09-22-1999 **U.S. Department of Commerce** ORM PTO-1619A Patent and Trademark Office VB 0651-002 **PATENT** 101151008 RECORDATION FORM COVER SHEET 9.13.99 PATENTS ONLY

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(les) Submission Type Conveyance Type X X Assignment Security Agreement Resubmission (Non-Recordation) License Change of Name Document ID# Correction of PTO Error Other Merger Reel # Frame # U.S. Government Corrective Document (For Use ONLY by U.S. Government Agencies) Frame # Reel # Departmental File Secret File Conveying Party(ies) Mark if additional names of conveying parties attached Execution Date lonth Day Year 1.ame (line 1) JOPSON, ROBERT MEACHEM -10-99 Name (line 2) Execution Dat Month Day Second Party Name (line 1) KOGELNIK, HERWIG WERNER - 10-00 Name (line 2) Receiving Party Mark if additional names of receiving parties attached Name (line 1) LUCENT TECHNOLOGIES, INC. If document to be recorded is an assignment and the receiving party is not Name (line 2) miciled in the United States, an appointment of a domestic Address (line 1) 600 MOUNTAIN AVENUE representative is attached (Designation must be a eparate document from Address (line 2) Assignment) Address (line 3) MURRAY HILL **NEW JERSEY Domestic Representative Name and Address** Enter for the first Receiving Party only. Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

FOR OFFICE USE ONLY

09/21/1999 DNGUYEN 00000116 122325 09395238

40.00 CH

01 FC:581

rors and Budges, Paperwork Reduction Project (9861-4627), Westington, D.C. 2665. See C668 et Proston. DO NOT SEND REGULESTS TO RECORD ASSIGNMENT DOCUMENTS TO THES ADDRESS. D.C. 20231 and to the Office of t ot Package 6551-8627, Pale

> Mail documents to be recorded with required cover sheet(s) information to: Commissioner of Patents and Trademarks, Box Assignments , Washington, D.C. 20231

> > **PATENT**

REEL: 010244 FRAME: 0405

FORM PTO-1619B Expires 08:30/99 OMB 0651:0027	Page 2	U.S. Department of Commerce Patent and Trademark Office PATENT
Correspondent Name and Address	Area Code and Telephone Number	
Name MEIR Y. BLONDER, ESC	Q	
Address (line 1) PRYOR CASHMAN SHERM	AN & FLYNN LLP	
Address (line 2) 410 PARK AVENUE		
Address (line 3) NEW YORK, NEW YORK	10022	
Address (time 4)		
Pages Enter the total number of pagincluding any attachments.	ges of the attached conveyance docum	nent # 4
Application Number(s) or Patent Num	` '	additional numbers attached
Enter either the Patent Application Number or the Pa		- · ·
Patent Application Number(s)		t Number(s)
If this document is being filed together with a <u>new</u> Paten signed by the first named executing inventor.	Application, enter the date the patent application	on was Month Day Year 09 10 99
Patent Cooperation Treaty (PCT)	PCT PCT	РСТ
Enter PCT application number only if a U.S. Application Number		
has not been assigned.	PCT PCT	PCT
Number of Properties Enter the total	al number of properties involved. #	1
Fee Amount fee Amount for	or Properties Listed (37 CFR 3.41): \$	40.00
Method of Payment: Enclosed Deposit Account X Deposit Account		
(Enter for payment by deposit account or if addition	ional fees can be charged to the account.)	12-2325
	•	
Statement and Signature	uthorization to charge additional fees:	Yes No X
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.		
Name of Person Signing	Signature	9-13-99 Date

PATENT REEL: 010244 FRAME: 0406 FORM PTO-1619C Express 06/30/99 OMB 0651-0027

RECORDATION FORM COVER SHEET CONTINUATION PATENTS ONLY

U.S. Department of Commerce Patent and Trademark Office PATENT

Conveying Pa	mark is additional training of control in a paragraphic	Execution Date 10
Enter additional C		Month Day Year U
Name (line 1)	NELSON, LYNN ELIZABETH	9-10-99
Name (line 2)		Execution Date Month Day Year
Name (line 1)		
Name (line 2)		Execution Date Month Day Year
Name (line 1)		
Name (line 2)		
Receiving Pa	irty(ies) Mark if additional names of receiving parties	s attached
Enter additional R	Receiving Party(les)	
ain∂ (line 1)		If document to be recorded is an assignment and the
Name (line 2)		receiving party is not domiciled in the United States, an appointment
Address (line 1)		of a domestic representative is attached. (Designation
Address (line 2)		must be a separate document from Assignment.)
Ac . 58 (line 3)	City State/Country Zip Code	
710 (line 1)		If document to be recorded is an assignment and the
Name (line 2)		receiving party is not domiciled in the United States, an appointment of a
Address (line 1)		domestic representative is attached. (Designation must be a separate document from
Address (line 2)		Assignment.)
Address (line 3)	City State/Country Zie Co	
	lumber(s) or Patent Number(s) Mark if additional numbers attached	
	Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the san Itent Application Number(s) Patent Number	
ra l	Itent Application Number(s) Patent Number	W(S)
		_
t		

PATENT

REEL: 010244 FRAME: 0407

ASSIGNMENT AND AGREEMENT

For value received, We, Robert Meachem Jopson of Rumson in the County of Monmouth and State of New Jersey, and Herwig Werner Kogelnik of Rumson in the County of Monmouth and State of New Jersey, and Lynn Elizabeth Nelson of Eatontown in the County of Monmouth and State of New Jersey, hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey 07974-0636, U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to APPARATUS AND METHOD FOR IMPROVING THE ACCURACY OF POLARIZATION MODE DISPERSION MEASUREMENTS described in an application for Letters Patent of the United States, executed by each of us on the same date we respectfully executed this Assignment and Agreement, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and We also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. may designate.

143203

PATENT REEL: 010244 FRAME: 0408 We agree that, when requested, We shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

	ROBERT MEACHEM JOPSON
Date: John 10 1999	
United States of America)
State of 1. T) SS.: 051-30-7571
State of the City of Holmidee)
personally came Robert Meacl	day of <u>september</u> , 19 <u>99</u> , before me nem Jopson, to me known to be the individual end the foregoing instrument, and acknowledged
	Notary Public
	Notary Public
	MY COMMISSION EXPIRES

2-13-2002

Henrig Werner Koyelin'

Date: Left 10, 1999	HERWIG WERNER KOGELNIK
United States of America)
State of ///_T.) ss.: 051-30 - 7571
City of Solndel)
personally came Herwig Werne	ny of <u>keptem bev</u> , 19 <u>99</u> , before me r Kogelnik, to me known to be the individual If the foregoing instrument, and acknowledged
	Clus m. Sheeker
	Notary Public
. ••	

MY COMMISSION EXPIRES 2-13-2002

	LYNN ELIZABETH NELSON
Date: September 10, 1999	LYNN ELIZABETH NELSON
United States of America)
State of M.J.)ss.: 061-30-7571
State of M.J. City of Andrel	
personally came Lynn Elizabe	day of <u>Johnson</u> , 19 <u>99</u> , before me eth Nelson, to me known to be the individual ed the foregoing instrument, and acknowledged
	alue m Sheeher
	Notary Public
	MY COMMISSION EXPIRES

143203

RECORDED: 09/13/1999

PATENT REEL: 010244 FRAME: 0411