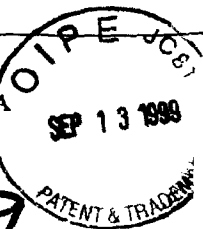


FORM PTO-1619A  
Expires 06/30/00  
OMB 0651-0027



09-20-1999

U.S. Department of Commerce  
Patent and Trademark Office  
**PATENT**



101148458

**RECORDATION FORM COVER SHEET  
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427.010-1

**TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).**

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- ☐ New
- ☐ Resubmission (Non-Recordation)  
Document ID#
- ☐ Correction of PTO Error  
Reel #  Frame #
- ☐ Corrective Document  
Reel #  Frame #

**Conveyance Type**

- ☒ Assignment ☐ Security Agreement
- ☐ License ☐ Change of Name
- ☐ Merger ☐ Other
- U.S. Government**  
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- ☐ Departmental File ☐ Secret File

**Conveying Party(ies)**

- ☒ Mark if additional names of conveying parties attached
- Execution Date  
Month Day Year  
07 26 99

Name (line 1) BIGG, DENNIS 1

Name (line 2)

**Second Party**

Name (line 1) LAVERGNE, OLIVIER

Execution Date  
Month Day Year  
08 05 99

Name (line 2)

**Receiving Party**

- ☐ Mark if additional names of receiving parties attached

Name (line 1) SOCIETE DE CONSEILS DE RECHERCHES ET D'APPLICATIONS

Name (line 2) SCIENTIFIQUES (S.C.R.A.S.)

Address (line 1) 42, rue du Docteur Blanche, 75016 Paris, France

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

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**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

Name

Address (line 1)

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Mail documents to be recorded with required cover sheet(s) information to:  
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**PATENT**

**REEL: 010234 FRAME: 0430**

0000053 09132520  
09/16/1999 02 FC:561

**Correspondent Name and Address**

Area Code and Telephone Number **212 661-8000**

Name **Charles A. Muserlian**

Address (line 1) **Bierman, Muserlian and Lucas, 600 Third Avenue, N.Y., N.Y. 10016**

Address (line 2)

Address (line 3)

Address (line 4)

**Pages**

Enter the total number of pages of the attached conveyance document including any attachments.

# **8**

**Application Number(s) or Patent Number(s)**

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

**09/332,520**

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

**Patent Cooperation Treaty (PCT)**

Enter PCT application number

only if a U.S. Application Number has not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

**Number of Properties**

Enter the total number of properties involved.

# **1**

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41): \$ **40.00**

Method of Payment:

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Enclosed ☒

Deposit Account ☐

Deposit Account Number:

# **02-2275**

Authorization to charge additional fees:

Yes

☒

No

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**Statement and Signature**

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.*

**Charles A. Muserlian**

Name of Person Signing

Signature

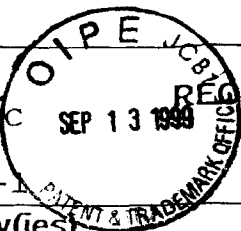
**09/13/99**

Date

FORM PTO-1619C

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OMB 0651-0027

427.010-1

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Patent and Trademark Office  
PATENT

## Conveying Party(ies)

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Enter additional Conveying Parties

Name (line 1) PLA RODAS, FRANCESC

Execution Date  
Month Day Year

09 01 99

Name (line 2)

Execution Date  
Month Day Year

Name (line 1) POMMIER, JACQUES

08 05 99

Name (line 2)

Execution Date  
Month Day Year

Name (line 1) ULIBARRI, GERARD

07 27 99

Name (line 2)

## Receiving Party(ies)

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Address (line 2)

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State/Country

Zip Code

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

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Patent Application Number(s)

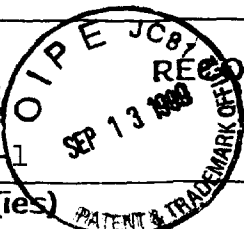
Patent Number(s)

09/332,520

FORM PTO-1619C

Expires 08/30/99  
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CONTINUATION  
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Patent and Trademark Office  
PATENT

## Conveying Party(ies)

☒ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1) HARNETT, JERRY

Execution Date  
Month Day Year  
08 05 99

Name (line 2)

Name (line 1) ROLLAND, ALAIN

Execution Date  
Month Day Year  
07 27 99

Name (line 2)

Name (line 1) LIBERATORE, ANNE-MARIE

Execution Date  
Month Day Year  
08 03 99

Name (line 2)

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Enter additional Receiving Party(ies)

Name (line 1)

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Name (line 2)

Address (line 1)

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Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

09/332,520

427.010-

SEP 13 1999

## Conveying Party(ies)

Enter additional Conveying Party(ies)

☒ Mark if additional names of conveying parties attachedExecution Date  
Month Day Year

Name (line 1)

LANCO, CHRISTOPHER

08 09 99

Name (line 2)

Execution Date  
Month Day Year

Name (line 1)

CAZAUX, JEAN-BERNARD

07 12 99

Name (line 2)

Execution Date  
Month Day Year

Name (line 1)

LE BRETON, CHRISTINE

07 19 99

Name (line 2)

## Receiving Party(ies)

Enter additional Receiving Party(ies)

☐ Mark if additional names of receiving parties attached

Name (line 1)

Name (line 2)

Address (line 1)

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Address (line 3)

City

State/Country

Zip Code

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Name (line 2)

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Patent Application Number(s)

