

09-27-1999

Case Name: Zah 1



PATENT



101155444

MD 92-99

To the Honorable Commissioner of Patents and Trademarks: Please record the attached documents or copy thereof.

(1) Conveying Party(ies): Chung-en Zah

Title: BURIED RIDGE SEMICONDUCTOR LASER WITH ALUMINUM-FREE CONFINEMENT LAYER

Additional name(s) of conveying party(ies) attached? Yes No

(2) Receiving Party: Corning Incorporated
Patent Dept.; SP-TI-3-1
Corning, NY 14831

(3) Nature of conveyance: Assignment executed on August 26, 1999.

(4) Application number(s) and/or patent number(s) against which this assignment is to be recorded:

A. Patent Application No.(s): 09/333,165
Filing date: 6/14/99

B. Patent No.(s):
Filing date:

If this document is being filed together with a new application, this assignment is also to be recorded against said application, executed on _____, 1999.

(5) Name and address of party to whom correspondence concerning document should be mailed:

Name: Juliana Agon
Reg. No. 33,468
Corning Incorporated
Patent Department
SP-TI-3-1
Corning, NY 14831
Phone No.: (607) 974-6574

(6) Total Number of applications and/or patents involved: one

(7) Total Fee = \$40.00

- Enclosed (Check No.)
- Included with filing fee. Check No. _____
- Authorization given by Corning Incorporated to charge deposit account number 03-3325 (attached is a triplicate copy of this page)

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Juliana Agon
Juliana Agon

8/31/99
Date

Total number of pages comprising cover sheet: three

ASSIGNMENT

FOR VALUE RECEIVED, I, **Chung-en Zah**, hereby sell, assign and transfer unto Corning Incorporated, a corporation organized and existing under the laws of the State of New York, having its principal place of business at Corning, New York, (hereinafter CORNING), as assignee, and unto its successors, assigns and legal representatives, the entire right, title and interest, for all countries, in and to certain inventions relating to **BURIED RIDGE SEMICONDUCTOR LASER WITH ALUMINUM-FREE CONFINEMENT LAYER** and generally described in an application for Letters Patent of the United States, executed by me on August 26 1999, and all the rights and privileges under any and all Letters Patents that may be granted therefor. I request that any and all patents for said invention be issued to CORNING, its successors, assigns and legal representatives, or to such nominees as CORNING may designate.

I agree that, when requested, I will, without charge to CORNING and at CORNING's expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining patents for said inventions in any and all countries and for vesting title thereto in CORNING, its successors, assigns and legal representatives or nominees.

I authorize and empower CORNING, its successors, assigns and legal representatives or nominees, to invoke and claim for any application for patent or other form of protection for said inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for said Convention or entered as a supplement to, and to invoke and claim such right of priority without further written or oral authorization from me.

I hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in any country for any purpose and more particularly in proof of the right of CORNING, or its successors, assigns and legal representatives or nominees to claim the aforesaid benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it or entered as a supplement to it.

I covenant with CORNING, its successors, assigns and legal representatives or nominees, that the rights and property herein conveyed are free and clear of any encumbrance, and that I have full right to convey the same as herein expressed.

Signed at Corning, N.Y., this

August 26

1999

Chung-en Zah
Chung-en Zah

State of New York)

: ss.

County of Steuben)

On the 26 day of August, 1999, before me personally came **Chung-en Zah** known to be the persons described in and who executed the foregoing instrument, and acknowledged that he executed the same.

Deborah A. Heckaday
Notary Public

DEBORAH A. HOCKADAY
Notary Public, State of New York
Steuben County, Reg. # 01HO502688
Commission Expires April 25, 2000