

09-21-1999

Docket No. 84610-000110US

FORM PTO-1595  
(Rev. 6-93)

101149071

U.S. Department of Commerce  
Patent and Trademark Office

To the Honorable Asst. Commissioner for Patents. Please record the attached original documents or copy thereof

1. Name of conveying party(ies):

CANCER RESEARCH CAMPAIGN TECHNOLOGY

Additional name(s) of conveying parties attached? ☐ Yes  
☒ No.

2. Name and address of receiving party(ies)

Name: ONYVAX LIMITED

Internal Address:

Street Address: PO Box 17717  
St. George's Hospital Medical School  
Cranmer TerraceCity: London SW17 OWG State: Country: United Kingdom  
ZIP:Additional names and addresses attached? ☐ Yes ☒ No

3. Nature of conveyance:

☐ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name☒ Other: FORMAL PATENT LICENCE FOR  
REGISTRATION

Execution Date: 5 August 1999

4. Application Number(s) or Patent Numbers.

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s): 08/278,693

B. Patent No(s):

Additional numbers attached? ☐ Yes ☒ No5. Name and address of party to whom correspondence  
concerning document should be mailed:Name: Ellen Lauver Weber, Esq.  
TOWNSEND AND TOWNSEND AND CREW LLP  
Two Embarcadero Center, 8<sup>th</sup> Floor  
San Francisco, California 94111-3834  
(415) 576-0200

6. Total number of applications and patents involved 1

7. Total fee (37 CFR 3.41): -----\$40.00

☐ Enclosed ☒ Charge Fees to Deposit Account☒ Charge any additional fees associated with this paper or during  
the pendency of this application, or credit any overpayment, to  
deposit account.

8. Deposit account number: 20-1430

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true of copy of the  
original document.*Ellen Lauver Weber  
Name of Person Signing  
Signature9/11/99  
Date

Atty Reg. No. 32,762

Total number of pages including cover sheet, attachments and document 4

10. Change Correspondence Address to that of Part 5? ☒ Yes ☐ No

OMB No. 0651-0011 (exp. 4/94)

Mail documents to be recorded with required cover to:

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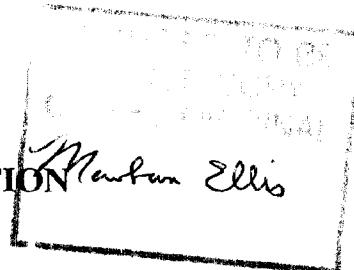
09/20/1999 DNGUYEN 00000160 201430 08278693

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Asst. Commissioner for Patents  
Box: Assignments  
Washington, D.C. 20231SF 150911 v1  
SF 1025661 v1PATENT  
REEL: 010241 FRAME: 0359

**FORMAL PATENT LICENCE FOR REGISTRATION**

Date: .....5.....AUGUST.....1999.....



**Parties:**

- 1     **'The Licensor': CANCER RESEARCH CAMPAIGN TECHNOLOGY LIMITED** (a company registered in England and Wales) of Cambridge House, 6-10 Cambridge Terrace, Regent's Park, London NW1 4JL, United Kingdom
- 2     **'The Licensee': ONYVAX LIMITED** (a company registered in England and Wales) of PO Box 17717, St George's Hospital Medical School, Cranmer Terrace, London SW17 0WG, United Kingdom

**Recitals:**

- (A)   The Licensor is the registered proprietor/applicant of the patents and applications for an invention entitled ANTI-IDIOTYPIC INDUCTION OF ANTI-TUMOUR RESPONSE which are set forth in the Schedule hereto ("the Patent Rights")
- (B)   By a Licence Agreement dated the 10<sup>th</sup> day of May 1999 ("the Agreement") it was agreed between the parties for the consideration therein mentioned that the Licensor would grant to the Licensee an exclusive licence under the said Patent Rights as herein set forth
- (C)   For the purposes of registering the Licence the parties have agreed to execute this Formal Licence
- (D)   This Formal Licence is granted in pursuance of the Agreement and not in substitution therefor whereby nothing herein contained shall in any way derogate from the Agreement which shall remain in full force and effect

**Operative provisions:**

1.   The Licensor confirms that it has granted and HEREBY GRANTS to the Licensee an exclusive world-wide licence to develop, make, market, sell, and otherwise dispose of products within the scope of protection of the Patent Rights on the terms and conditions of the Agreement.
2.   The Licence shall be effective from 10 May 1999 and shall expire on a country by country basis on the later of: 1) the expiry of the Patent Rights in that country; 2) ten (10) years after the first sale of products licensed under the Agreement in that country; unless the Licence shall be terminated prior thereto under the provisions of the Agreement.

IN WITNESS whereof the parties hereto have executed this Formal Licence the day and year first above written

**SCHEDULE**  
**THE PATENT RIGHTS**

**TITLE: ANTI-IDIOTYPIC INDUCTION OF ANTI-TUMOUR RESPONSE**

<b>Country/Territory</b>	<b>Application/Patent No.</b>	<b>Date</b>
Europe (AT, BE, FR, DE, IT, LU, NL, CH/LI, SE, UK)	0440689 (EPA89911726.1)	13 October 1989
Australia	634181	13 October 1989
Israel	92009	16 October 1989
Japan	1-510941	13 October 1989
New Zealand	231037	13 October 1989
United States of America	08/278693	21 July 1994

SIGNED for and on behalf of )

**CANCER RESEARCH CAMPAIGN** )

**TECHNOLOGY LIMITED** )

in the presence of: )

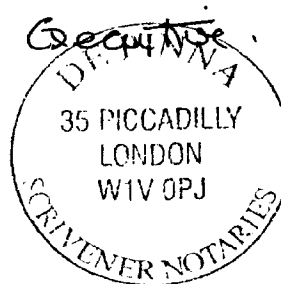
Name: *S. E. Foden*

Notary Public

Capacity: *Chief Executive*

Name: *D.N.L. FAWCETT*  
Scrivener Notary of London, England

Address:



SIGNED for and on behalf of )

**ONYVAX LIMITED** )

in the presence of: )

Name: *A. I. WALKER*

Notary Public

Capacity: *DIRECTOR*

Name: *ANTHONY JACK BURGESS*

Address: *10 PHILPOT LANE*  
*LONDON EC3*  
*ENGLAND*

# NOTARIAL CERTIFICATE

I, DAVID NOEL LLOYD FAWCETT Notary Public,  
(print name)

hereby certify that, SUSAN ELIZABETH FODEN, whose identity

I confirmed, signed the attached document on behalf of

**CANCER RESEARCH CAMPAIGN TECHNOLOGY LIMITED** in his/her authorized

capacity as DIRECTOR before me this 14th July 1999.  
(date)



[Signature]  
Notary Public  
D.N.L. FAWCETT  
Scrivener Notary of London, England

# NOTARIAL CERTIFICATE

I, ANTHONY JACK BURGESS Notary Public,  
(print name)

hereby certify that, ANTHONY IAN WALKER, whose identity

I confirmed, signed the attached document on behalf of **ONYVAX LIMITED** in his/her

authorized capacity as DIRECTOR before me this  
5 AUGUST 1999.  
(date)

[Signature]  
Notary Public

NOTARY PUBLIC  
LONDON, ENGLAND  
A. J. BURGESS