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To the Honorable Commissioner of Patents

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d original documents or copy thereof.

1. Name of conveying party(ies):

Stuart M. Garland

Zongji Yang MD 9-16-99

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: Lucent Technologies Inc.

Internal Address: _____

Street Address: 600 Mountain Avenue

P. O. Box 636

City: Murray Hill State: NJ ZIP: 07974-0636

Additional name(s) & address(es) attached? ☐ Yes ☒ No



3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other _____

Execution Date: 9/14/99

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 9/14/99

A. Patent Application No.(s)

09/397208

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Werner Ulrich

Street Address: 434 Maple Street

City: Glen Ellyn State: IL ZIP: 60137-3826

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number: 12-2325 ✓

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Werner Ulrich

Name of Person Signing

Werner Ulrich

Signature

Sept. 14, 1999

Date

Total number of pages including cover sheet, attachments, and document: 4

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

Garland 40-2
(JWHS-WUCC)
PATENT

REEL: 010259 FRAME: 0898

ASSIGNMENT AND AGREEMENT

For value received, we, **Stuart Mandel Garland** of **Morton Grove**, in the County of **Cook**, and State of **Illinois**, and **ZhongJin Yang** of **Naperville**, in the County of **DuPage**, and State of **Illinois**, hereby sell, assign and transfer to **Lucent Technologies Inc.**, a corporation of the State of **Delaware**, having an office at **600 Mountain Avenue, Murray Hill, New Jersey 07974-0636, U.S.A.**, and its successors, assigns and legal representatives, the entire right, title and interest, for the **United States of America**, in and to certain inventions related to **Providing Alerting/Call Waiting/Call Holding Services to On-Line Internet Users**, described in an Application for Letters Patent of the **United States**, executed by us of even date herewith, and all the rights and privileges in said Application and under any and all Letters Patent that may be granted in the **United States** for said inventions; and we also concurrently hereby sell, assign and transfer to **Lucent Technologies Inc.**, the entire right, title and interest in and to said inventions for all countries foreign to the **United States**, including all rights of priority arising from the Application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the **United States** for said inventions.

We authorize **Lucent Technologies Inc.** to make application for such protection in its own name and maintain such protection in any and all countries foreign to the **United States**, and to invoke and claim for any Application for Patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of **Lucent Technologies Inc.** to apply for Patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to **Lucent Technologies Inc.** in the **United States** and in all countries foreign to the **United States**, or to such nominees as **Lucent Technologies Inc.** may designate.

We agree that, when requested, we shall, without charge to Lucent Technologies Inc., but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

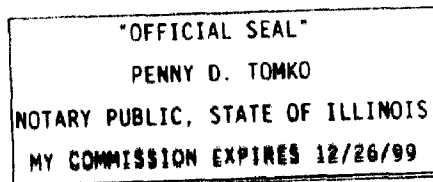
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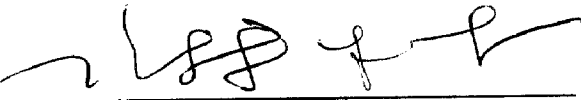
Date: 9/14/99

United States of America)
State of Illinois) ss.:
City of DuPage)

On this 14th day of September, 19 99, before me personally came **Stuart Mandel Garland**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Penny D. Tomko
Notary Public






ZhongJin Yang

Date: Sept. 14, 1999

United States of America)
)
State of Illinois) ss.:
)
County of DuPage)

On this 14th day of September, 1999, before me personally came **ZhongJin Yang**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.


Notary Public



Lucent Technologies Inc.
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