

09-27-1999

ASSIGNMENT



VER SHEET Patents Only

MRO 9-15-99

To the Honorable Commissioner of
Please record the attached original documents or copy thereof.

101156017

Attorney Docket. No.: 9146-44

1. Name of conveying party(ies):

Nathan E. Hardwick, III
Kenneth Wade Jackson
Clyde Jefferson Lever
Richard Hartford Norris
Jim Jenqtsong Sheu
Richard Dalton Small, Jr.
Carl Raymond Taylor
Peter A. Weimann

2. Name and address of receiving party(ies):

LUCENT TECHNOLOGIES, INC.
600 Mountain Avenue
P. O. Box 636
Murray Hill, NJ 07974-0636

Additional name(s) of conveying party(ies) attached? Yes ☐ No ☒

3. Nature of conveyance:

- ☒ Assignment
☐ Merger
☐ Security Agreement
☐ Change of Name
☐ Other _____

Execution Date: September 14, 1999Additional name(s) & address(es) attached? Yes ___ No X4. Application No. 09/397444

Patent No. _____

If this document is being filed together with a new application, the execution date of the application
is: September 14, 1999

Additional numbers attached? Yes ☐ No ☒5. Name and address of party to whom correspondence
concerning document should be mailed:

James A. Witherspoon
ALSTON & BIRD LLP
Post Office Drawer 34009
Charlotte, N.C. 28234

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$40.00

- ☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number: 12-2325

09/24/1999 MTHAI1 00000144 122325 09397444

DO NOT USE THIS SPACE

09/24/1999 MTHAI1 00000144 122325 09397444

Statement of Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of
the original document.

James A. Witherspoon (Reg. No. 36,723)

Name of Person Signing

Signature

Date

9/15/99Total number of pages including cover sheet, attachments and document: 10

Lucent Case Name/No. Hardwick 10-18-19-3-16-11-37-2

ASSIGNMENT AND AGREEMENT

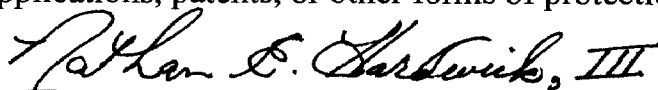
For value received, we, Nathan E. Hardwick, III, of Dunwoody in the County of DeKalb and State of Georgia, Kenneth Wade Jackson, of Snellville in the County of Gwinnett and State of Georgia, Clyde Jefferson Lever, of Buford in the County of Gwinnett and State of Georgia, Richard Hartford Norris, of Powder Springs in the County of Cobb and State of Georgia, Jim Jenqtsong Sheu, of Dunwoody in the County of DeKalb and State of Georgia, Richard Dalton Small, Jr., of Lilburn in the County of Gwinnett and State of Georgia, Carl Raymond Taylor, of Lawrenceville in the County of Gwinnett and State of Georgia, and Peter A. Weimann, of Atlanta in the County of DeKalb and the State of Georgia, hereby sell, assign and transfer to Lucent Technologies, Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, P. O. Box 636, Murray Hill, New Jersey 07974-0636, U.S.A., and its successors, assigns, and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **STACKS OF OPTICAL FIBER RIBBONS CLOSELY BOUND BY RESPECTIVE BUFFER ENCASEMENTS, ASSOCIATED METHODS, AND ASSOCIATED FIBER OPTIC CABLES** described in an application for Letters Patent of the United States, executed by us of even date herewith, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies, Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Lucent Technologies, Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this Assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies, Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Lucent Technologies, Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies, Inc. may designate.


