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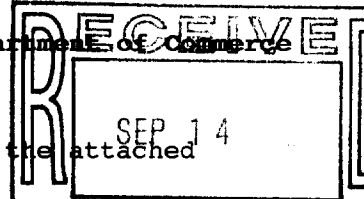
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Form PTO-1595

U.S. Department of Commerce

RECORD 101150304 COVER SHEET - PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.



1. Name of conveying party(ies):

a) Jeffrey A. Frey g) _____
 b) Carroll E. Fulkerson, Jr. h) _____
 c) Rodney A. Little i) _____
 d) Gary S. Puchkoff j) _____
 e) _____ k) _____
 f) _____ l) _____

More names attached? ☐ Y ☒ N

2. Name and address of receiving party(ies):

Name: INTERNATIONAL BUSINESS
 MACHINES CORPORATION
 Address: New Orchard Road
 Armonk, NY 10504

More names attached? ☐ Y ☒ N3. Nature of Conveyance: ☒ Assignment

4. Application number: 09/332,706 Filed: June 14, 1999
 Filed with new application: ☐ yes ☒ no

Execution date(s):

a) September 7, 1999 g) _____
 b) August 18, 1999 h) _____
 c) September 1, 1999 i) _____
 d) August 18, 1999 j) _____
 e) _____ k) _____
 f) _____ l) _____

Additional numbers attached? ☐ Y ☒ N

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Lily Neff, Attorney
 IBM Corporation
 Intellectual Property Law
 522 South Road, M/S P386
 Poughkeepsie NY 12601-5400

6. Total # of applications and patents involved: 01

7. Total fee (37 CFR 3.41):
 \$ 40.00

8. Charge to Deposit Account
 09-0463.

DO NOT USE THIS SPACE

9. Statement and Signature: To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Susan L. Phelps

Signature

09/10/99
Date

09/17/1999 NTHW11 00000021 090463 09332706

01 FC:581 Total number of pages including cover sheet, attachments, and document: 3

Express Mail Label No. (if applicable): _____

Docket No.: PO9-98-192

PATENT
 REEL: 010241 FRAME: 0867

A S S I G N M E N T

Whereas, We

Inventor	(1) Jeffrey A. Frey County of Ulster	of New Paltz, and State of New York,
Inventor	(2) Carroll E. Fulkerson, Jr. County of Wake	of Raleigh, and State of North Carolina,
Inventor	(3) Rodney A. Little County of Dutchess	of Poughkeepsie, and State of New York
and		
Inventor	(4) Gary S. Puchkoff County of Dutchess	of Poughkeepsie, and State of New York

have invented certain improvements in DELEGATING INSTANCE MANAGEMENT FUNCTIONS TO UNDERLYING RESOURCE MANAGERS

and executed, respectively, a United States patent application therefor on

(1) *September 7, 1999*, (2) *August 18, 1999*
(3) *September 1, 1999*, and (4) *August 18, 1999*.

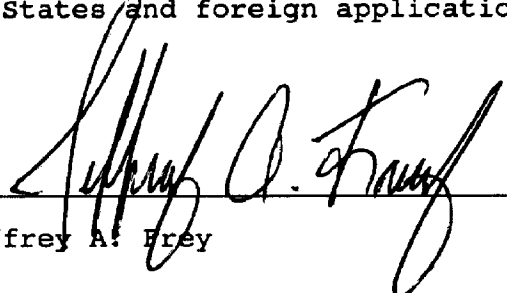
Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM,) desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

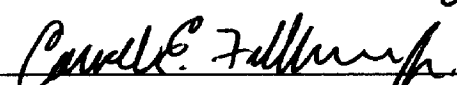
Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, and, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents and Trademarks to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed

(1) at Poughkeepsie, NY
on 9/7/99

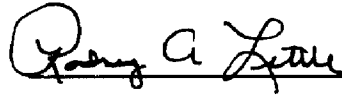
(2) at ^{cert} Poughkeepsie, NY
on 8/18/99


Jeffrey A. Frey


Carroll E. Fulkerson, Jr.

(3) at Poughkeepsie, NY

on 9/1/1999


Rodney A. Little

(4) at Poughkeepsie, NY

on 7-18-99


Gary S. Puchkoff