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To the Honorable Commissioner of Patents and Trademarks: Please recd

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py thereof.

1. Name of conveying party(ies):

David R. Wheeler

Timothy P. Pollagi

2. Name and address of receiving party(ies):

Name: Sandia Corporation

Internal Address: A Delaware Corporation

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

MRD 9.2.99

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Street Address: P.O. Box 5800

City: Albuquerque State: NM ZIP: 87185-5800

Execution Date: September 1, 1998 & September 28, 1998

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s): Attorney Docket No.: SD-8132/S-89,285

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

09/120,964

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Kurt C. Olsen

Internal Address: Sandia National Laboratories

MS 9007

Street Address: P.O. Box 969

City: Livermore State: CA ZIP: 94551-0969

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00 E

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number:

50-0583

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To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Kurt C. Olsen

Name of Person Signing

Signature

9/1/99

Date

Total number of pages including cover sheet, attachments, and document: 9

Mail documents to be recorded with required cover sheet information to:
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(Form PTO-1595-Recordation Form Cover Sheet)

PATENT
REEL: 010240 FRAME: 0449

mailed 6-8-99

06-14-1999

FORM PTO-1595

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U.S. DEPARTMENT OF COMMERCE

Rev. 6-93) OMB No 0651-0011 (EXP. 4/94)

Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copies thereof.

1. Name of conveying party(ies):

2. Name and address of receiving party(ies)

David R. Wheeler and Timothy P. Pollagi

Mail Stop 9007

Additional name(s) of conveying party(ies) attached? Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

Street Address: P. O. Box 969

☐ Security Agreement ☐ Change of Name

☐ Other: Assignment & Confirmatory License _____

City: Livermore, State: CA ZIP: 94551-0969

Execution Date: 9/1/98 & 9/28/98

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s): Attorney Docket No.: SD-8132/S-89,285

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

09/120,964

Filed: 07/22/98

Issued:

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

6. Total number of applications and patents involved: one (1)

Name: Kurt C. Olsen
Sandia National Laboratories
Internal Address: MS 9007

7. Total fee (37 CFR 3.41) \$40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

Street Address: P. O. Box 969

8. Deposit Account number: 50-0583

City: Livermore State: CA ZIP: 94551-0969

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Kurt C. Olsen

Kuen
Signature

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Total number of pages including cover sheet, attachments, and document: 5

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

PATENT
REEL: 010240 FRAME: 0450

April 26, 1999

ASSIGNMENT

WHEREAS, David R. Wheeler and Timothy P. Pollagi made an invention relating to Method for the Synthesis of Chlorosilanes in the course of the work authorized by Contract DE-AC04-94AL85000 between SANDIA CORPORATION and the UNITED STATES DEPARTMENT OF ENERGY, said invention being disclosed and claimed in an application for United States patent, identified as DOE Docket No: S-89,285 duly executed by said inventors on September 1, 1998, and September 28, 1998, and

WHEREAS Inventor(s), in consideration of employment by Sandia Corporation, has(have) agreed, among other things, to assign to Sandia Corporation, its successors and assigns, all rights to inventions, discoveries, or improvements made or conceived in the course of their employment; and

WHEREAS, the Government of the United States, as represented by the U.S. Department of Energy has granted a waiver of Government rights in the above-identified invention to Sandia Corporation under Class Waiver W(C)93-012, effective October 1, 1993; and

THEREFORE, Inventor(s) hereby sells, assigns, and transfers unto Sandia Corporation their entire right, title, and interest in and to said invention and in and to all resulting patents, wherever they may be granted, as well as reissues or extensions of said patents.

Inventor(s) covenants with Sandia Corporation that, except for the rights, if any, of the Government, his/her interest in the rights and property herein conveyed is free and clear of any encumbrance and that they have full right to convey the same as herein expressed. They agree, at the request of Sandia corporation, to make, execute, and deliver to Sandia Corporation, the Government, or other party prosecuting patent applications on this invention, any and all papers, documents, affidavits, applications, statements or other instruments relating to said invention, take all rightful oaths and do all acts as may reasonably be required of them in or incident to the prosecution of any or all applications on said invention or in the adjustment or settlement of any interferences or other actions or proceedings that said applications or patents thereon may encounter or in which they may become involved.

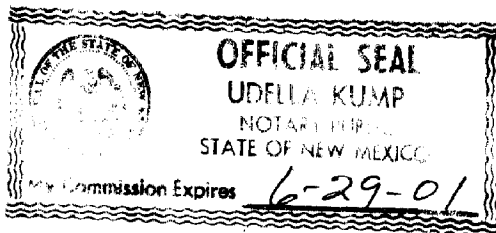
Signed at Albuquerque, New Mexico, this 4th day of May, 1999.

David R. Wheeler
David R. Wheeler

STATE OF New Mexico)
COUNTY OF Bernalillo) ss:

On the 4th day of May, 1999, personally appeared before me, Udella L. Kump, Notary Public, David R. Wheeler to me known to be the individual described in and who executed the foregoing instrument, and who acknowledged to me that he executed the same.

Udella L. Kump
Notary Public



Signed at Dayton, Ohio, this 6 day of May, 1999.

Timothy P. Pollagi
Timothy P. Pollagi

STATE OF Ohio)
) ss:
COUNTY OF Montgomery)

On the 6th day of May, 1999, personally appeared before me,
Kelley D. Schetter, Notary Public, Timothy P. Pollagi to me known to be the
individual described in and who executed the foregoing instrument, and who acknowledged to
me that he executed the same.

Kelley D. Schetter
Notary Public

Approved and consented to this 2 day of June, 1999.

SANDIA CORPORATION

A handwritten signature in black ink, appearing to read "Kurt C. Olsen", written over a horizontal line.

Kurt C. Olsen
Attorney