

A/DS81-40

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

<p>1. Name of conveying party(ies): John N. HOLMES</p> <p>Additional name/s of conveying party/ies attached? <input type="checkbox"/></p> <p>3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Assignment <input type="checkbox"/> Change of Name <input type="checkbox"/> Other _____</p> <p>Execution Date: <u>19 December 1998</u></p>	<p>2. Name and address of receiving party(ies):</p> <p>Name: <u>The Secretary of State for Defence</u> Internal Address: _____ Street Address: <u>Defence Research Agency, Ively Road</u> <u>Farnborough</u></p> <p>City: <u>Hampshire</u> State/Country: <u>Great Britain</u> Zip: <u>GU14 0LX</u></p> <p>Additional name/s & address/es attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
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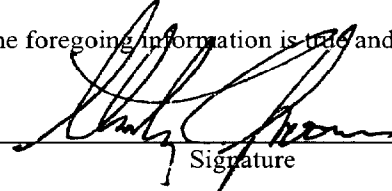
4. Application number(s) or patent number(s):
If this document is being filed together with a new application, the execution date of the application is: 19 December 1998

<p>A. Patent Application No(s). (1) Unknown (2) (3)</p>	<p>B. Patent No(s). (1) <u>09/242532</u> (2) (3)</p> <p>Additional numbers attached <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
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<p>5. Name and address of party to whom correspondence concerning document should be mailed:</p> <p>Name: <u>Stanley C. Spooner</u></p> <p>Internal Address: _____</p> <p>Street Address: <u>Nixon & Vanderhye P.C.</u> <u>1100 North Glebe Road</u> <u>8th Floor</u> City: <u>Arlington</u> State: <u>VA</u> Zip: <u>22201</u></p>	<p>6. Total number of applications & patents involved: <u>1</u></p> <p>7. Total fee (37 CFR 3.41) \$ <u>40.00</u> <input checked="" type="checkbox"/> Enclosed <input type="checkbox"/> Authorized to be charged to deposit account #14-1140</p> <p>8. The Commissioner is hereby authorized to charge any <u>deficiency</u> in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper thereafter filed in this application by this firm) to our Account No. 14-1140.</p>
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9. Statements and signature.
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

<p><u>Stanley C. Spooner</u> Name of Person Signing Reg. No. 27,393</p>	 Signature	<p><u>February 18, 1999</u> Date</p>
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Total number of pages including original cover sheet, attachments, and document: [3]

ASSIGNMENT OF PATENT APPLICATION (US NATIONAL PHASE OF PCT)

- (1) John Nicholas HOLMES
- (2) _____
- (Inventors)** (3) _____
- (4) _____
- (5) _____
- _____

In consideration of payment for my employment and other good and valuable considerations paid to each of the undersigned, the undersigned agree(s) to assign; hereby does assign, transfer and set over to

(Assignee) (6) The Secretary of State for Defence

(Address) (7) Defence Research Agency, Ively Road, Farnborough,
Hampshire, GU14 0LX

(hereinafter designated as the Assignee), the entire right, title and interest for the United States, its territories, dependencies and possessions, and for the country of

(Other (8) _____ in the invention, and all
applications for patent
Countries) and any Letters Patent which may be granted therefor, known
as

(Title) (9) SPEECH PROCESSING SYSTEM

(Case No: IPD/P2555/USW)

for which the unassigned has (have) executed on even date herewith an application for patent in the United States of America or, if not on even date, then has executed

(10) on _____
or has already filed in


(11) U.S. appln. Serial Number _____,
filed on _____

(12) The undersigned acknowledges an obligation of assignment of this invention to said assignee at the time the invention was made.

- 1) The undersigned agree(s) to execute all papers and document necessary in connection with the application or any interference which may be declared and any continuing or divisional application thereof and also to execute separate assignments in connection with applications as the Assignee may deem necessary or expedient and further to perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.
- 2) The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee.
- 3) The undersigned hereby authorise(s) and request(s) the Commissioner of Patents to issue any and all Letters Patent of the United States resulting from said application or any division or divisions or continuing applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has (they have) full right to convey the entire interest hereby assigned, and that he has (they have) not executed and will not execute, any agreement in conflict herewith.
- 4) The undersigned hereby grant(s) the firm of **NIXON & VANDERHYE P.C.** the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent Office for record of this document.

In witness whereof, executed by the undersigned on the date(s) opposite the undersigned signature(s).

Date 19 December 1998 Signature of Inventor (1) 
J N HOLMES

Date 19 December 1998 Witness (1) 

Date _____ Signature of Inventor (2) _____

Date _____ Witness (2) _____

Date _____ Signature of Inventor (3) _____

Date _____ Witness (3) _____

Date _____ Signature of Inventor (4) _____