

11-19-1999



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1. Name of conveying party(ies):

Michael Lund, Karl Wright and

Wensheng Fan

Additional name(s) of conveying party(ies) attached?

5-11-99

Yes ☒ No

2. Name and address of receiving party(ies):

Name: Voice Control Systems, Inc.

Internal Address:

Street Address: 14140 Midway Road, Suite 100

City: Dallas State: TX ZIP: 75244

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: May 4, 1999

4. Application number(s) or patent number(s)

If this document is being filed together with a new application, the execution date of the application is

A. Patent Application No.(s) 09/034,119

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Douglas A. Sorensen

HUGHES & LUCE, L.L.P.

Internal Address:

Street Address: 1717 Main Street, Suite 2800

City: Dallas State: Texas ZIP: 75201

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Douglas A. Sorensen, No. 31,571

Name of Person Signing

Signature

March 26, 1999

Date

Total number of pages including cover sheet: 3

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PATENT
REEL: 010239 FRAME: 0367

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ASSIGNMENT

WHEREAS, we, Michael Lund, Karl Wright and Wensheng Fan, declare that we are citizens of the United States; the United States; and P.R. China, respectively, residing at 110 Prospect St., W. Newton, MA 02465; 29 Roosevelt Road, Lexington, MA 02173; and 130 Avon Street, Apt. 46, Malden, MA 02148, respectively; and, that we have made certain new and useful improvements in **MULTIPLE STAGE SPEECH RECOGNIZER**, the specification of which was filed on March 3, 1998 as U.S. Serial No. 09/034,119; and

WHEREAS, Voice Control Systems, Inc., a corporation of the State of Delaware having offices at 14140 Midway Road, Suite 100, Dallas, Texas 75244 (hereinafter referred to as "ASSIGNEE"), is desirous of acquiring our entire right, title and interest in and to the invention, and in and to the said application and any Letters Patent that may issue thereon;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives the full and exclusive right, title and interest in and to said invention and in and to said application and all patents which may be granted therefore, and all divisions, reissues, substitutions, continuations, continuations-in-part and extensions thereof; and we hereby authorize and request the Commissioner of Patents and Trademarks to issue all patents for said invention, or patents resulting therefrom, insofar as our interest is concerned, to the said ASSIGNEE of my entire right, title and interest.

We also hereby sell and assign to said ASSIGNEE, its successors, assigns and legal representatives the full and exclusive rights, title and interest to the invention disclosed in said application throughout the world, including the right to file applications and obtain patents, utility models, industrial models and designs for said invention in its own name throughout the world, including all rights of priority, all rights to publish cautionary notices reserving ownership of said invention, and all rights to register said invention in appropriate registries; and we further agree to execute any and all powers of attorney, applications, assignments, declarations, affidavits, and any other papers in connection therewith necessary to perfect such rights, title and interest in ASSIGNEE, its successors, assigns and legal representatives.

We hereby further agree that we will communicate to said ASSIGNEE, or to its successors, assigns and legal representatives, any facts known to us respecting any improvements; and, at the expense of said ASSIGNEE, to testify in any legal proceedings, sign all lawful papers, execute all divisional, continuation, continuation-in-part, reissue and substitute applications, make all lawful oaths, and generally do everything possible to vest title in said ASSIGNEE and to aid said ASSIGNEE, its successors, assigns and legal representatives to obtain and enforce proper protection for said invention in all countries.

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PATENT
REEL: 010239 FRAME: 0368

IN WITNESS WHEREOF, we have hereunto set our hand and seal this 4 day of May, 1998.

Michael Lund
Michael Lund

THE STATE OF Massachusetts
COUNTY OF Middlesex

Before me, the undersigned authority, on this day personally appeared **Michael Lund**, to me known to be the person whose name is subscribed to the above application for patent, who signed the foregoing instrument in my presence, and acknowledged to me that this instrument was executed for the purpose as expressed herein and that the allegations set forth therein as being under oath.

Given under my hand and seal of office this 4 day of May, 1998.

[SEAL]

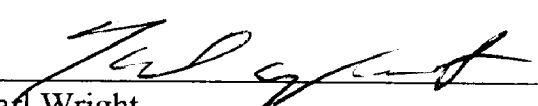
Shirley A. Buzdarek
Notary Public in and for the
State of Massachusetts

My Commission Expires:
9-23-2005

punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Michael Lund
21 Leonard Avenue
Cambridge, MA 02139

(Date)


Karl Wright
29 Roosevelt Road
Lexington, MA 02173

4/1/99

(Date)

Wensheng Fan
130 Avon Street, Apt. 46
Malden, MA 02148

(Date)

punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Michael Lund
21 Leonard Avenue
Cambridge, MA 02139

(Date)

