

09-28-1999

Form PTO-1592 (modified)

1701.81743



To the Honorable Commissioner of Patents and

101156685

documents or copies thereof:

**1. Name of Conveying Party or Parties:**

Hiroshi NAKAMURA Kazuhito NARITA  
Ken TAKEUCHI Kazuhiro SHIMIZU  
Hideko OODAIRA Seiichi ARITOME  
Kenichi INAMIYA

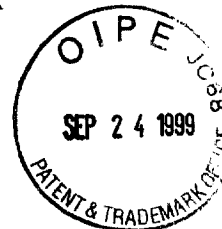
Additional Names of conveying party or parties attached?

☐ Yes ☒ No

MRD  
9-24-99

**2. Name and address of receiving party or parties:**

KABUSHIKI KAISHA TOSHIBA  
72 Horikawa-cho, Saiwai-ku  
Kawasaki-shi, Japan

Additional Name(s) and address(es) attached? ☐ Yes ☒ No**3. Nature of Conveyance:**

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: September 6, 1999**4. Application Number(s) or Patent Number(s):**

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

**A. Patent Application Number(s):** 09/345,443**B. Patent Number(s):**Additional Name(s) and address(es) attached? ☐ Yes ☒ No**5. Name and address of party to whom correspondence concerning the document should be mailed:**

Joseph M. Potenza, Esq.  
BANNER & WITCOFF, LTD.  
11th Floor  
1001 G Street, N.W.  
Washington, D.C. 20001-4597

**6. Total Number of Applications and patents involved: 1****7. Total fee (37 CFR 3.41) ..... \$ 40.00**

- ☒ Enclosed  
☐ Authorized to be charged to deposit account  
☒ Please charge or credit our deposit account for any additional or refunded fees associated with recording this assignment

**8. Deposit Account No.:**

19-0733 (in the event additional fees are required)

(Duplicate copy of this page attached if paying by deposit account)

Do Not Use this Space

**9. Statement and Signature:**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Joseph M. Potenza, Reg. No. 28,175

Name of Person Signing

Signature

Date

Total Number of Pages including Cover Sheet: 3

## A S S I G N M E N T

In consideration of value received, I, having a post office address at c/o Intellectual Property Division, KABUSHIKI KAISHA TOSHIBA, 1-1 Shibaura 1-chome, Minato-ku, Tokyo 105-8001, Japan and a residence as stated below next to my name, the sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are listed below) of an invention described in an application for United States patent entitled:

**SEMICONDUCTOR MEMORY DEVICE CAPABLE OF REALIZING A CHIP  
WITH HIGH OPERATION RELIABILITY AND HIGH YIELD**

said application having been filed on July 1, 1999  
and assigned Serial No. 09/345,443 ;  
sell and assign to  
KABUSHIKI KAISHA TOSHIBA  
a corporation of Japan, having a business address at  
72 Horikawa-cho, Saiwai-ku, Kawasaki-shi, Japan

its successors, assigns or nominees, hereinafter referred to as "Assignee", my entire right, title and interest in and to said invention as disclosed, shown and described in said application for United States patent: (check one)

X executed concurrently herewith;

       executed separately herefrom;

and in and to all applications for patent and patents for said invention, in all countries of the world, including all divisions, reissues, continuations, substitutions and extensions thereof and all rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property, including rights of priority, resulting from the filing of any of said applications; and I authorize and request any official whose duty is to issue patents, to issue any patent on said invention or resulting therefrom to said Assignee, and I agree that on request and without further consideration, but at the expense of said Assignee, I will communicate to said Assignee or its representatives all facts known to me respecting said invention and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue, or other applications, make all rightful oaths and declarations, and generally do everything possible to aid said Assignee to obtain and enforce proper patent protection for said invention in all countries.

I hereby grant the following law firm the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the Rules of the U. S. Patent and Trademark Office for recordation of this document.

Banner & Witcoff, Ltd., 11th Floor, 1001 G Street, N.W., Washington, D. C. 20001-4597

# A S S I G N M E N T

99S0314

Inventor: (Signature)

Date

Residence

*Hiroshi Nakamura*

SEP. - 6. 1999

Kawasaki-shi, Japan

Hiroshi Nakamura

*Ken Takeuchi*

SEP. - 6. 1999

Tokyo, Japan

Ken Takeuchi

*Hideko Oodaira*

SEP. - 6. 1999

Kuroishi-shi, Japan

Hideko Oodaira

*Kenichi Imamiya*

SEP. - 6. 1999

Kawasaki-shi, Japan

Kenichi Imamiya

*Kazuhito Narita*

SEP. - 6. 1999

Yokkaichi-shi, Japan

Kazuhito Narita

*Kazuhiro Shimizu*

SEP. - 6. 1999

Yokohama-shi, Japan

Kazuhiro Shimizu

*Seiichi Aritome*

SEP. - 6. 1999

Yokohama-shi, Japan

Seiichi Aritome

RECORDING OFFICER:

After recording please return to:  
Banner & Witcoff, Ltd.,

11th Floor,  
1001 G Street, N.W.,  
Washington, D.C. 20001-4597

RECORDED: 09/24/1999

PATENT  
REEL: 010262 FRAME: 0707