

09-29-1999

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File No. UCB 99-096-1

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): C. Cheng Kao

Additional name(s) of conveying party(ies) attached? Yes No

3. Nature of conveyance:

Assignment Merger
 Security Agreement Change of Name

Other: _____

Execution Date: September 15, 1999

2. Name and address of receiving party(ies):

Name: The Regents of the University of California

Address: 1111 Franklin St., 5th Floor
Oakland CA 94607-5200

Name: Advanced Research and Technology Institute, Inc.

Address: 1100 Waterway Blvd., Ste. 100
Indianapolis, IN 46202

Additional name(s) & address(es) attached? Yes No

4. Application number(s) or patent number(s): 09/371,487, filed on August 10, 1999

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/371,487, filed on August 10, 1999

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Richard Aron Osman, Ph.D.

Address: Science & Technology Law Group
75 Denise Drive
Hillsborough, CA 94010

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

Enclosed

Authorized to be charged to deposit account, if necessary

8. Deposit account number:

19-0750

(Attach duplicate copy of this page if paying by deposit account)

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To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Rebecca Graff
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Signature

9/21/99
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For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S).

1. C. Cheng Kao

hereby sells, assigns and transfers to ASSIGNEES, The Regents of the University of California, a California Corporation, having its statewide administrative offices located at 1111 Franklin Street, 12th Floor, Oakland, CA 94607-5200, and Advanced Research and Technology Institute, Inc., located at 1100 Waterway Blvd., Ste. 100, Indianapolis, IN 46202 and the successors, assigns and legal representatives of the ASSIGNEES all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, and any and all improvements which are disclosed in the invention entitled:

Reducing Nontemplated 3' Nucleotide Addition to Polynucleotide Transcripts

and which is found in the U.S. patent application having U.S. Serial No. 09/371,487, filed on August 10, 1999.

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenant(s) that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEES will, upon its request, be provided promptly with all pertinent facts and documents relating to said Invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEES or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEES, The Regents of the University of California and Advanced Research and Technology Institute, Inc.

executed this

Signature of Assignor(s)

15th day of Sept. 1999



C. Cheng Kao