09-23-1999 FORM PTO-1595 (Modified) RECORDATION FORM COV T OF COMMERCE (Rev. 6-93) OMB No. 0651-0011 (exp.4/94) d Trademark Office PATENTS O RECEIVED Copyright 1994-97 LegalStar P08/REV02 Tab settings → → To the Honorable Community of Peterns and Trademarks: Please record the attached original documents or copy thereof. 1. Name of conveying party(ies):/FINANCE 2. Name and address of receiving party(ies): **Taeyoung Yoon** Name: Neurogen Corporation 9.20.99 Internal Address: ☐ Yes 🛛 No Additional names(s) of conveying party(ies) 3. Nature of conveyance: ☐ Merger Street Address: 35 Northeast Industrial Road ☐ Security Agreement ☐ Change of Name ☐ Other _ City: Branford _____ State: CT ZIP: 06405 Execution Date: 9/8/99 **⊠** No 4. Application number(s) or registration numbers(s): If this document is being filed together with a new application, the execution date of the application is: A. Patent Application No.(s) B. Patent No.(s) 09/328,179 Additional numbers attached? ☐ Yes **⋈** No 5. Name and address of party to whom correspondence 6. Total number of applications and patents involved: concerning document should be mailed: Name: McDonnell Boehnen Hulbert & Berghoff 7. Total fee (37 CFR 3.41):....\$ 40.00 Internal Address: Enclosed - Any excess or insufficiency should be credited or debited to deposit account b9/22/1999 JSHABAZZ 00000073 093281**7**9 Authorized to be charged to deposit account b1 FC:581 Street Address: 300 South Wacker Drive 8. Deposit account number: 13-2490 City: Chicago State: IL ZIP: 60606 DO NOT USE THIS SPACE

Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy

of the original document.

Steven J. Sarussi

Name of Person Signing

Signature

Total number of pages including cover sheet, attachments, and document:

Date

REEL: 010249 FRAME: 0616

ASSIGNMENT

Case No.: 96,1144-A Serial No.: 09/328,179

Inventors: Taeyoung Yoon

Date of Execution of Application: 9 8 99 Filing Date: June 8, 1999

In consideration of One Dollar (\$1.00) and other good and valuable considerations in hand paid, the receipt and sufficiency whereof are hereby acknowledged, the undersigned hereby assign to:

Neurogen Corporation, a corporation of the State of Delaware

its successors and assigns, the entire right, title and interest in the invention or improvements of the undersigned disclosed in an application for Letters Patent of the United States, entitled:

PYRIDO[2,3-B]INDOLIZINE DERIVATIVES AND AZA ANALOGUES THEREOF: CRF1 SPECIFIC LIGANDS

and identified as:

Case No. 96,1144-A

in the offices of McDonnell, Boehnen, Hulbert & Berghoff, Ltd. and in said application and any and all other applications, both United States and foreign, which the undersigned may file, either solely or jointly with others, on said invention or improvements, and in any and all Letters Patent of the United States and foreign countries, which may be obtained on any of said applications, and in any reissue or extension of such patents, and further assigns to said assignee the priority right provided by the International Convention.

The undersigned hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said assignee.

The undersigned hereby authorize and request the attorneys of record in said application to insert in this assignment the filing date and serial number of said application when officially known, and the date of execution of the application.

The undersigned warrant themselves to be the owners of the entire right, title and interest in said invention or improvements and to have the right to make this assignment, and further warrant that there are no outstanding prior assignments, licenses, or other encumbrances on the interest herein assigned.

For said considerations the undersigned hereby agree, upon the request and at the expense of said assignee, its successors and assigns, to execute any and all divisional, continuation and substitute applications for said invention or improvements, and any necessary oath, affidavit or declaration relating thereto, and any application for the reissue or extension of any Letters Patent that may be granted upon said application and any and all applications and other documents for Letters Patent in foreign countries on said invention or improvements, that said assignee, its successors or assigns may deem necessary or expedient, and for the said considerations the undersigned authorize said assignee to apply for patents for said invention or improvements in its own name in such countries where such procedure is proper and further agree, upon the request of said assignees, its successors and assigns, to cooperate to the best of the ability of the undersigned with said assignee, its successors and assigns, in any proceedings or transactions involving such applications or patents, including the preparation and execution of preliminary statements, giving and producing evidence, and performing any and all other acts necessary to obtain, maintain and enforce said Letters Patent, both United States and foreign, and vest all rights therein hereby conveyed in the assignees, its successors and assigns, whereby said Letters Patent will be held and enjoyed by the

> PATENT REEL: 010249 FRAME: 0617

WITNESS my hand and seal this day of And Andrews MITNESS my hand and seal this day of State of CT

County of New Haven

The foregoing instrument was acknowledged before me this day of Sept., 1999 by Taeyoung Yoon

Carclus Money

NOTARY PUBLIC

said assignees, its successors and assigns, to the full end of the term for which said Letters Patent will be granted, as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment had not

been made.

RECORDED: 09/20/1999

PATENT REEL: 010249 FRAME: 0618