

12-02-1999



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RECORDATION
PATENTS ONLYDOCKET: GE996023
EXPRESS NO.: EI227271651US
US Department of Commerce
Patent & Trademark Office

6/30/97

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Dr. Frank Leymann
Dieter Roller

2. Name and address of receiving party(ies):

Name: International Business
Machines CorporationStreet Address: Old Orchard Road
City: Armonk State: NY ZIP: 10504Additional name(s) of conveying
party(ies) attached __Yes XX NoAdditional name(s) & address(es) attached?
__Yes XX No

3. Nature of conveyance:

XX Assignment

Execution Date: 06/20/97 & 06/20/97

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: June 20, 1997.

A. Patent Application No.(s)

08884954

B. Patent No.(s)

Additional numbers attached? __Yes XX No

5. Name and address of party to whom
correspondence concerning document
should be mailed:Name: David J. Kappos
Internal IBM Corporation
Address: Intellectual Property Law
Street 555 Bailey Ave. (J46/G466)
Address: San Jose, CA 95141-10036. Total Number of applications and patents
involved: One7. Total fee (37 CFR 3.41).....\$ 40.00
__ Enclosed
XX Authorized to be charged to
deposit account CHARGE FEE

8. Deposit Account Number: 09-0460

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

David J. Kappos

June 30, 1997
Date

Total number of pages including cover sheet, attachments, and document: /_2_/

Page 1 of 1

ASSIGNMENT

Docket No. GE996023

Whereas, we

INVENTOR
AND CITY(1) Dr. Frank Leymann
County ofof Aidlingen,
Federal Republic of Germany,and (2) Dieter Roller
County ofof Schönaich,
Federal Republic of Germany,

have invented certain improvements in

TITLE

METHOD OF STRATIFIED TRANSACTION PROCESSING

DATES THAT
INVENTORS
SIGNED THE
DECLARATION

and executed, respectively, a United States patent application therefor on

(1) June 20, 1997, (2) June 20, 1997.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed:

CITY
DATE(1) at Böblingen
on June 20, 1997,Dr. Frank Leymann
Dr. Frank Leymann SIGNATURE
INVENTORCITY
DATEand (2) at Böblingen
on June 20, 1997,Dieter Roller
Dieter Roller SIGNATURE
INVENTOR

** TOTAL PAGE.003 **

IBM
Intellectual Property Law, Santa Teresa Laboratory
FACSIMILE TRANSMITTAL

DATE: 12/2/99 TOTAL NO. OF PAGES (including cover): 3X IBM CONFIDENTIALX UNCLASSIFIED

(REGISTERED IBM CONFIDENTIAL AND VERY SENSITIVE DATA NOT PERMITTED)

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TO:**FROM:**NAME Sedley Tyne

Name: Jeanette Berry Durbin

OF Assignment Division
US Patent and Trademark officeDEPT/BLDG. J46A/G476LOCATION Santa Teresa LabTELEPHONE 703-308-9723TELEPHONE (408) 463-5672TELECOPIER 703-308-7124

COMMENT:

RE: GE9-96-023 Serial Number 08/884,954

Sedley, per our conversation of this morning I have attached a copy of the assignment and recordation cover sheet, as submitted to the USPTO with the application on 6/30/97. Please ensure that this assignment is recorded and is shown on the patent when it issued. Thank you very much for your assistance.

Address: IBM CORPORATION
Intellectual Property Law, J46/G476
555 Bailey Avenue
San Jose, California, 95141

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