

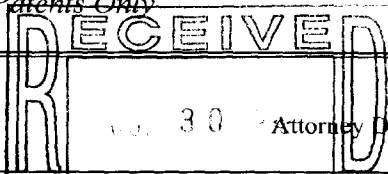
**CORR.**  
**ASSIGNMENT RECORD**

11-23-1999



101179258

Patents Only



July 28, 1999

Attorney Docket: 627-316

To the Honorable Commissioner of Patents and Trademarks:

Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Peter Anthony Crooks

2. Name and address of receiving party(ies):

The University of Kentucky Research Foundation  
Lexington, Kentucky 40506

Additional name(s) of conveying party(ies) attached? \_\_\_ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

\_\_\_ Merger

\_\_\_ Security Agreement

\_\_\_ Change of Name

☒ Other: Correction - SERIAL NUMBER FROM  
09/210,311 TO 09/210,113

Execution Date: February 12, 1999

Additional name(s) & address(es) attached? \_\_\_ Yes ☒ No

4. Application Serial No. 09/210,113 Patent No. \_\_\_\_\_

If this document is being filed together with a new application, the execution date of the application is:

Additional numbers attached? \_\_\_ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

F. Michael Sajovec  
Myers Bigel Sibley & Sajovec  
P. O. Box 37428  
Raleigh NC 27627

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$40.00

☒ Enclosed

\_\_\_ Authorized to be charged to deposit account

8. Deposit account number: \_\_\_\_\_

DO NOT USE THIS SPACE

9. Statement and signature

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

09/02/1999 F. Michael Sajovec 09/210,113

01 FC:581 Name of Person Signing 40.00 OP

Signature

July 28, 1999

Date

Total number of pages including cover sheet, attachments and document: 5

MRD 2-22-99

ASSIGNMENT RECORDATION FORM COVER SHEET

02-25-1999



100972896

To the Honorable Commissioner of Patents and Trademarks:

February 16, 1999

Docket: 627-316

Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Peter Anthony Crooks

2. Name and address of receiving party(ies):

The University of Kentucky Research Foundation  
Lexington, Kentucky 40506

Additional name(s) of conveying party(ies) attached? \_\_\_ Yes X No

3. Nature of conveyance:

- X Assignment  
\_\_\_ Merger  
\_\_\_ Security Agreement  
\_\_\_ Change of Name  
\_\_\_ Other \_\_\_\_\_

Execution Date: February 12, 1999

Additional name(s) & address(es) attached? \_\_\_ Yes X No

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02/24/1999 SBURNS 00000095 09210311

01 FC:581 40.00 00

9. Statement and signature

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

F. Michael Sajovec, Reg. No. 31,793

Name of Person Signing

Signature

February 16, 1999

Date

Total number of pages including cover sheet, attachments and document: 3

## ASSIGNMENT

THIS ASSIGNMENT, made by me, Peter Anthony Crooks, citizen of Great Britain, residing at 3233 Raven Circle, City of Lexington, State of Kentucky,

WITNESSETH: That,

WHEREAS, I am one of the joint inventors of certain new and useful improvements in PHARMACEUTICAL COMPOSITIONS AND METHODS FOR USE for which an application for United States Letters Patent has been filed in the United States Patent and Trademark Office on December 11, 1998, under Serial No. 09/210,113, and

WHEREAS, The University of Kentucky Research Foundation, a corporation of the State of Kentucky having a principal place of business at Lexington, County of Fayette, State of Kentucky, hereinafter referred to as assignee, is desirous of acquiring the entire right, title and interest in and to said invention as described in said application, and in and to any and all Letters Patent which shall be granted therefor in the United States of America and all foreign countries;

NOW, THEREFORE, To Whom It May Concern, be it known that for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I have sold and by these presents do hereby sell, assign, transfer, and convey unto the said assignee, its successors and assigns, the entire right, title, and interest in and to the said invention and application, and in and to any and all continuations, continuations-in-part, or divisions thereof, and in and to any and all Letters Patent of the United States of America and all foreign countries or reissues thereof which may be granted therefor or thereon, for the full end of the term for which said Letters Patent may be granted, together with the right to claim the priority of said application in all foreign countries in accordance with the International Convention, the same to be held and enjoyed by said assignee, its successors and assigns, as fully and entirely as the same would have been held and enjoyed by me if this assignment and sale had not been made.

I hereby request that said Letters Patent be issued in accordance with this assignment.

I further covenant and agree that, at the time of the execution and delivery of these presents, I possess full title to the invention and application above-mentioned, and that I have the unencumbered right and authority to make this assignment.

I further covenant and agree to bind my heirs, legal representatives, and assigns promptly to communicate to said assignee or its representatives any facts known to me relating to said invention, to testify in any interference or legal proceedings involving said invention, to execute any additional papers which may be requested to confirm the right of the assignee, its representatives, successors, or assigns to secure patent or similar protection for the said invention in all countries and to vest in the assignee complete title to the said invention and Letters Patent, without further compensation, but at the expense of said assignee, its successors, assigns, and other legal representatives.

IN WITNESS WHEREOF, I have hereunto set my hands and seals on this 12<sup>th</sup> day of February, 1999.

Peter A. Crooks (SEAL)  
Peter Anthony Crooks

STATE OF KENTUCKY     )  
  ) ss:  
COUNTY OF Jayette     )

Before me personally appeared Peter Anthony Crooks, to me known to be the persons described in and who executed the foregoing instrument, and they acknowledged to me that they executed the same for the purposes therein stated, this 12<sup>th</sup> day of February, 1999.

Cathy Ann Goetz  
Notary Public

SEAL

My Commission Expires: January 23, 2001