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Attorney Docket No. 15471-000700

FORM PTO-1595  
(Rev. 6-93)



at U.S. Department of Commerce  
Patent and Trademark Office

To the Honorable Asst. Commissioner

101154940

ginal documents or copy thereof

1. Name of conveying party(ies):

AARON V. KAPLAN, JORDAN T. BAJOR &  
NUBAR S. MANOUKIAN

Additional name(s) of conveying parties attached?

☐ Yes ☒ No.

3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other:  
Execution Date: SEPTEMBER 13, 1999

2. Name and address of receiving party(ies)

Name: AARON V. KAPLAN

Internal Address:

Street Address: 851 Carnation Court

City: Los Altos State: CA ZIP: 94024

Additional names and addresses attached?

☐ Yes ☒ No

JC594 U.S. P.10  
09/397392  
09/16/99

4. Application Number(s) or Patent Numbers.

If this document is being filed together with a new application, the execution date of the application is: SEPTEMBER 13, 1999

A. Patent Application No(s): 09/397392 B. Patent No(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: JAMES M. HESLIN  
TOWNSEND AND TOWNSEND AND CREW LLP  
Two Embarcadero Center, 8<sup>th</sup> Floor  
San Francisco, California 94111-3834  
(415) 576-0200

6. Total number of applications and patents involved 01

7. Total fee (37 CFR 3.41): \$40.00 ✓

☐ Enclosed ☒ Charge Fees to Deposit Account

☒ Charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to deposit account.

8 Deposit account number: 20-1430 ✓

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true of copy of the original document.*

JAMES M. HESLIN  
Name of Person Signing

Signature

SEPTEMBER 16, 1999  
Date

Atty Reg. No. 29,541

Total number of pages including cover sheet, attachments and document 02

10. Change Correspondence Address to that of Part 5? ☒ Yes ☐ No

OMB No. 0651-0011 (exp. 4/94)

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Asst. Commissioner for Patents  
Box: Assignments  
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**ASSIGNMENT OF PATENT APPLICATION**

JOINT

WHEREAS, **JORDAN T. BAJOR**, of 1183 Lincoln Avenue, Palo Alto, California 94301, and **NUBAR S. MANOUKIAN**, of 10318 Brittany Court, Cupertino, California 95014, who together with **AARON V. KAPLAN**, of 851 Carnation Court, Los Altos, California 94024, hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: **METHODS AND APPARATUS FOR PERICARDIAL ACCESS**

Date(s) of execution  
of Declaration: September 13, 1999

Filing Date: Herewith

Application No.: Unassigned; and

WHEREAS, **AARON V. KAPLAN, M.D.**, located at 851 Carnation Court, Los Altos, California, 94024, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

Assignors hereby authorize and request Townsend and Townsend and Crew LLP, Two Embarcadero Center, 8<sup>th</sup> Floor, San Francisco, California 94111-3834, to insert herein above the application number and filing date of said application when known.

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Date September 13, 1999

  
JORDAN T. BAJOR

Date SEP. 13, 1999

  
NUBAR S. MANOUKIAN

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