

11-22-1999



101168452

PATENT FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE
Patent & Trademark Office

OMB NO. 0051-0011 (exp. 4/94)

PATENTS ONLY

To The Honorable Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies) thereof.

1. Name of conveying party(ies):
**Steven B. Hoath, William L. Pickens and
Martha O. Visscher**Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No2. Nature of conveyance: **7-19-99**
☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☒ Other To correct typographical errors
on Reel 9035, Frame 0716Execution Date: July 7, 1999

3. Name and address of receiving party(ies):

Name: **CHILDREN'S HOSPITAL MEDICAL
CENTER**

Internal Address:

Street Address: **Elland and Bethesda Avenues**City: **Cincinnati**State: **OH** Zip: **45229-3039**Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/033,209

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No5. Name and address of party to whom
correspondence concerning document
should be mailed:Name: Beverly A. Lyman, Esquire
Internal Address: Wood, Herron & Evans, L.L.P.
2700 Carew TowerStreet Address: 441 Vine Street
City: Cincinnati State: Ohio Zip: 452026. Total number of applications and patents
involved: **1**

7. Total fee (37 CFR 3.41):\$40.00

☒ Enclosed☒ Authorized to be charged to deposit account
If deficiencies occur8. Deposit Account Number: **23-3000**

(Attach duplicate copy of this page if paying by deposit account)

07/21/1999 MTHAI1 00000206 09033209

DO NOT USE THIS SPACE

01 Fee: 581 40.00 OP

9. Statement and Signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any
attached copy is a true copy of the original document.*Beverly A. Lyman, Reg. No. 41,961Beverly A. Lyman
SignatureJuly 15, 1999
DateTotal number of pages including cover sheet, attachments, and document(s): 6Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents and Trademarks, Box Assignments
Washington, D.C. 20231PATENT
REEL: 010233 FRAME: 0148**40E
NOSPAC
Fee**

ASSIGNMENT OF INVENTION AND
PATENTS THEREON

CBH ⁴⁵²⁴⁴ WHEREAS, We, Steven B. Hoath 7052 Royalgreen, Cincinnati in the State of Ohio, 45223 and William L. Pickens of 1620 Pullan Avenue, Cincinnati in the State of Ohio, 45223 and Martha O. Visscher 829 Kingfisher Lane, Cincinnati in the State of Ohio, 45246 have invented a new and improved NONTOXIC VERNIX COMPOSITIONS AND METHOD OF PRODUCING as fully disclosed in an application for Patent and filed by us on March 2, 1998 and assigned Serial No. 09/033,209, preparatory to obtaining Letters Patent of the United States therefor; and whereas, CHILDREN'S HOSPITAL MEDICAL CENTER a Not for Profit Corporation organized under the Laws of the State of OHIO, and having its principal office at Elland and Bethesda Avenues, Cincinnati, Ohio 45229 desires to acquire the entire interest in and to the subject-matter disclosed in said application and in and to all patents issued or to be issued thereon.

NOW, THEREFORE, to all whom it may concern, be it known that, for and in consideration of the sum of One Dollar to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred, and by these presents do sell, assign and transfer unto the said CHILDREN'S HOSPITAL MEDICAL CENTER our entire right, title and interest in and to the subject-matter disclosed in said application and in and to all Letters Patent Domestic and Foreign issued or to be obtained thereon, including all rights and interests with priority rights under the Paris Convention for the Protection of Industrial Property, the International Patent Cooperative Union, European Patent Convention, Common Market Convention, or any other Convention or Union for each country of said Convention or Union; and we do hereby authorize and request the Commissioner of Patents to issue the Letters Patent granted on said application and all future patents granted upon the subject-matter disclosed therein to the above named Assignee, its legal representatives and assigns.

Witness my hand this 7th day of July, 1999.

Steven B. Hoath Inventor.
Steven B. Hoath

STATE OF Ohio)
) ss.
COUNTY OF Hamilton)

On this 7th day of July, 1999, before me personally appeared Steven B. Hoath, to me known and known to me to be the above named individual who duly acknowledged the signing of the foregoing instrument to be a voluntary act and deed and who executed the same for the uses and purposes therein specified.

SEAL

Peter C. Koch
Notary Public
PETER C. KOCH
Notary Public, State of Ohio
My Commission Expires Feb. 1, 2000

Witness my hand this 7th day of July, 1999.

William L. Pickens Inventor.
William L. Pickens

STATE OF Ohio)
) ss.
COUNTY OF Hamilton)

On this 7th day of July, 1999, before me personally appeared William L. Pickens, to me known and known to me to be the above named individual who duly acknowledged the signing of the foregoing instrument to be a voluntary act and deed and who executed the same for the uses and purposes therein specified.

SEAL

Peter C. Koch
Notary Public

PETER C. KOCH
Notary Public, State of Ohio
My Commission Expires Feb. 1, 2000

Witness my hand this 7 day of July, 1999.

Martha O. Visscher Inventor.
Martha O. Visscher

STATE OF Ohio)
COUNTY OF Hamilton) ss.

On this 7th day of July, 1999, before me personally appeared Martha O. Visscher, to me known and known to me to be the above named individual who duly acknowledged the signing of the foregoing instrument to be a voluntary act and deed and who executed the same for the uses and purposes therein specified.

Peter C. Koch
Notary Public

SEAL

PETER C. KOCH
Notary Public, State of Ohio
My Commission Expires Feb. 1, 2000

Form PTO-1595
(Rev. 6-93)

RECC

03-16-1998

EET

U.S. DEPARTMENT
OF COMMERCE
Patent & Trademark

MRD 3-2-98

Office
OMB No. 065-0011 (exp. 4/94)

100662679

PTO
09/033209
1-5420

03/02/98

To The Honorable Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies) thereof.

