

12-02-1999

(Rev. 8-96)



To the Honorable Com

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Patent and Trademark Office

and the attached original documents or copy thereof

Name and address of receiving party(ies):

Dalian Institute of Chemical Physics

Name: Chinese Academy of Sciences

Internal Address:

Street Address: 457 Zhongshan Road

Dalian 116023

City/State/Zip: The People's Republic of China

Additional name(s) & address(es) attached? ☐ Yes ☒ No

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of Conveyance:

☒

Assignment

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Merger

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Security Agreement

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Other

12/14/98; 6/22/98; 6/22/98;

Execution Date(s): 6/22/98.

4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date(s) of the application is(are):

A. Patent Application No(s) 09/069,562

Filed April 29, 1998

Document ID No.: 100929190

B. Patent No(s)

Additional numbers attached?

☐ Yes☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Albert Wai-Kit Chan

Internal Address:

Street Address: Cooper & Dunham LLP

1185 Avenue of the Americas

City/State/Zip: New York, New York 10036

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR §3.41): \$ 40.00

☐

Enclosed

☒

Authorized to be charged to deposit account

8. Deposit account number:

03-3125

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Albert Wai-Kit Chan

Name of Person Signing

Albert Wai Kit Chan

Signature

May 6, 1999

Date

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Total Number of pages including cover sheet, attachments and document: 4

Albert Wai Kit Chan

Albert Wai-Kit Chan

Date

Reg. No. 36,479

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OMB No. 0651-0011 (exp. 4/94)

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Box Assignments

Washington, D.C. 20231

Applicants : Steve C.F. Au-Yeung, et al.
Serial No. : 09/069,562
Filed : April 29, 1998
Exhibit B

PATENT
REEL: 010246 FRAME: 0268

05-17-1999

Docket No. 54466/AKC

FORM PTO-1595 (Substitute)
(Rev. 8-98)U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Commissioner of Patent

101038808

documents or copy thereof

1. Name of conveying party(ies):

Han Xiuwen, Wang Xinning, Lu Shiwei
and Jiang Tao

Additional name(s) of conveying party(ies) attached?

☐ Yes ☐ No

3. Nature of Conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ OtherExecution Date(s): 12/14/98; 6/22/98; 6/22/98
6/22/98Name: Dalian Institute of Chemical Physics
Chinese Academy of China

Internal Address:

Street Address: The People's Republic of China

457 Zhongshan Road

City/State/Zip: DALIAN 116023

The people's REPUBLIC OF
ChinaAdditional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date(s) of the application is(are): 6/25/98; 6/22/98; 6/22/98; 6/22/98

A. Patent Application No.(s) 09/069,562

April 29, 1998

B. Patent No.(s)

Additional numbers attached?

☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Albert Wai-Kit Chan

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Street Address: Cooper & Dunham LLP

1185 Avenue of the Americas

City/State/Zip: New York, New York 10036

6. Total number of applications and patents involved:

7. Total fee (37 CFR §3.41): \$ 40.00

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number:

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9. Statement and signature.

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Albert Wai-Kit Chan

Name of Person Signing

Albert Wai-Kit Chan

Signature

December 18, 1998

Date

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Albert Wai-Kit Chan

Albert Wai-Kit Chan
Reg No. 36,479

Total Number of pages including cover sheet, attachments and document: 7

OMB No. 0651-0011 (exp. 4/94)

Mail documents to be recorded with required cover sheet information to:

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Washington, D.C. 20231

Applicants: Steve C.F. Au-Young, et al.
Serial No.: 09/069,562PATENT
REEL: 010246 FRAME: 0269

ASSIGNMENT

In consideration of One Dollar (\$1.00), and other good and valuable considerations, the receipt of which is hereby acknowledged, we, the undersigned,

Han Xiuwen
Wang Xinning
Lu Shiwei
Jiang Tao

Hereby sell, assign and transfer to Dalian Institute of Chemical Physics,
Chinese Academy of Sciences ~~a corporation of the~~
~~State of~~

an institution having a place of business at
The People's Republic of China.

~~In the County of~~ ~~And State of~~
their successors, assigns and legal representatives, the entire right, title and interest for all
countries, in and to any and all inventions which are disclosed and claimed, and any and all
inventions which are disclosed but not claimed, in the application for United States Letters
Patent, which has been executed by the undersigned on 6/22/98, 6/25/98 and is entitled

SYNTHESIS OF PLATINUM COMPLEXES AND USES THEREOF

and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all
other applications for United States Letters Patent or other related property rights in any and all
foreign countries which have been or shall be filed on any of said inventions disclosed in said
application; and in and to all original and reissued patents or related foreign documents which
have been or shall be issued on said inventions;

Authorize and request the Commissioner of Patents of the United States to issue to said
Assignees, he corporations above named, their successors, assigns and legal representatives, in
accordance with this assignment, any and all United States Letters Patent on said inventions or
any of them disclosed in said application;

Agree that said assignees may apply for and received foreign Letters Patent or rights of any other kind for said inventions, or any of them; and may claim, in applications for said foreign Letters Patent or other rights, the priority of the aforesaid United States patent application under the provisions of the International Convention of 1883 and later modifications thereof, under the Patent Cooperation Treaty, under the European Patent Convention or under any other available international agreement; and that, when requested, without charge to, but at the expense of, said Assignees, their successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned or the undersigneds' executors or administrators will, for the United States and all foreign countries, execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications or other documents on any and all said inventions; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignees, their successors, assigns and representatives, all facts known and documents available to the undersigned relating to said inventions and the history thereof; testify in all legal proceedings; and generally do everything possible which said Assignees, their successors, assigns or representatives shall consider desirable for aiding in securing, maintaining and enforcing proper patent protection for said inventions and for vesting title to said inventions and all applications for patents or related foreign rights and all patents on said inventions, in said Assignees, their successors, assigns and legal representatives; and

COVENANT with said Assignees, their successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Date: 12-14-98, 1998

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BY

HAN XIUWEN, WANG XINNING,
LU SHIWEI, AND JIANG TAO

TO

DALIAN INSTITUTE OF CHEMICAL PHYSICS
CHINESE ACADEMY OF SCIENCES

APPLICATION FOR LETTERS PATENT
OF THE UNITED STATES FOR:

SYNTHESIS OF PLATINUM COMPLEXES
AND USES THEREOF

Attorney 

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