Karl Wright
29 Roosevelt Road
Lexington, MA 02173

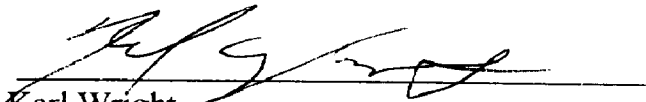
(Date)



Wensheng Fan
130 Avon Street, Apt. 46
Malden, MA 02148

April 1, 1999

(Date)

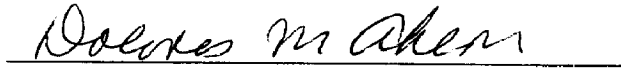

Karl Wright

THE STATE OF Ma §
COUNTY OF Middlesex §
§

Before me, the undersigned authority, on this day personally appeared **Karl Wright**, to me known to be the person whose name is subscribed to the above application for patent, who signed the foregoing instrument in my presence, and acknowledged to me that this instrument was executed for the purpose as expressed herein and that the allegations set forth therein as being under oath.

Given under my hand and seal of office this 15 day of April, 1999

[SEAL]


Notary Public in and for the
State of Ma

My Commission Expires:

My Commission Expires July 15, 1999

Wensheng Fan
Wensheng Fan

THE STATE OF MA §
COUNTY OF Middlesex §

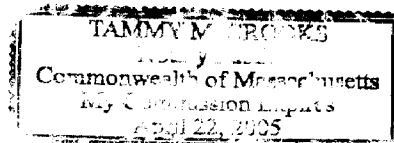
Before me, the undersigned authority, on this day personally appeared **Wensheng Fan**, to me known to be the person whose name is subscribed to the above application for patent, who signed the foregoing instrument in my presence, and acknowledged to me that this instrument was executed for the purpose as expressed herein and that the allegations set forth therein as being under oath.

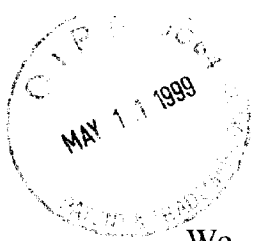
Given under my hand and seal of office this 1 day of April, 1999.

[SEAL]

Tammy M. Brooks
Notary Public in and for the
State of MA

My Commission Expires:
April 22 2005





DECLARATION AND POWER OF ATTORNEY

We, Michael Lund, Karl Wright and Wensheng Fan, declare that we are citizens of the United States; the United States; and P.R. China, respectively, residing at 110 Prospect St., W. Newton, MA 02465; 29 Roosevelt Road, Lexington, MA 02173; and 130 Avon Street, Apt. 46, Malden, MA 02148, respectively; and, that we believe that we are the original inventors of the subject matter which is claimed and for which a patent is sought on the invention, design or discovery entitled **MULTIPLE STAGE SPEECH RECOGNIZER**, the specification of which is filed has been filed as U.S. Serial No. 09/034,119. We have reviewed and understand the contents of the above-identified specification, including the claims; that we do not know and do not believe that said invention, design or discovery was ever known or used in the United States of America before our invention or discovery thereof, or patented or described in any printed publication in any country before my invention or discovery thereof, or more than one year prior to this application, or in public use or on sale in the United States of America more than one year prior to this application; that said invention, design or discovery has not been patented or made the subject of an inventor's certificate issued prior to the date of this application in any country foreign to the United States of America on an application filed by us or our legal representatives or assigns; and that we acknowledge our duty to disclose to the United States Patent and Trademark Office all information known to us to be material to patentability as defined in 37 C.F.R. §1.56(a).

We hereby appoint:

David H. Judson, Reg. No. 30,467
Douglas A. Sorensen, Reg. No. 31,570

of the firm of Hughes & Luce, L.L.P. our attorneys with full power of substitution and revocation, to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith, and to file and prosecute any international patent application filed thereon before any international authorities under the Patent Cooperation Treaty.

Please address all correspondence as follows:

David H. Judson
Hughes & Luce, L.L.P.
1717 Main Street
Suite 2800
Dallas, Texas 75201

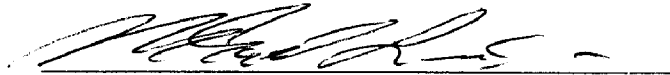
and direct all telephone calls to David H. Judson at (214) 939-5672.

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are

005494.00001:0384260.01

PATENT
REEL: 010239 FRAME: 0374

punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.



Michael Lund

~~21 Leonard Avenue~~ 110 Prospect St.
~~Cambridge, MA 02139~~ v. Newton, MA
02465

5/4/95

(Date)

Karl Wright

29 Roosevelt Road

Lexington, MA 02173

(Date)

Wensheng Fan

130 Avon Street, Apt. 46

Malden, MA 02148

(Date)