1. Name of conveying party(ies):

Steven B. Hoath
William L. Pickens
Martha O. VisscherAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____Execution Date: March 2, 1998

3. Name and address of receiving party(ies):

Name: CHILDREN'S HOSPITAL MEDICAL
CENTER (CHMC)

Internal Address: _____

Street Address: ELLAND & BETHESDA
AVENUESCity: CINCINNATIState: OHIO Zip Code: 45229Country: UNITED STATESAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No4. Application number(s) or patent number(s): 09/033209If this document is being filed together with a new application, the execution date of the application is: March 2, 1998

A. Patent Application No(s). _____

B. Patent No(s). _____

Additional numbers attached? ☐ Yes ☒ No5. Name and address of party to whom
correspondence concerning document should
be mailed:Name: David J. Josephic, Esq.
Internal Address: Wood, Herron & Evans, L.L.P.
2700 Carew Tower
Street Address: 441 Vine Street
City: Cincinnati State: Ohio Zip: 452026. Total number of applications and patents
involved: 17. Total fee (37 CFR 33.41): \$40.00☒ Enclosed☒ Authorized to be charged to deposit account
if deficiencies occur8. Deposit Account number: 23-3000

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

03/13/1998 ESTEWART 00000125 09033209
01 EL:541 40.00 DP

9. Statement and Signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached
copy is a true copy of the original document.*David J. Josephic (R. No. 22,849)
Name of Person Signing

Signature

March 2, 1998
DateTotal number of pages including cover sheet, attachments, and document: [3]Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents and Trademarks, Box ~~Assignments~~
Washington, D.C. ~~20231~~ 9025 FRAME: 0716

ASSIGNMENT OF INVENTION AND
PATENTS THEREON

WHEREAS, We, Steven B. Hoath 7052 Royalgreen, Cincinnati in the State of Ohio, 45223 and William L. Pickens of 1620 Pullan Ave., Cincinnati in the State of Ohio, 45223 and Martha O. Visscher 829 Kingfisher Lane, Cincinnati in the State of Ohio, 45246 have invented a new and improved NONTOXIC VERNIX COMPOSITIONS AND METHOD OF PRODUCING as fully disclosed in an application for Patent and filed by us on even date herewith, preparatory to obtaining Letters Patent of the United States therefor; and whereas, CHILDREN'S HOSPITAL MEDICAL CENTER (CHMC) a Nonprofit Scientific or Educational Institution under Statute of the United States of America organized under the Laws of the State of OHIO, and having its principal office at Elland and Bethesda Avenues, Cincinnati, Ohio 45229 desires to acquire the entire interest in and to the subject-matter disclosed in said application and in and to all patents issued or to be issued thereon.

NOW, THEREFORE, to all whom it may concern, be it known that, for and in consideration of the sum of One Dollar to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred, and by these presents do sell, assign and transfer unto the said CHILDREN'S HOSPITAL MEDICAL CENTER (CHMC) our entire right, title and interest in and to the subject-matter disclosed in said application and in and to all Letters Patent Domestic and Foreign issued or to be obtained thereon, including all rights and interests with priority rights under the Paris Convention for the Protection of Industrial Property, the International Patent Cooperative Union, European Patent Convention, Common Market Convention, or any other Convention or Union for each country of said Convention or Union; and we do hereby authorize and request the Commissioner of Patents to issue the Letters Patent granted on said application and all future patents granted upon the subject-matter disclosed therein to the above named Assignee, its legal representatives and assigns.

Witness my hand this 20 day of March, 1998.

Steven B. Hoath Inventor.
Steven B. Hoath

STATE OF Ohio)
COUNTY OF Hamilton) ss.

On this 20 day of March, 1998, before me personally appeared Steven B. Hoath, to me known and known to me to be the above named individual who duly acknowledged the signing of the foregoing instrument to be a voluntary act and deed and who executed the same for the uses and purposes therein specified.

Chris L. Wilson
Notary Public

SEAL

CHRIS L. WILSON
Notary Public, State of Ohio
My Commission Expires July 25, 2000

PATENT
REEL: 9025 FRAME: 0717

PATENT
REEL: 010233 FRAME: 0153

Witness my hand this 2nd day of March, 1998.

William L. Pickens Inventor.
William L. Pickens

STATE OF Ohio)
) ss.
COUNTY OF Hamilton

On this 2nd day of March, 1998, before me personally appeared William L. Pickens, to me known and known to me to be the above named individual who duly acknowledged the signing of the foregoing instrument to be a voluntary act and deed and who executed the same for the uses and purposes therein specified.

Chris L. Wilson
Notary Public

SEAL

CHRIS L. WILSON
Notary Public, State of Ohio
My Commission Expires July 25, 2000

Witness my hand this 2nd day of March, 1998.

Martha O. Visscher Inventor.
Martha O. Visscher

STATE OF Ohio)
) ss.
COUNTY OF Hamilton

On this 2nd day of March, 1998, before me personally appeared Martha O. Visscher, to me known and known to me to be the above named individual who duly acknowledged the signing of the foregoing instrument to be a voluntary act and deed and who executed the same for the uses and purposes therein specified.

Chris L. Wilson
Notary Public

SEAL

CHRIS L. WILSON
Notary Public, State of Ohio
My Commission Expires July 25, 2000

RECORDED: 03/02/1998

PATENT
REEL: 9025 FRAME: 0718

RECORDED: 07/19/1999

PATENT
REEL: 010233 FRAME: 0154