1998</u>	<u>Yumi Hanamori</u>
3) <u>Tomio Aoki</u>	<u>March 24, 1998</u>	<u>Yumi Hanamori</u>
4) <u>Kuniharu Tomita</u>	<u>April 8, 1998</u>	<u>Yutaro Yamagami</u>
5) <u>Yasuyuki Takada</u>	<u>April 23, 1998</u>	<u>Hiroshi Masumoto</u>

RECORDED: 05/18/1998

PATENT
REEL: 9176 FRAME: 0458

RECORDED: 07/09/1999

PATENT
REEL: 010233 FRAME: 0130