

FORM PTO-1619A

Expires 06/30/99  
OMB 0651-0027

09-28-1999

U.S. Department of Commerce  
Patent and Trademark Office

PATENT



101156272

RECORDATION FORM COVER SHEET  
PATENTS ONLY

EASTER 45-31-103

09/399621

1-408 U.S. PTO  
09/399621

09/20/99

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

## Submission Type

☒ New

☐ Resubmission (Non-Recordation)  
Document ID#

☐ Correction of PTO Error  
Reel #  Frame #

☐ Corrective Document  
Reel #  Frame #

## Conveyance Type

☒ Assignment ☐ Security Agreement

☐ License ☐ Change of Name

☐ Merger ☐ Other

U.S. Government  
(For Use ONLY by U.S. Government Agencies)

☐ Departmental File ☐ Secret File

## Conveying Party(ies)

☒ Mark if additional names of conveying parties attached

Name	Execution Date Month Day Year
Name (line 1) William G. Easter	09 16 1999
Name (line 2)	

## Second Party

Name	Execution Date Month Day Year
Name (line 1) John A. Maze	09 16 1999
Name (line 2)	

## Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) Lucent Technologies, Inc.	<input type="checkbox"/> If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)		
Name (line 2) a Coporation of Delaware			
Address (line 1) 600 Mountain Avenue			
Address (line 2)			
Address (line 3) Murray Hill			
City	New Jersey	07974-0636	Zip Code

## Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name
Address (line 1)
Address (line 2)
Address (line 3)
Address (line 4)

09/28/1999 MTHAI1 00000075 122325 09399621

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Mail documents to be recorded with required cover sheet(s) information to:  
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

PATENT

REEL: 010260 FRAME: 0832

**Correspondent Name and Address**

**Area Code and Telephone Number** (972) 480-8800

**Name** Charles W. Gaines

**Address (line 1)** HITT CHWANG & GAINES, P.C.

**Address (line 2)** 275 W. Campbell Road, Suite 225

**Address (line 3)** Richardson, Texas 75080

**Address (line 4)**

**Pages**

Enter the total number of pages of the attached conveyance document including any attachments.

# 7

**Application Number(s) or Patent Number(s)**

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

**Patent Application Number(s)**

**Patent Number(s)**


If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year  
09 16 1999

**Patent Cooperation Treaty (PCT)**

Enter PCT application number

only if a U.S. Application Number has not been assigned.

PCT PCT PCT  
PCT PCT PCT

**Number of Properties**

Enter the total number of properties involved.

# 1

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

**Method of Payment:**

**Deposit Account**

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Enclosed ☐

Deposit Account ☒

Deposit Account Number:

# 12-2325

Authorization to charge additional fees:

Yes

☒

No

☐

**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Charles W. Gaines

**Name of Person Signing**



**Signature**

09/20/99

**Date**

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CONTINUATION  
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Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Execution Date  
Month Day Year

Name (line 1) Sailesh M. Merchant

09 16 1999

Name (line 2)

Execution Date  
Month Day Year

Name (line 1)

Name (line 2)

Execution Date  
Month Day Year

Name (line 1)

Name (line 2)

Receiving Party(ies)

☐ Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

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Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)



ASSIGNMENT

For value received, We, William G. Easter, of United States of America in the County of Orange and State of Florida, and John A. Maze, of United States of America in the County of Orange and State of Florida, and Sailesh M. Merchant, of United States of America in the County of Orange and State of Florida, hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey 07974-0636, U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to "CORROSION-RESISTANT POLISHING PAD CONDITIONER" described in an application for Letters Patent of the United States, executed by us of even date herewith, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. may designate.

We agree that, when requested, we shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

IN WITNESS WHEREOF, we have hereunto set our hands and seals this 16<sup>th</sup> day of September 1999.

William G. Easter

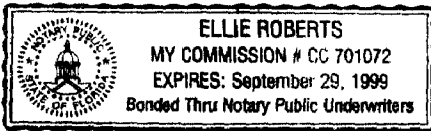
William G. Easter

STATE OF FLORIDA

COUNTY OF ORANGE

§  
§  
§

On this 16<sup>th</sup> day of September, 1999, before me personally came William G. Easter, to me known to be the individual described herein and who executed the foregoing instrument, and acknowledged execution of the same.



Ellie Roberts

Notary Public

My Commission Expires:

9/29/99

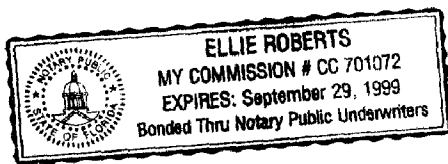
John A. Maze  
John A. Maze

STATE OF FLORIDA

COUNTY OF ORANGE

§  
§  
§

On this 16<sup>th</sup> day of September, 1999, before me personally came John A. Maze, to me known to be the individual described herein and who executed the foregoing instrument, and acknowledged execution of the same.



Ellie Roberts

Notary Public

My Commission Expires:

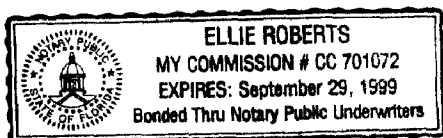
9/29/99

Sailesh M. Merchant  
Sailesh M. Merchant

STATE OF FLORIDA  
COUNTY OF ORANGE

§  
§  
§

On this 16<sup>th</sup> day of September, 1999, before me personally came Sailesh M. Merchant, to me known to be the individual described herein and who executed the foregoing instrument, and acknowledged execution of the same.



Ellie Roberts  
Notary Public

My Commission Expires:

9/29/99

Lucent Technologies, Inc.  
600 Mountain Avenue (Room 3C-512)  
P.O. Box 636  
Murray Hill, New Jersey 07974-0636