

09-21-1999

Form PTO-1595
1-31-92U.S. Department of Commerce
Patent and Trademark Office
IBM Docket No. EN9-98-078

To the Honorable Commissioner of Patents and Trademarks:



101150173

reof.

1. Name of conveying party(ies)
(1) Ralph A. Barrese; (2) Allen H. Hopkins; (3) John J. Konrad; (4) Donald L. Putman; (5) David A. Space, Jr.; and (6)

Add'l name(s) of conveying party(ies) attached? ☐ Yes ☒ No

MRD 9-8-99

3. Nature of conveyance:
☒ Assignment ☐ Merger
☐ Security Agreement
☐ Change of name
☐ Other

Execution Date: 9/01/99, 9/01/99, 9/03/99, 9/01/99, 9/07/99

2. Name and address of receiving party(ies):

INTERNATIONAL BUSINESS MACHINES CORPORATION

Internal Address:

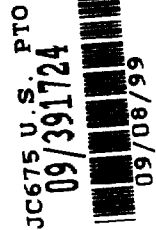
Street Address: New Orchard Road

City: Armonk

State: New York

Zip: 10504

Add'l. name(s) & address(es) attached? ☐ Yes ☒ No



4. Application number(s) or patent number(s): EN998078

If this document is being filed together with a new application, the execution date of the application is: 9/01/99, 9/01/99, 9/03/99, 9/01/99, 9/07/99

A. Patent Application No.(s)

B. Patent No.(s)

EN998078

09/391724

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:
Jeffri A. Kaminski
Pollock, Vande Sande & Amernick
Suite 800
1990 M Street, N.W.
Washington State: D.C. Zip: 20036

6. Total number of applications and patents involved [1]

7. Total fee (37 CFR 3.41).....\$40.00
☐ Enclosed
☒ Authorized to be charged to deposit account
☒ Charge deficiencies/credit overpayments to IBM Corporation Deposit Account 09-0457

8. Deposit Account No: 09-0457
(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Lawrence R. Fraley Date: *Sept. 07, 1999*

Lawrence R. Fraley, Reg. No. 26,885

Total number of pages including cover sheet: [3]

09/20/1999 NTHAI1 00000103 090457 09391724

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PATENT
REEL: 010240 FRAME: 0137

ASSIGNMENT

Whereas, We,

Ralph A. Barrese
County of Broome

City of Binghamton
and state of New York

Allen H. Hopkins
County of Tioga

City of Barton
and state of New York

John J. Konrad
County of Broome

City of Endicott
and state of New York

Donald L. Putman
County of Broome

City of Johnson City
and state of New York

David A. Space, Jr.
County of Broome

City of Deposit
and state of New York

have invented certain improvements in

APPARATUS FOR PREVENTING DRAG-OUT

and have executed, respectively, a United States patent application therefor on

- (1) 9-1-99
- (2) 9-1-99
- (3) 9-3-99
- (4) 9-1-99
- (5) 9-7-99


And whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504 (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, We the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters

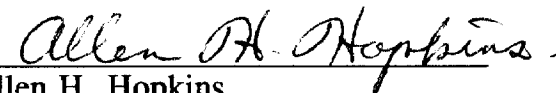
Patent granted upon the invention set forth in said application to IBM, its successors and assigns, and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

SIGNED AND SEALED

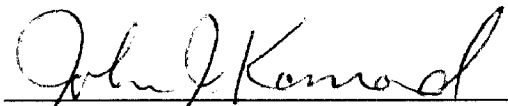
(1) signed at Endicott, NY
on 9-1-99


Ralph A. Barrese

(2) signed at Endicott, N.Y.
on 9-1-99


Allen H. Hopkins

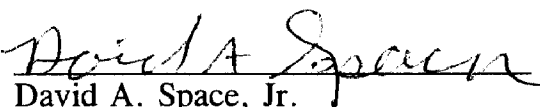
(3) signed at Endicott, N.Y.
on 9/3/99


John J. Konrad

(4) signed at Endicott, N.Y.
on 9-1-99


Donald L. Putman

(5) signed at Endicott, NY
on 9-7-99


David A. Space, Jr.