

09-23-1999

Docket No.:

FORM PTO-595 (Modified)
(Rev. 6-93)
OMB No. 0651-0011 (exp. 4/94)
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P08/REV02



U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

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1999 SEP 20 AM 9:29

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
Cardiogenesis Corporation

OPR/FINANCE

9-20-99

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: 8/23/99

2. Name and address of receiving party(ies):

Name: Eclipse Surgical Technologies, Inc.

Internal Address: Attn: Legal Dept.

Street Address: 1049 Kiel Court

City: Sunnyvale State: CA ZIP: 94089

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

5,093,877	5,925,033	5,840,059
5,505,725	5,389,096	5,728,091
5,380,316	5,885,272	
5,554,152	5,104,391	

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Eclipse Surgical Technologies, Inc.

Internal Address: Attn: Legal Dept.

Street Address: 1049 Kiel Court

City: Sunnyvale State: CA ZIP: 94089

6. Total number of applications and patents involved: 10

7. Total fee (37 CFR 3.41):.....\$ 400.00

- ☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account
☒ Authorized to be charged to deposit account

8. Deposit account number:

05-0419

09/23/1999 DNGUYEN 00000082 050419 5093877

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Janet Kaiser Castaneda

Name of Person Signing

Signature

9/16/99

Date

Total number of pages including cover sheet, attachments, and document:

4

PATENT

REEL: 010247 FRAME: 0272

ASSIGNMENT

WHEREAS Cardiogenesis Corporation, a Delaware corporation located at 1049 Kiel Court, Sunnyvale, California, (hereinafter Cardiogenesis) is the owner or joint owner of the United States Letters Patent listed on attached Schedule A;

WHEREAS Eclipse Surgical Technologies, Inc., a California corporation located at 1049 Kiel Court, Sunnyvale, California, (hereinafter Eclipse), is desirous of acquiring from Cardiogenesis, its wholly owned subsidiary, Cardiogenesis' entire right, title and interest in and to the Letters Patent listed on Schedule A;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, Cardiogenesis hereby assigns, transfers and conveys to Eclipse and to its successors, legal representatives, and assigns, all of Cardiogenesis' right, title, and interest in and to the Letters Patent, including all extensions, renewals and reissues and reexamination certificates thereof, and including the right, if any, to sue or bring other actions for past, present and future infringement thereof.

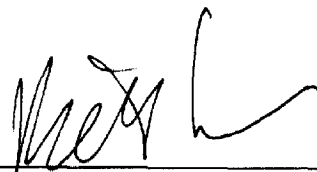
AND Cardiogenesis hereby covenants that it has the full right to convey its entire interest herein assigned, and that it has not executed, and will not execute, any agreement in conflict therewith.

AND Cardiogenesis hereby further covenants and agree that it will communicate to Eclipse, its successors, legal representatives and assigns, any facts known to it respecting said Letters Patent, and testify in any legal proceeding, sign all lawful papers, make all rightful oaths and generally do everything possible to aid Eclipse, its successors, legal representatives and assigns, at the expense of Eclipse, to enforce proper patent

protection for said Letters Patent in all countries.

IN WITNESS WHEREOF, Cardiogenesis has executed this Agreement this 23 day of

August, 1999.

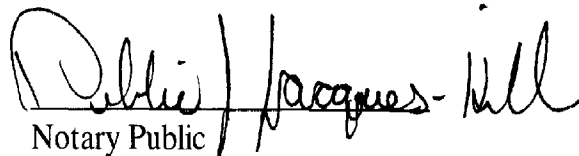
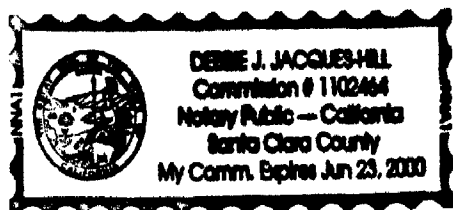


Richard P. Powers
Executive Vice President and CFO

STATE OF CALIFORNIA)
) ss.
COUNTY OF SANTA CLARA)

On this 23 day of August, 1999 before me Debbie J. Jacques - Hill Notary Public, personally appeared R. P. Powers, personally known to me or proved to me on the basis of satisfactory evidence to be the person~~(s)~~ whose name~~(s)~~ is/~~are~~ subscribed to the within instrument, and acknowledged that he/~~she~~/~~they~~ executed the same in his/~~her~~/~~their~~ authorized capacity~~(ies)~~, and that by his/~~her~~/~~their~~ signature~~(s)~~ on the instrument the person~~(s)~~, or the entity upon behalf of which the person~~(s)~~ acted, executed the instrument.

Witness my hand and official seal.


Notary Public

SCHEDULE A

<u>Patent Number</u>	<u>Issue Date</u>	<u>Title</u>
5,093,877	3/3/92	Optical Fiber Lasing Apparatus Lens
5,505,725	4/9/96	Shapeable Optical Fiber Apparatus
5,380,316	1/10/95	Method for Intra-Operative Myocardial Device Revascularization
5,554,152	9/10/96	Method for Intra-Operative Myocardial Revascularization
5,925,033	7/20/99	Method for Intra-Operative Myocardial Revascularization
5,389,096	2/14/95	System and Method for Percutaneous Myocardial Revascularization
5,885,272	3/23/99	System and Method for Percutaneous Myocardial Revascularization
5,104,391	4/14/92	Optical Fiber Breakage Detection System
5,840,059	11/24/98	Therapeutic and Diagnostic Agent Delivery
5,728,091	3/17/98	Optical Fiber for Myocardial Channel Formation