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09-28-1999



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To the Honorable Commissioner of Patents and Trademark

or copy thereof.

1. Name of conveying party(ies)

Christopher Richard Doerr

2. Name and address of receiving party(ies)

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

Name: Lucent Technologies, Inc.

Internal Address: _____

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other: _____

Street Address: 600 Mountain Avenue

P. O. Box 636

City: Murray Hill State: NJ ZIP: 07974-0636

Execution Date: Sept. 21, 1999

Additional name(s) & address(es) attached? ☐ Yes ☐ No

Application number(s) or patent number(s): C. R. Doerr case 32-61-33

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☐ No

09/408239

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: John A. Caccuro

Internal Address: _____

Street Address: Ladwood Dr.

City: Holmdel State: NJ ZIP 07733

6. Total number of applications and patents involved :

7. Total fee (37 CFR 3.41) \$ 40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number: 12-2325

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature

To the best of my knowledge and belief, the forgoing information is true and correct and any attached copy is a true copy of the original document.

JOHN A. CACCURO

Name of Person Signing

Signature

Date

9-21-99

Total number of pages including cover sheet, attachments, and document:

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40.00 CH

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments

Washington, D.C. 20231

PATENT
REEL: 010260 FRAME: 0857

ASSIGNMENT AND AGREEMENT

For value received, we, Christopher Richard Doerr of Middletown, in the County of Monmouth and State of New Jersey, Corrado Pietro Dragone of Little Silver, in the County of Monmouth and State of New Jersey, and Alastair Malcolm Glass of Rumson, in the County of Monmouth and State of New Jersey, hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey 07974-0636, U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **MULTIPLE WAVELENGTH LASER HAVING A REDUCED NUMBER OF WAVELENGTH CONTROLS** described in an application for Letters Patent of the United States, executed by us of even date herewith, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. they may designate.

We agree that, when requested, we shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.



Christopher Richard Doerr


Date: 9/21/99

United States of America)

State of) ss.:

County of)

On this 21st day of September, 19 99, before me personally came Christopher Richard Doerr, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.



~~Notary Public~~
John A. Caccuro
An attorney of the State
of New Jersey

Corrado Pietro Dragone

Date: _____

United States of America)

State of) ss.:

County of)

On this _____ day of _____, 19____, before me personally came Corrado Pietro Dragone, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Notary Public

Alastair Malcolm Glass

Date: _____

United States of America)

State of) ss.:

County of)

On this _____ day of _____, 19____, before me personally came Alastair Malcolm Glass, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Notary Public

Lucent Technologies Inc.
600 Mountain Avenue (Room 3C-512)
P. O. Box 636
Murray Hill, New Jersey 07974-0636