

09-21-1999

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

- (a) Neil M. Becker; Duncan Clarke; Albert A. Quinones;  
Kenneth K. Armstrong; William P. Colvan;  
(b) Paul Haldeman; Victor Wilson  
(c) Chuck Peterson  
(d) Bruce P. Wilson  
(e) Gary Thompson

MRD  
9-14-99

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Guidant Corporation

Internal Address: \_\_\_\_\_

Street Address: 3200 Lakeside Drive

City Santa Clara State CA ZIP 95052-8167

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: (a) March 4, 1999; (b) March 5, 1999; (c) March 8, 1999; (d) March 9, 1999; (e) September 8, 1999

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s) - 09/267,754

Title: Bleed Back Control Valve

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Peter H. Kang

Internal Address: SKJERVEN, MORRILL, MacPHERSON,

FRANKLIN & FRIEL LLP

Street Address: 25 METRO DRIVE, SUITE 700

City SAN JOSE State CA ZIP 95110

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

- ☒ Authorized to be charged to Deposit Account 19-2386  
☒ Charge Deposit Account 19-2386 for any additional fees required for this conveyance and credit deposit account 19-2386 any amounts overpaid

09/20/1999 DNGUYEN 00000149 192386 09267754

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DO NOT USE THIS SPACE

8. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Peter H. Kang, Reg. No. 40,350  
Name of Person Signing

Signature

9/10/99  
Date

Total number of pages comprising cover sheet: 7

**ASSIGNMENT**

For good and valuable consideration, receipt of which is hereby acknowledged, we

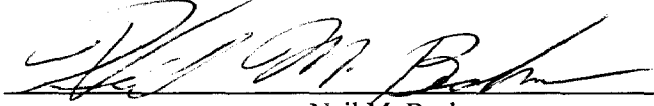
<u>Neil M. Becker</u>	of <u>Temecula, CA</u>
<u>Duncan Clarke</u>	of <u>Murrieta, CA</u>
<u>Chuck Peterson</u>	of <u>Murrieta, CA</u>
<u>Albert A. Quinones</u>	of <u>Murrieta, CA</u>
<u>Paul Haldeman</u>	of <u>Murrieta, CA</u>
<u>Kenneth K. Armstrong</u>	of <u>Riverside, CA</u>
<u>Victor Wilson</u>	of <u>Quail Valley, CA</u>
<u>Bruce Wilson</u>	of <u>Escondido, CA</u>
<u>Gary Thompson</u>	of <u>Morgan Hill, CA</u>
<u>William P. Colvan</u>	of <u>San Diego, CA</u>

hereby sell, assign and transfer to Guidant Corporation, an Indiana corporation, having a place of business at 3200 Lakeside Drive, Santa Clara, California, 95052-8167, its successors and assigns, the entire right, title and interest throughout the world in our invention in

**Bleed Back Control Valve**

for which we have executed a United States patent application on or about the date of this assignment, and all patent applications and patents of every country for said invention, including divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said applications; we authorize the above-named assignee to apply for patents of foreign countries for said invention, and to claim all rights of priority without further authorization from us; we agree to execute all papers useful in connection with said United States and foreign applications, and generally to do everything possible to aid said assignee, their successors, assigns and nominees, at their request and expense, in obtaining and enforcing patents for said invention in all countries; and we request the Commissioner of Patents and Trademarks to issue all patents granted for said invention to the above-named assignee, its successors and assigns.

Executed this 4 day of MARCH, 1999.


  
Neil M. Becker

State of CALIFORNIA )  
 ) ss.  
County of RIVERSIDE )

On 3/4/99 before me, MARILYN M. MARTIN personally appeared NEIL M. BECKER personally known to me or proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is(are) subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



WITNESS my hand and official seal.

  
SIGNATURE OF NOTARY

Executed this 4 day of MARCH, 1999.

Duncan Clarke  
Duncan Clarke

State of CALIFORNIA )  
 ) ss.  
County of RIVERSIDE )

On 3/4/99 before me, MARILYN M. MARTIN personally appeared DUNCAN CLARKE personally known to me or proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is(are) subscribed to the within instrument and acknowledged to me that he/~~she~~/they executed the same in his/~~her~~/their authorized capacity(ies), and that by his/~~her~~/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



WITNESS my hand and official seal.

Marilyn M. Martin  
SIGNATURE OF NOTARY

Executed this 8<sup>TH</sup> day of MARCH, 1999.

