

09-23-1999



TSMC99-258

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MA 9-15-99

CHAO-CHENG CHEN, LI-CHI CHAO,
JEN-CHENG LIU, MIN-HUEI LUI AND
CHIA-SHIUNG TSAI

2. Name and address of receiving party(ies):

Name: Taiwan Semiconductor Manufacturing Company

Internal Address:

Street Address:

121 Park Ave. 3
Science-Based Industrial Park
City: Hsin-Chu Zip:
Country: Taiwan, R.O.C.Additional name(s) or conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance



Assignment



Merger



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other

Execution Date: 8/2/99Additional name(s) & address(es) attached? Yes ☐ No ☒

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 8/2/99A. Patent Application No(s)B. Patent No.(s)

09/396516

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: GEORGE O. SAILE

Internal Address: _____

Street Address: 20 MCINTOSH DRIVECity: POUGHKEEPSIE State: NY ZIP: 126036. Total no. of applications and patents involved: 17. Total fee (37 CFR 3.41): \$ 40.00

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GEORGE O. SAILE

Name of Person Signing

George O. Saile

Signature

September 13, 1999

Date

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Total number of pages comprising cover sheet: 3

For ☒ U.S. and/or ☒ Foreign Rights

For ☒ Application or ☐ U.S. Patent

By ☒ Inventor(s) or ☐ Present Owner

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNOR:

CHAO-CHENG CHEN

Type or print name of ASSIGNOR

14-6 Min-Tzu Rd. Maten.

Address

Tainan County, Taiwan

Taiwan

Nationality

LI-CHI CHAO

Type or print name of ASSIGNOR

No. 173 San-ming Rd

Address

Yang-mei, Taiwan

Republic of China

Nationality

ASSIGNEE:

Taiwan Semiconductor Manufacturing Co. Ltd.

121 Park Ave. 3

Science-Based Industrial Park

Hsin-Chu, Taiwan, R.O.C.

Taiwan, R.O.C.

Nationality

and the successors, assigns and legal representatives of the ASSIGNEE

(complete one of the following)

- ☒ the entire right, title and interest
- ☐ an undivided _____ percent (____%) interest for the United States and its territorial possessions
(check the following box/f foreign rights are also to be assigned)
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in and to any and all improvements which are disclosed in the invention entitled:

Film Stack And Etching Sequence For Dual Damascene

For ☒ U.S. and/or ☒ Foreign Rights

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ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNOR:

JEN-CHENG LIU
Type or print name of ASSIGNOR

NO 3 Lane 144, An-Ceh St.,
Address

Chia-Yih City,

Taiwan, Republic of China
Nationality

MIN-HUEI LUI
Type or print name of ASSIGNOR

4F, 31-3 ALI5, LN72 Hsin-Hai Rd.
Address

Pao-chiao, Taiwan

R.O.C.
Nationality

CHIA-SHIUNG TSAI
Type or print name of ASSIGNOR

3F, No 7 Sunflower Town Shung-Hsi
Address

village Pao-shan County Hsin-Chu, Taiwan

Republic of China
Nationality

ASSIGNEE:

Taiwan Semiconductor Manufacturing Co. Ltd.

121 Park Ave. 3

Science-Based Industrial Park

Hsin-Chu, Taiwan, R.O.C.

Taiwan, R.O.C.

Nationality

and the successors, assigns and legal representatives of the ASSIGNEE

(complete one of the following)

☒ the entire right, title and interest

☐ an undivided _____ percent (___%) interest for the United States and its territorial possessions

(check the following box if foreign rights are also to be assigned)

☒ and in all foreign countries

in and to any and all improvements which are disclosed in the invention entitled:

Film Stack And Etching Sequence For Dual Damascene

and which is found in

- (a) ☒ U.S. patent application executed on even date herewith
- (b) ☐ U.S. patent application executed on _____
- (c) ☐ U.S. application serial no. _____ filed on _____
- (d) ☐ U.S. patent no. _____ issued _____
(also check (e) if foreign application(s) is also being assigned)
- (e) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute, thereof, and as to letters patent any re-issue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I / We have signed this

Aug 2 - 1999 (Date of signing)

WARNING Date of signing must be the same as the date of execution of the application if item (a) was checked above.

Chao - Chy Chu
Signature of ASSIGNOR(S)

Chia Shung Tsai

Jen - Chy Liu

[Signature]

[Signature]

If ASSIGNOR is a legal entity complete the following information

Type or print the name of the above person authorized to sign on behalf of ASSIGNOR

Title

Note: No witnessing, notarization or legalization is necessary, if the assignment is notarized or legalized then it will only be prima facie evidence (35 USC 261). Use next page if notarization is desired.

___Notarization of Legalization Page Added.