

09-29-1999

ER SHEET



To the Honorable Com

101157219

: attached original document(s) or copy thereof.

1. Name of conveying party(ies) (assignor(s)):

Cal Y. Meyers, William J. Banz, Todd A. Winters, Yuqing Hou

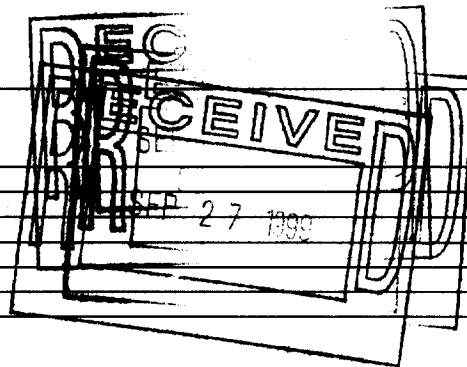
MRD  
9-27-99

Additional name(s) of conveying party(ies) attached?  yes  no

2. Name and Address of Party(ies) receiving an interest (assignee(s)):

Name: Southern Illinois University  
Address: Office of Research, Development and Administration  
Southern Illinois University

City: Carbondale  
State: IL Zip: 61901-4709  
Country: U.S.



Additional name(s) & address(es) attached?  yes  no

3. Nature of Conveyance:

- Assignment
- Merger
- Change of Name
- Verified Translation
- Security Agreement
- Other \_\_\_\_\_

Execution Date: September 13, 1999

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date(s) of the application is: \_\_\_\_\_

A. Patent Application No(s)

B. Patent No(s)

09/338,823

Others on additional sheet(s) attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Senniger, Powers, Leavitt & Roedel  
One Metropolitan Square  
16th Floor  
St. Louis, Missouri 63102  
(314) 231-5400 (telephone)

6. Total number of applications and patents involved:  
Application(s) 1 + Patent(s): 0 = Total \_\_\_\_\_

7. Total Fee (37 CFR 3.41) ..... \$ 40.00

- Enclosed
- Authorized to be charged to deposit account

8. Deposit Account Number: 19-1345  
(Duplicate copy of this sheet attached)

Charge any underpayment or credit any overpayment to above Deposit Account

DO NOT USE THIS SPACE

9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

David M. Gryte

Signature

Date

*[Handwritten Signature]* 9/22/99

Total number of pages including cover sheet, attachments, and document:

8

09/29/1999 MTHAI1 00000029 09338823

01 FC:581

40.00 OP

## ASSIGNMENT

**WHEREAS,** We Cal Y. Meyers of Carbondale, Illinois, William J. Banz of Carterville, Illinois, Todd A. Winters of Murphysboro, Illinois, and Yuqing Hou of Carbondale, Illinois have invented an improvement in THERAPEUTIC APPLICATIONS OF ESTROGENIC CARBOXYLIC ACIDS (File MCY 4407) and have executed an application for a United States patent based thereon 09/338,823, filed June 23, 1999;

**AND, WHEREAS,** Southern Illinois University, Office of Research, Development and Administration, Southern Illinois University, Carbondale, Illinois 61901-4709, a corporation of the State of Illinois (hereinafter referred to as "ASSIGNEE") is desirous of acquiring certain rights thereunder;

**NOW, THEREFORE,** for one dollar and other good and valuable consideration, receipt of all of which is hereby acknowledged, we have agreed to and do hereby sell, assign and transfer unto said ASSIGNEE the entire right, title and interest in and throughout the United States of America (including its territories and dependencies) and all countries foreign thereto in and to said invention, said United States application, any other United States applications (including provisional, non-provisional, divisional, continuing, or reissue applications) based in whole or in part on said United States application or in whole or in part on said invention, any foreign applications based in whole or in part on any of the aforesaid United States application or in whole or in part on said invention, and any and all patents (including extensions thereof) of any country which have been or may be granted on any of the aforesaid applications or on said invention or any part thereof;

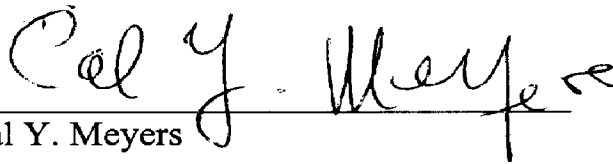
**TO BE HELD AND ENJOYED** by said ASSIGNEE, its successors and assigns, as fully and entirely as the same would have been held and enjoyed by us had no sale and assignment of said interest been made;

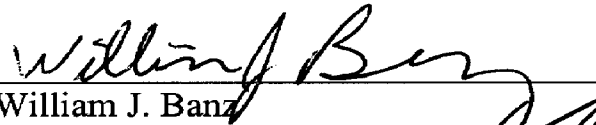
**AND We** hereby authorize and request the Commissioner of Patents of the United States of America to issue any and all United States patents which may be granted upon said United States applications or any of them, or upon said invention or any part thereof, to said ASSIGNEE;

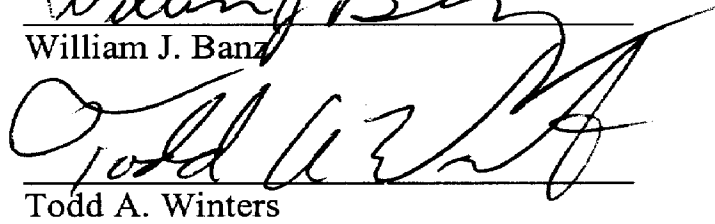
**AND We** hereby jointly and severally agree for ourselves and for our respective heirs, executors and administrators, to execute without further consideration any further lawful documents and any further assurances, and any provisional, non-provisional, divisional, continuing, reissue, or other applications for patents of any country, that may be deemed necessary by said ASSIGNEE fully to secure to said ASSIGNEE its interest as aforesaid in and to said invention or any part thereof, and in and to said several patents or any of them;

