

09-21-1999

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To the Honorable Commissioner of Patents and Trademarks, I hereby certify the attached original documents or copy thereof.

1. Name of conveying party(ies):

Uldis A. Ziemins, Jose M. Ocasio, Raymond M. Khoury
David Goodwin

2. Name and address of receiving party(ies):

Name: International Business Machines Corporation

Internal Address: _____

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
- ☐ Security Agreement ☐ Change of Name
- ☐ Other _____

Street Address: New Orchard RoadCity: Armonk State: NY ZIP: 10504Execution Date: 9/7, 9/7, 9/7, 9/7/99Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

09391439

If this document is being filed together with a new application, the execution date of the application is: 9/7, 9/7, 9/7, 9/7/99

EXPRESS MAIL NO.: EE46893108205

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING
DEPOSITED WITH THE U.S. POSTAL SERVICE AS EXPRESS MAIL IN AN ENVELOPE ADDRESSED TO: COMMISSIONER OF
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APPLICANT AND/OR ATTORNEY REQUESTS THE DATE OF
DEPOSIT AS THE FILE DATE.

A. Patent Application No.(s)

B. Patent No.(s)

DATE OF DEPOSIT: 9/8/99BY SIGNATURE & DATE: Jonathan E. RetskyAdditional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Jonathan E. RetskyInternal Address: Brinks, Hofer, Gilson & LioneStreet Address: P.O. Box 10395City: Chicago State: IL ZIP: 606106. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41):.....\$ 40.00

- ☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account
- ☒ Authorized to be charged to deposit account

8. Deposit account number:

09-0458 FI-(434)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Jay H. Anderson Reg. # 38,371

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 3

PATENT

REEL: 010241 FRAME: 0709

ASSIGNMENT***Whereas, we***

Inventor and City	(1) Uldis A. Ziemins County of Dutchess	City of Poughkeepsie and State of New York
and	(2) Jose M. Ocasio County of Orange	City of Maybrook and State of New York
and	(3) Raymond M. Khoury County of Dutchess	City of Wappingers Falls and State of New York
and	(4) David Goodwin County of Dutchess	City of Hyde Park and State of New York

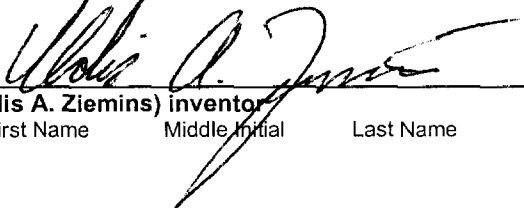
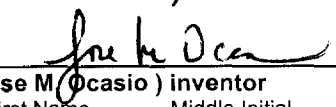

Title have invented certain improvements in
WAFER CLEANING BRUSH PROFILE MODIFICATION

Dates That Inventors Signed the Declaration and have executed, respectively, a United States patent application therefor on

(1) 9/7/99	199 , and (2) 9-7-99	199 , and
(3) 9/7/99	199 , and (4) 9/7/99	199 .

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

City	(1) Signed at East Fishkill		signature
Date	on 9/7/99		
		(Uldis A. Ziemins) inventor	
		First Name Middle Initial Last Name	
City	(2) Signed at East Fishkill		signature
Date	on 9-7-99		
		(Jose M. Ocasio) inventor	
		First Name Middle Initial Last Name	
City	(3) Signed at East Fishkill		signature
Date	on 9/7/99		
		(Raymond M. Khoury) inventor	
		First Name Middle Initial Last Name	

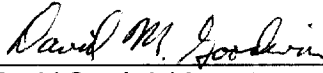
ASSIGNMENT

(Continued)

TITLE: WAFER CLEANING BRUSH PROFILE MODIFICATION

City (4) Signed at EAST FISHKILL

Date on 9/7/99

 signature
(David Goodwin) inventor
First Name Middle Initial Last Name