

Docket No.: I201.104.101

09-30-1999

Remarks:  
Copy(ies) thereof.1. Name of conveying party(ies):  
Chad R. Sandstrom  
Brian T. Bonn

101158226

2. Name and address of receiving party(ies):

Name: Imation Corp.

Internal Address:

Street Address: MS 303-IS-14, 1 Imation Place

City: Oakdale State: MN ZIP: 55128

Country: U.S.A.

Additional name(s) &amp; addresses attached?

☒ Yes ☐ No

Additional name(s) of conveying party(ies) attached?

☒ No ☐ Yes

3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: September 21, 1999 and September 2, 1999 (respectively)

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application Serial No.(s)/Filing Date(s)

08/839,874

April 17, 1997

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Steven E. Dicke

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41). . \$40.00

☒ Enclosed.  
☐ Authorized to be charged to deposit account.  
☒ Any deficiencies in enclosed fees should be charged to deposit account 500471.Address: DICKE, BILLIG & CZAJA, P.A.  
701 Building, Suite 1250  
701 Fourth Avenue South  
Minneapolis, MN 554158. Deposit Account Number: 500471  
(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Steven E. Dicke

(Name of Person Signing)

Steven E. Dicke  
SignatureSeptember 22, 1999  
Date

Total number of pages comprising cover sheet, attachments and document: 4

OMB No. 0651-0011 (exp. 4/94)

09/29/1999 MTHAI1 00000191 08839874

Do not detach this portion

01 FC-581

40.00 OP

Main documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks  
Box Assignments  
Washington, D.C. 20231

Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of Information Systems, PK2-1000C, Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project (0651-0011), Washington, D.C. 20503.

PATENT  
REEL: 010267 FRAME: 0838

**RECORDATION FORM COVER SHEET  
ADDENDUM**

Docket No: I201.104.101  
Serial No: 08/839,874

2. (Continued)

Name and Address of Additional Receiving Party:

Name: TeraStor Corporation

Internal Address:

Street Address: 2310 North First Street

City: San Jose State: CA ZIP: 95131-1011

Country: U.S.A.

ASSIGNMENT

Whereas We, the below-named inventors, with residences and citizenships as indicated below, have made an invention in

**METHOD FOR CENTERING A HUB IN AN OPTICAL DISC, AND AN OPTICAL STORAGE SYSTEM USING SUCH DISC**

and have executed an application for Letters Patent of the United States of America based thereon; which application is identified in the United States Patent and Trademark Office as U.S. Application Serial No. 08/839,874, filed April 17, 1997;

Now, therefore, for good and valuable consideration, receipt of which is acknowledged, we have individually and jointly agreed to assign and transfer and do hereby assign and transfer unto **Imation Corp. ("Imation")**, a corporation of Delaware, having its principal office at Oakdale, Minnesota, and **TeraStor Corporation ("TeraStor")**, a corporation of California, having its principal office at San Jose, California, their successors and assigns, the entire right, title, and interest in and to the invention and application, and in any continued prosecution application, division or continuation (in whole or in part) of the application, and in improvements in the invention made by us or any of us or made jointly with others (provided any such improvement is made within one year of the earliest date below), and in all Letters Patent, reexaminations, reissues, or extensions thereof, of the United States and foreign countries (including the right to apply for Letters Patent, Utility Models, or Inventors' Certificates in foreign countries in its own name and to claim any priority rights for such foreign applications to which such applications are entitled under international conventions, treaties, or otherwise), which have been or may be granted thereon or on any continued prosecution application, divisional, continuation (in whole or in part), renewal, reexamination, reissue, or other or further application based in whole or in part upon said invention or improvements thereon, to be held and enjoyed as fully and exclusively as they would have been by us or any of us had this assignment and transfer not been made;

We do further agree for ourselves and for our heirs, executors, and administrators, to execute and deliver without further consideration any further applications, assignments, and documents, and to perform such other acts as we lawfully may, that may be deemed necessary by Imation and TeraStor, or their successors, assigns, and nominees, fully to secure their right, title, and interest as aforesaid and to obtain or maintain Letters Patent, Utility Models, or Inventors' Certificates in any and all countries;

And we do hereby authorize and request the Commissioner of Patents to issue any and all Letters Patent which may be granted upon any of the said applications, to Imation and TeraStor, as the assignees of the entire right, title, and interest therein.

In witness whereof, we have signed our names on the dates set forth below.