Patent Number(s)

09/332,520

# Assignment

127.010-1

**In Consideration** of the sum of One Dollar (\$1.00), and of other good and valuable consideration paid to the undersigned by the Assignee,

Societe de Conseils de Recherches et d'Applications  
Scientifiques (S.C.R.A.S)

a corporation organized under the laws of France

receipt whereof is hereby acknowledged, the undersigned by these presents hereby sold, assigned, transferred and set over unto the said assignee the entire right, title and interest in and to the invention or improvement in NOVEL CAMPTOTHECIN ANALOGUES, PREPARATION METHODS THEREFOR, USE THEREOF AS DRUGS, AND PHARMACEUTICAL COMPOSITIONS CONTAINING SAID ANALOGUES

said invention being fully described and/or claimed in the application for Letters Patent of the United States of America 1) executed this date, or 2) Serial No. \_\_\_\_\_

filed \_\_\_\_\_ in and for the United States and all foreign countries, the same to be held and enjoyed by said assignee, its successors, assigns or other legal representatives, to the full ends of the terms for which all Letters Patent therefor may be granted, as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment and sale had not been made.

**And Said Assignee is Hereby Authorized** to make application for and to receive Letters Patent for said invention in any of said countries at its election.

**And By This Covenant The Undersigned** will execute or procure any further necessary assurance of title to said invention and Letters Patent; and at any time, upon the request and at the expense of said assignee, will execute and deliver any and all papers that may be necessary or desirable to perfect the title to said invention or any Letters Patents which may be granted therefor in said Assignee, its successors, assigns or other legal representatives, and, upon the request and at the expense of said assignee, will execute any additional or divisional applications for patents for said invention, or any part or parts thereof, and for the reissue of any Letters Patents to be granted therefor, and will make all rightful oaths and do all lawful acts requisite for procuring the same or for aiding therein, without further compensation, but at the expense of said assignee, its successors, assigns or other legal representatives.

**And The Commissioner of Patents and Trademarks is Hereby Authorized and Requested** to issue any and all Letters Patent of the United States for said invention to said assignee.

Dated <u>July 26, 1999</u>	<u>Dennis Bigg.</u>	L.S.
Dated <u>August 5, 1999</u>	<u>Olivier LAVERGNE</u>	L.S.
Dated <u>September 1, 1999</u>	<u>Francisco PLA RODAS</u>	L.S.
Dated <u>August 5, 1999</u>	<u>Jacques POMMIER</u>	L.S.
Dated <u>July 27, 1999</u>	<u>Gerard ULIBARRI</u>	L.S.
Dated <u>August 5, 1999</u>	<u>Jerry HARNETT</u>	L.S.

# Assignment

27.010-1

**In Consideration** of the sum of One Dollar (\$1.00), and of other good and valuable consideration paid to the undersigned by the Assignee,  
Societe de Conseils de Recherches et d'Applications  
Scientifiques (S.C.R.A.S.)

a corporation organized under the laws of France  
receipt whereof is hereby acknowledged, the undersigned by these presents hereby sold, assigned, transferred and set over unto the said assignee the entire right, title and interest in and to the invention or improvement in NOVEL CAMPTOTHECIN ANALOGUES, PREPARATION METHODS THEREFOR, USE THEREOF AS DRUGS, AND PHARMACEUTICAL COMPOSITIONS CONTAINING SAID ANALOGUES

said invention being fully described and/or claimed in the application for Letters Patent of the United States of America 1) executed this date, or 2) Serial No. \_\_\_\_\_  
filed \_\_\_\_\_ in and for the United States and all foreign countries, the same to be held and enjoyed by said assignee, its successors, assigns or other legal representatives, to the full ends of the terms for which all Letters Patent therefor may be granted, as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment and sale had not been made.

**And Said Assignee is Hereby Authorized** to make application for and to receive Letters Patent for said invention in any of said countries at its election.

**And By This Covenant The Undersigned** will execute or procure any further necessary assurance of title to said invention and Letters Patent; and at any time, upon the request and at the expense of said assignee, will execute and deliver any and all papers that may be necessary or desirable to perfect the title to said invention or any Letters Patents which may be granted therefor in said Assignee, its successors, assigns or other legal representatives, and, upon the request and at the expense of said assignee, will execute any additional or divisional applications for patents for said invention, or any part or parts thereof, and for the reissue of any Letters Patents to be granted therefor, and will make all rightful oaths and do all lawful acts requisite for procuring the same or for aiding therein, without further compensation, but at the expense of said assignee, its successors, assigns or other legal representatives.

**And The Commissioner of Patents and Trademarks is Hereby Authorized and Requested** to issue any and all Letters Patent of the United States for said invention to said assignee.

Dated <u>27/07/99</u>	<u>Alain ROLLAND</u>	<u>[Signature]</u> L.S.
Dated <u>03/08/99</u>	<u>Anne-Marie LIBERATORE</u>	<u>[Signature]</u> L.S.
Dated <u>09/08/99</u>	<u>Christophe LANCO</u>	<u>[Signature]</u> L.S.
Dated <u>12/07/99</u>	<u>Jean-Bernard CAZAUX</u>	<u>[Signature]</u> L.S.
Dated <u>19/07/99</u>	<u>Christine LE BRETON</u>	<u>[Signature]</u> L.S.
Dated <u>19/07/99</u>	<u>Eric MANGINOT</u>	<u>[Signature]</u> L.S.