We agree that, when requested, we shall, without charge to Lucent Technologies, Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

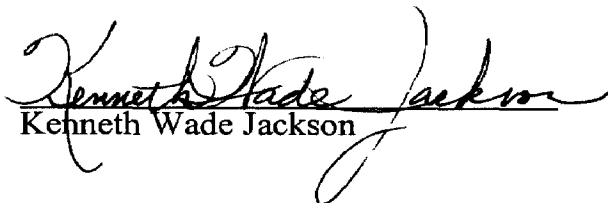

Nathan E. Hardwick, III

Date: 09/14/99

United States of America)
)
State of Georgia) ss:
)
County of Gwinnett)

On this 14th day of September, 1999, before me personally came Nathan E. Hardwick, III, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

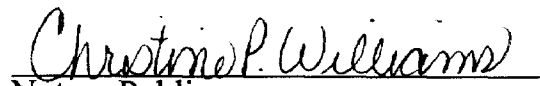

Notary Public
Notary Public, Forysth County, Georgia
My Commission Expires September 28, 2001


Kenneth Wade Jackson

Date: 09-14-99

United States of America)
)
State of Georgia) ss:
)
County of Gwinnett)

On this 14th day of September, 1999, before me personally came Kenneth Wade Jackson, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

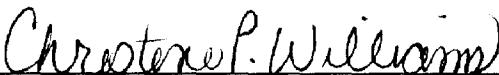

Notary Public
Notary Public, Forsyth County, Georgia
My Commission Expires September 26, 2001


Clyde Jefferson Lever

Date: 09/14/99

United States of America)
)
State of Georgia) ss:
)
County of Gwinnett)

On this 14th day of September, 1999, before me personally came Clyde Jefferson Lever, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.



Notary Public
Notary Public, Forsyth County, Georgia
My Commission Expires September 28, 2001



Richard Hartford Norris

Date: 09/14/99

United States of America)
)
State of Georgia) ss:
)
County of Gwinnett)

On this 14th day of September, 1999, before me personally came Richard Hartford Norris, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

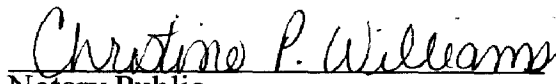

Notary Public
Notary Public, Forsyth County, Georgia
My Commission Expires September 29, 2001


Jim Jenqtsong Sheu

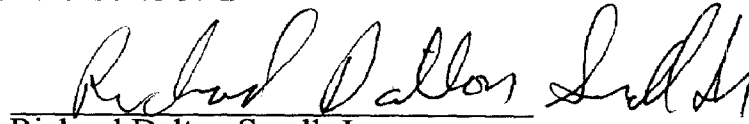
Date: 9/14/1999

United States of America)
)
State of Georgia) ss:
)
County of Gwinnett)

On this 14th day of September, 1999, before me personally came Jim Jenqtsong Sheu, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.


Notary Public

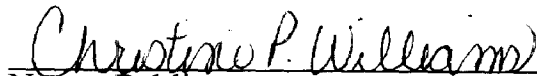
Notary Public, Forsyth County, Georgia
My Commission Expires September 23, 2001


Richard Dalton Small, Jr.

Date: 9/14/99

United States of America)
)
State of Georgia) ss:
)
County of Gwinnett)

On this 14th day of September, 1999, before me personally came Richard Dalton Small, Jr., to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.


Notary Public

Notary Public, Forysth County, Georgia
My Commission Expires September 28, 2001

Carl Raymond Taylor
Carl Raymond Taylor

Date: 9/14/99

United States of America)
)
State of Georgia) ss:
)
County of Gwinnett)

On this 14th day of September, 1999, before me personally came Carl Raymond Taylor, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Christine P. Williams
Notary Public

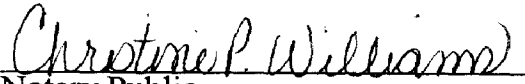
Notary Public, Forsyth County, Georgia
My Commission Expires September 23, 2001


Peter A. Weimann

Date: 9/14/99

United States of America)
)
State of Georgia) ss:
)
County of Gwinnett)

On this 14th day of September, 1999, before me personally came Peter A. Weimann, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.


Notary Public

Notary Public, Forsyth County, Georgia
My Commission Expires September 28, 2001

Lucent Technologies, Inc.
600 Mountain Avenue (Room 3C-512)
P. O. Box 636
Murray Hill, New Jersey 07974-0636