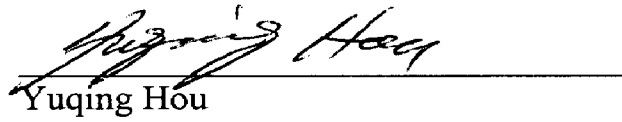
**AND We** hereby jointly and severally covenant for ourselves and our respective legal representatives that we have granted no right or license to make, use or sell said invention, to anyone except said ASSIGNEE, that prior to the execution of this deed our right, title and interest in said invention had not been otherwise encumbered, and that we have not executed and will not execute any instrument in conflict herewith.

IN WITNESS WHEREOF, we have hereunto set our hands.

  
\_\_\_\_\_  
Cal Y. Meyers

  
\_\_\_\_\_  
William J. Banz

  
\_\_\_\_\_  
Todd A. Winters

  
\_\_\_\_\_  
Yuqing Hou

ACKNOWLEDGMENT

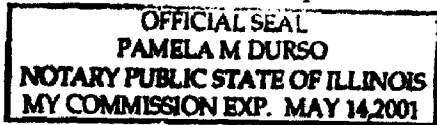
STATE OF IL )  
COUNTY OF Jackson )

On this 13 Day of Sept., 1999, before me, a Notary Public, personally appeared **Cal Y. Meyers** to me known to be the person described in and who executed the foregoing assignment and acknowledged that he executed same as his free act and deed.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal the date and year last above written.

Pamela M. Durso  
Notary Public

My Commission Expires:



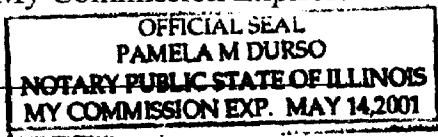
STATE OF IL )  
COUNTY OF Jackson )

On this 13 Day of Sept, 1999, before me, a Notary Public, personally appeared **William J. Banz** to me known to be the person described, in and who executed, the foregoing assignment and acknowledged that he executed same as his free act and deed.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal and the date and year last above written.

Pamela M. Durso  
Notary Public

My Commission Expires:

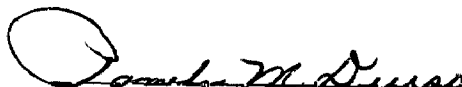


ACKNOWLEDGMENT

STATE OF IL )  
COUNTY OF Jackson )

On this 13 Day of Sept., 1999, before me, a Notary Public, personally appeared **Todd A. Winters** to me known to be the person described, in and who executed, the foregoing assignment and acknowledged that he executed same as his free act and deed.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal and the date and year last above written.

  
Notary Public

My Commission Expires:

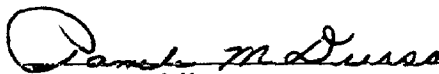


ACKNOWLEDGMENT

STATE OF IL )  
COUNTY OF Jackson )

On this 13 Day of Sept, 1999, before me, a Notary Public, personally appeared **Yuqing Hou** to me known to be the person described in and who executed the foregoing assignment and acknowledged that he executed same as his free act and deed.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal the date and year last above written.

  
Notary Public

My Commission Expires:



**FEE TRANSMITTAL**

Application Number 09/338,823  
Filing Date June 23, 1999  
First Named Inventor Cal Y. Meyers  
Group Art Unit 1614  
Attorney Docket Number MCY 4407

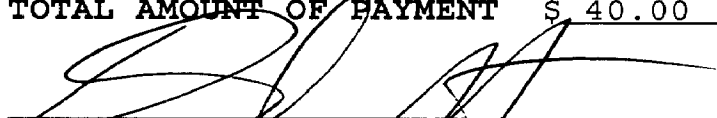
**METHOD OF PAYMENT**

- 1.  The Commissioner is hereby authorized to charge the indicated fees to Deposit Account No. 19-1345, in the name of Senniger, Powers, Leavitt & Roedel.
- The Commissioner is hereby authorized to charge any additional filing and claim fees under 37 CFR 1.16 and application processing fees under 37 CFR 1.17 to Deposit Account No. 19-1345, in the name of Senniger, Powers, Leavitt & Roedel.
- 2.  Check Enclosed. The Commissioner is hereby authorized to charge any under payment or credit any over payment to Deposit Account No. 19-1345, in the name of Senniger, Powers, Leavitt & Roedel.

**FEE CALCULATION**

- 1.  BASIC FILING FEE \$\_\_\_\_\_ (Type: \_\_\_\_\_)  
Entity Status: SMALL
- 2.  CLAIM FEE \$\_\_\_\_\_
  - Total Claims \_\_\_\_\_
  - Independent Claims \_\_\_\_\_
  - Multiple Dependent Claims \_\_\_\_\_
- 3.  ADDITIONAL FEES \$ 40.00
  - Surcharge - late filing fee or oath
  - Surcharge - late provisional filing fee or cover sheet
  - Extension for reply within \_\_\_\_\_ month
  - Notice of Appeal
  - Filing a Brief in Support of an appeal
  - Request for Reexamination
  - Petitions to the Commissioner
  - Submission of Information Disclosure Statement
  - Recording each patent assignment per property
  - Other: \_\_\_\_\_

TOTAL AMOUNT OF PAYMENT \$ 40.00

  
David M. Gryte, Reg. No. 41,809

9/22/99  
Date

DMGskg