Chuck Peterson  
Chuck Peterson


State of CALIFORNIA )  
 ) ss.  
County of RIVERSIDE )

On 3/8/99 before me, MARILYN M. MARTIN personally appeared CHUCK PETERSON personally known to me or proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is(are) subscribed to the within instrument and acknowledged to me that he/~~she~~/they executed the same in his/~~her~~/their authorized capacity(ies), and that by his/~~her~~/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



WITNESS my hand and official seal.

Marilyn M. Martin  
SIGNATURE OF NOTARY

Executed this 4 day of MARCH, 1999.


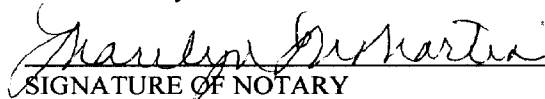
Albert A. Quinones

State of CALIFORNIA )  
 ) ss.  
 County of RIVERSIDE )

On 3/4/99 before me, MARILYN M. MARTIN personally appeared ALBERT A. QUINONES personally known to me or proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is(are) subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



WITNESS my hand and official seal.



SIGNATURE OF NOTARY

Executed this 5 day of MARCH, 1999.


Paul Haldeman

State of CALIFORNIA )  
 ) ss.  
 County of RIVERSIDE )

On 3/5/99 before me, MARILYN M. MARTIN personally appeared PAUL HALDEMAN personally known to me or proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is(are) subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



WITNESS my hand and official seal.



SIGNATURE OF NOTARY

Executed this 4 day of MARCH, 1999.

Kenneth K. Armstrong  
Kenneth K. Armstrong

State of CALIFORNIA )  
 ) ss.  
County of RIVERSIDE )

On 3/4/99 before me, MARILYN M. MARTIN personally appeared KENNETH K. ARMSTRONG personally known to me or proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is(are) subscribed to the within instrument and acknowledged to me that he/~~she~~/they executed the same in his/~~her~~/their authorized capacity(ies), and that by his/~~her~~/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



WITNESS my hand and official seal.

Marilyn M. Martin  
SIGNATURE OF NOTARY

Executed this 5 day of MARCH, 1999.

Victor Wilson  
Victor Wilson

State of CALIFORNIA )  
 ) ss.  
County of RIVERSIDE )

On 3/5/99 before me, MARILYN M. MARTIN personally appeared VICTOR WILSON personally known to me or proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is(are) subscribed to the within instrument and acknowledged to me that he/~~she~~/they executed the same in his/~~her~~/their authorized capacity(ies), and that by his/~~her~~/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



WITNESS my hand and official seal.

Marilyn M. Martin  
SIGNATURE OF NOTARY

Executed this 9<sup>TH</sup> day of MARCH, 1999.

Bruce Wilson  
Bruce Wilson

State of CALIFORNIA )  
County of RIVERSIDE ) ss.

On 3/9/99 before me, MARILYN M MARTIN personally appeared BRUCE WILSON personally known to me or proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is(are) subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



WITNESS my hand and official seal.

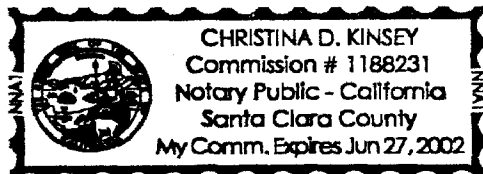
Marilyn M Martin  
SIGNATURE OF NOTARY

Executed this 8<sup>th</sup> day of Sept, 1999.

Gary Thompson  
Gary Thompson

State of Calif. )  
County of Santa Clara ) ss.

On 9-8-99 before me, Christina D. Kinsey personally appeared Gary Thompson personally known to me or proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is(are) subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



WITNESS my hand and official seal.

Christina D Kinsey  
SIGNATURE OF NOTARY

Executed this 4 day of MARCH, 1999.

William P Colvan

William P. Colvan

State of CALIFORNIA )  
County of RIVERSIDE ) ss.

On 3/4/99 before me, MARILYN M. MARTIN personally appeared WILLIAM P. COLVAN personally known to me or proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is(are) subscribed to the within instrument and acknowledged to me that he/~~she~~/they executed the same in his/~~her~~/their authorized capacity(ies), and that by his/~~her~~/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Marilyn M. Martin  
SIGNATURE OF NOTARY

