

09-21-1999

Docket No.: 2801

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

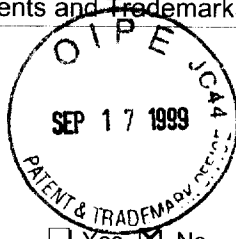


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Tab settings

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
Jeff Duve



2. Name and address of receiving party(ies):

Name: **Regent Lighting Corporation**

Internal Address:

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

9.17.99

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Street Address: **P.O. Box 2658**

City: **Burlington**

State: **NC** ZIP: **27216**

Execution Date: **September 10, 1999**

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

09/358,241

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Keith A. Vogt**

Internal Address: **NIRO, SCAVONE, HALLER & NIRO**

Street Address: **181 West Madison Street**

Suite 4600

City: **Chicago**

State: **IL**

ZIP: **60602**

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41):.....\$ **40.00**

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

14-1131

09/21/1999 NTHAI1 00000025 09358241

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09/21/1999 Statement and signature. 40.00 CP

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Elizabeth L. Schiller

Name of Person Signing

Elizabeth L. Schiller

Signature

September 13, 1999

Date

Total number of pages including cover sheet, attachments, and document:

3

PATENT

REEL: 010237 FRAME: 0007

For: U.S. Rights and Foreign Rights
For: U.S. Application
By: Inventor

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNOR:

Jeff Duve
5713 High Rock Road
Efland, NC 27243
Nationality: United States

hereby sells, assigns and transfers to

ASSIGNEE:

Regent Lighting Corporation
P.O. Box 2658
Burlington, NC 27216
USA

and the successors, assigns and legal representatives of the ASSIGNEE the entire right, title and interest for the United States and its territorial possessions and in all foreign countries, including all rights to claim priority, in and to any and all improvements which are disclosed in the invention entitled:

HIGH SIDE CIRCUITRY TRIAC

and which is found in (37 C.F.R. § 3.21) U.S. application no. 09/358,241 filed on July 21, 1999 and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.


ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all

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pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I have hereunto set hand and seal this 10 day of September, 1999.
Date of signing

Date:



Signature of Jeff Duve

(Assignment of Invention—page 2 of 2)