

09-29-1999

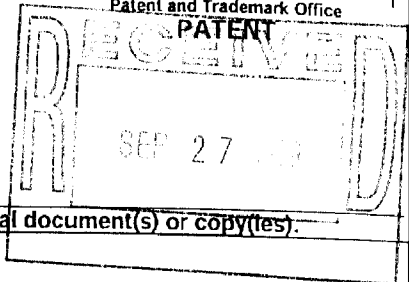
U.S. Department of Commerce  
Patent and Trademark Office

M&D  
9-27-99



101157179

RECORDATION FORM COVER SHEET  
PATENTS ONLY



TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

- New
- Resubmission (Non-Recordation)  
Document ID# \_\_\_\_\_
- Correction of PTO Error  
Reel # \_\_\_\_\_ Frame # \_\_\_\_\_
- Corrective Document  
Reel # \_\_\_\_\_ Frame # \_\_\_\_\_

Conveyance Type

- Assignment
  - Security Agreement
  - License
  - Change of Name
  - Merger
  - Other \_\_\_\_\_
- U.S. Government**  
(For Use ONLY by U.S. Government Agencies)
- Departmental File
  - Secret File

Conveying Party(ies)

Mark if additional names of conveying parties attached

Name (line 1) Andrew F. Hall

Execution Date  
Month Day Year  
9-20-99

Name (line 2) \_\_\_\_\_

Second Party

Name (line 1) Jeffrey Garibaldi

Execution Date  
Month Day Year  
9-22-99

Name (line 2) \_\_\_\_\_

Receiving Party

Mark if additional names of receiving parties attached

Name (line 1) Stereotaxis, Inc.

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 2) \_\_\_\_\_

Address (line 1) 4041 Forest Park Avenue

Address (line 2) \_\_\_\_\_

Address (line 3) St. Louis Missouri 63108  
City State/Country Zip Code

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name Bryan K. Wheelock

Address (line 1) Howell & Haferkamp, L.C.

Address (line 2) 7733 Forsyth Blvd.

Address (line 3) Suite 1400

Address (line 4) St. Louis, MO 63105

09/28/1999 DNGUYEN 00000164 09281241

FOR OFFICE USE ONLY

01 FC:58. 40.00 DP

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:  
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

PATENT  
REEL: 010265 FRAME: 0536

**Correspondent Name and Address** Area Code and Telephone Number

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

**Pages** Enter the total number of pages of the attached conveyance document including any attachments. #

**Application Number(s) or Patent Number(s)**  Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

<input type="text" value="09281241"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

**Patent Cooperation Treaty (PCT)**  
Enter PCT application number only if a U.S. Application Number has not been assigned.  
PCT  PCT  PCT   
PCT  PCT  PCT

**Number of Properties** Enter the total number of properties involved. #

**Fee Amount** Fee Amount for Properties Listed (37 CFR 3.41): \$

Method of Payment: Enclosed  Deposit Account

Deposit Account Number: #

Authorization to charge additional fees: Yes  No

**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Bryan K. Wheelock  
Name of Person Signing

  
Signature

September 22, 1999  
Date

RECORDATION FORM COVER SHEET  
CONTINUATION  
PATENTS ONLY

Conveying Party(ies)

Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1)

Execution Date  
Month Day Year

Name (line 2)

Execution Date  
Month Day Year

Name (line 1)

Name (line 2)

Execution Date  
Month Day Year

Name (line 1)

Name (line 2)

Receiving Party(ies)

Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1)

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)  City  State/Country  Zip Code

Name (line 1)

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)  City  State/Country  Zip Code

Application Number(s) or Patent Number(s)

Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

ASSIGNMENT

WHEREAS, Andrew F. Hall of 5184 Rosemont Drive, St. Charles, Missouri 63304; Jeffrey Garibaldi of 3733A Huntington Valley Drive, St. Louis, Missouri 63129, Peter R. Werp of 4400 Lindell Blvd., #23B, St. Louis, Missouri 63108, and John M. Lasala of 1426 Warson Oak, St. Louis, Missouri 63122 have invented certain new and useful improvements for a *Magnetically Navigable and/or Controllable Device for Removing Material from Body Lumens and Cavities* for which U.S. Patent Application Serial No. 09/281,241, entitled *Magnetically Navigable and/or Controllable Device for Removing Material from Body Lumens and Cavities* , was filed March 30, 1999; and

WHEREAS, Stereotaxis, Inc., a corporation of the State of Delaware having its principal office and place of business at 4041 Forest Park Avenue, St. Louis, MO 63108, is desirous of acquiring the entire right, title and interest in and to said invention and improvements as disclosed in said application, in and to said application, and in and to the patent to be obtained thereon.

NOW THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt and adequacy of all of which are hereby acknowledged, we, Andrew F. Hall; Jeffrey Garibaldi and Peter R. Werp have sold, assigned and transferred and do hereby sell, assign and transfer unto said Stereotaxis, Inc., its successors or assigns, the entire right, title and interest in and to said invention and improvements as the same are disclosed in said application which was executed by us concurrently herewith preparatory to filing for Letters Patent, in and to said application, and in and to any Letters Patent, including divisionals, continuations, reissues, reexaminations and extensions to be obtained therefor in the United States and all foreign countries; and we further agree to cooperate with the assignee hereunder in the obtaining and sustaining of any and all such Letters Patent as the assignee may desire to apply for, but at the expense of said assignee.

The Commissioner of Patents or other proper official is hereby authorized and requested

to issue said Letters Patent to said Stereotaxis, Inc. in accordance with this Assignment.

  
\_\_\_\_\_  
Andrew F. Hall

STATE OF

:  
:  
:

COUNTY OF

On this 20<sup>th</sup> day of September 1999 before me, a Notary Public, within and for the County of St. Louis, State of Missouri, personally appeared Andrew F. Hall, to me known to be the person described in and who executed the foregoing assignment and acknowledged that he executed same as his free act and deed.

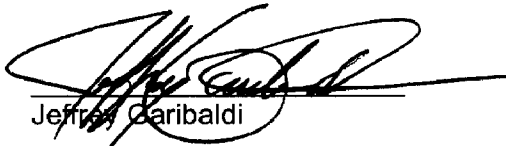
IN TESTIMONY WHEREOF, I have hereunto set my hand and seal the date and year last above written.

  
\_\_\_\_\_  
Notary Public

My Commission Expires: January 28, 2000

**BRYAN K. WHELOCK**

**Notary Public - Notary Seal**  
**STATE OF MISSOURI**  
**St. Louis County**  
**My Commission Expires: Jan. 28, 2000**

  
\_\_\_\_\_  
Jeffrey Garibaldi

STATE OF

:  
:  
:

COUNTY OF

On this 22 day of Sept. 1999 before me, a Notary Public, within and for the County of Jefferson, State of Missouri, personally appeared Jeffrey Garibaldi, to me known to be the person described in and who executed the foregoing assignment and acknowledged that he executed same as his free act and deed.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal the date and year last above written.

" NOTARY SEAL "  
Ann L. Stuart, Notary Public  
Jefferson County, State of Missouri  
My Commission Expires 12/14/2002  
\_\_\_\_\_  
Notary Public

My Commission Expires: Ann L. Stuart

  
Peter R. Werb

STATE OF  
COUNTY OF

:  
:  
:

On this 20<sup>th</sup> day of September 1999 before me, a Notary Public, within and for the County of St. Louis, State of Missouri, personally appeared Peter R. Werb, to me known to be the person described in and who executed the foregoing assignment and acknowledged that he executed same as his free act and deed.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal the date and year last above written.

  
Notary Public

My Commission Expires: January 28, 2000

**BRYAN K. WHEELOCK**  
Notary Public – Notary Seal  
STATE OF MISSOURI  
St. Louis County  
My Commission Expires: Jan. 28, 2000