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RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

DOCKET NO.: 4006-067

PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereto:

1. Name of conveying party(ies):

Chine-Gie LOU and Min-Hwa CHI

2. Name and address of receiving party(ies):

Name: WORLDWIDE SEMICONDUCTOR MANUFACTURING CORP.

Internal Address: _____

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger☐ Security Agreement ☐ Change of Name☐ Other _____Street Address: No. 25, Li-Hsin Rd., Science-Based Industrial Park, Hsinchu, Taiwan, R.O.C.

City: _____ State/Country: _____ ZIP: _____

Execution Date: August 12, 1999Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If the document is being filed together with a new application, the execution date of the application is: August 12, 1999

A. Patent Application No(s).

B. Patent No(s).

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: LOWE HAUPTMAN GOPSTEIN GILMAN & BERNER

Internal Address: _____

Street Address: 1700 Diagonal Road, Suite 310City: Alexandria State: VA ZIP: 223146. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41)..... \$40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

07-1337

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Name and Registration No. of Person Signing
Benjamin J. Hauptman, 29,310

Signature

9/9/99

Date

Total number of pages comprising cover sheet: 1

CMB No. 0851-0011 (exp. 4/94)

ASSIGNMENT

This assignment agreement is applicable to an invention entitled (Invention Title) _____

METHOD TO FABRICATE DRAM CAPACITOR

The PATENT RIGHTS referred to in this agreement are:

(check one) ☒ a patent application for this invention, executed by the ASSIGNOR(S) concurrently with this assignment.

☐ U.S. patent application Serial No. _____, filed _____

☐ a U.S. patent application based on PCT International Application

No. _____ filed on (date) _____ (U.S. patent application Serial No. _____, if known).

☐ U.S. patent No. _____, issued _____

The PATENT RIGHTS also include all divisions reissues, continuations and extensions of the patents and patent applications identified above.

The PATENT RIGHTS assigned under this agreement are:

(check one) ☒ U.S. patent rights only.

☐ Worldwide patent rights. In this case, the assignee shall have the right to claim the benefit of the filing date of any U.S. or foreign patent application for this invention.

The ASSIGNOR(S) referred to in this agreement is (or are) the inventor(s) whose signatures appear on page 2 of this Assignment and any Supplemental Sheet(s).

The ASSIGNEE referred to in this agreement is:

(Name of Assignee) WORLDWIDE SEMICONDUCTOR MANUFACTURING CORP.

(Address) NO.25, LI-HSIN RD., SCIENCE-BASED INDUSTRIAL PARK, HSINCHU, TAIWAN, R.O.C.

The ASSIGNEE is:

(check one) ☐ An individual.

☐ A Partnership.

☒ A Corporation of TAIWAN, R.O.C. (specify state or country)

☐ (other) _____

The ASSIGNOR(S), in consideration of \$10.00 paid by the ASSIGNEE, and other good and valuable consideration, receipt of which is acknowledged, hereby assign(s) the following rights to the ASSIGNEE, its successors and assigns:

the full and exclusive right to the invention;

the entire right, title and interest in and to the PATENT RIGHTS;

the right to sue and recover for any past infringement; and

the right to claim priority under 35 USC 119, 35 USC 120, or any other applicable provisions, based on any earlier patent applications for this invention.

THIS IS PAGE 2 OF AN ASSIGNMENT FROM THE INVENTOR(S) TO
ASSIGNEE: WORLDWIDE SEMICONDUCTOR MANUFACTURING CORP.
INVENTION TITLE: METHOD TO FABRICATE DRAM CAPACITOR

As to all U.S. patent applications assigned under this agreement, the ASSIGNOR(S) hereby authorize(s) and request(s) the Commissioner of Patents and Trademark to issue all Letters Patent to the ASSIGNEE as the assignee of the entire right, title and interest, for the sole use and enjoyment of said ASSIGNEE, its successors and assigns.

Further, the ASSIGNOR(S) agree(s) to communicate to said ASSIGNEE, or its representatives, any fact known to the ASSIGNOR(S) with respect to said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, substitute, renewal, reexamination and reissue applications, execute all necessary assignment papers to cause and all Letter Patent to be issued to said ASSIGNEE, make all rightful oaths and generally do everything necessary or desirable to aid said ASSIGNEE, its successors and assigns, to obtain and enforce proper protection for said invention.

The ASSIGNOR(S) authorize(s) the attorneys and agents who have the power of attorney in this application to check any appropriate boxes and to insert the Serial Number and filing date in this document after it has been executed.

<u>Chine-Gie' LOU</u>	<u>Chine - Gie' Lou</u>	<u>Aug. 12, 1999</u>
Name of sole or first inventor	Signature	Date
<u>Min-Hwa CHI</u>	<u>Min-Hwa Chi</u>	<u>Aug 12, 1999</u>
Name of second inventor, if any	Signature	Date
<u></u>	<u></u>	<u></u>
Name of third inventor, if any	Signature	Date
<u></u>	<u></u>	<u></u>
Name of fourth inventor, if any	Signature	Date
<u></u>	<u></u>	<u></u>
Name of fifth inventor, if any	Signature	Date
<u></u>	<u></u>	<u></u>
Name of sixth inventor, if any	Signature	Date
<u></u>	<u></u>	<u></u>
Name of seventh inventor, if any	Signature	Date