*Jathan Edwards*  
Witness

*Anna Mehl*  
Witness

\_\_\_\_\_  
Witness

\_\_\_\_\_  
Witness

*Chad R. Sandstrom* 9/21/99  
Chad R. Sandstrom Date

Residence: Stillwater, Minnesota, U.S.A.  
Citizenship: United States of America  
Post Office P.O. Box 64898  
Address: St. Paul, Minnesota 55164-0898

\_\_\_\_\_  
Brian T. Bonn Date

Residence: Scotts Valley, CA, U.S.A.  
Citizenship: United States of America  
Post Office P.O. Box 64898  
Address: St. Paul, Minnesota 55164-0898

ASSIGNMENT

Whereas We, the below-named inventors, with residences and citizenships as indicated below, have made an invention in

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Now, therefore, for good and valuable consideration, receipt of which is acknowledged, we have individually and jointly agreed to assign and transfer and do hereby assign and transfer unto **Imation Corp. ("Imation")**, a corporation of Delaware, having its principal office at Oakdale, Minnesota, and **TeraStor Corporation ("TeraStor")**, a corporation of California, having its principal office at San Jose, California, their successors and assigns, the entire right, title, and interest in and to the invention and application, and in any continued prosecution application, division or continuation (in whole or in part) of the application, and in improvements in the invention made by us or any of us or made jointly with others (provided any such improvement is made within one year of the earliest date below), and in all Letters Patent, reexaminations, reissues, or extensions thereof, of the United States and foreign countries (including the right to apply for Letters Patent, Utility Models, or Inventors' Certificates in foreign countries in its own name and to claim any priority rights for such foreign applications to which such applications are entitled under international conventions, treaties, or otherwise), which have been or may be granted thereon or on any continued prosecution application, divisional, continuation (in whole or in part), renewal, reexamination, reissue, or other or further application based in whole or in part upon said invention or improvements thereon, to be held and enjoyed as fully and exclusively as they would have been by us or any of us had this assignment and transfer not been made;

We do further agree for ourselves and for our heirs, executors, and administrators, to execute and deliver without further consideration any further applications, assignments, and documents, and to perform such other acts as we lawfully may, that may be deemed necessary by Imation and TeraStor, or their successors, assigns, and nominees, fully to secure their right, title, and interest as aforesaid and to obtain or maintain Letters Patent, Utility Models, or Inventors' Certificates in any and all countries;

And we do hereby authorize and request the Commissioner of Patents to issue any and all Letters Patent which may be granted upon any of the said applications, to Imation and TeraStor, as the assignees of the entire right, title, and interest therein.

In witness whereof, we have signed our names on the dates set forth below.

Witness

Witness

Witness

Witness

Chad R. Sandstrom

Date

Residence: Stillwater, Minnesota, U.S.A.

Citizenship: United States of America

Post Office P.O. Box 64898

Address: St. Paul, Minnesota 55164-0898

*Brian T. Bonn* 9/2/99

Brian T. Bonn

Date

Residence: Scotts Valley, CA, U.S.A.

Citizenship: United States of America

Post Office P.O. Box 64898

Address: St. Paul, Minnesota 55164-0898

**PATENT**

**REEL: 010267 FRAME: 0841**

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Chad R. Sandstrom et al. Examiner: Brian E. Miller  
Serial No.: 08/839,874 Group Art Unit: 2754  
Filed: April 17, 1997 Docket No.: I201.104.101  
Title: METHOD FOR CENTERING A HUB IN AN OPTICAL DISC, AND AN  
OPTICAL STORAGE SYSTEM USING SUCH DISC

## **Box Assignment**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal Sheet containing Certificate of Mailing (1 pg.).
- ☒ Recordation Form Cover Sheet (2 pg.).
- ☒ Assignment of Invention to Imation Corp. and TeraStor Corporation (2 pgs.).
- ☒ Check in the amount of \$40.00 for filing of Assignment Document.
- ☒ Return Postcard.

If an additional fee is required due to changes to the claims, the fee has been calculated as follows:

CLAIMS AS AMENDED						
	(1) Claims Remaining After Amendment		(2) Highest Number Previously Paid For	(3) Present Extra	Rate	Fee
TOTAL CLAIMS		-			x =	\$
INDEPENDENT CLAIMS		-			x =	\$
[ ] MULTIPLE DEPENDENT CLAIMS PRESENTED						\$
TOTAL						\$0.00

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate. At any time during the pendency of the application, please charge any additional fees or credit overpayment to Deposit Account No. 500471.

DICKE, BILLIG & CZAJA, P.A.  
701 Building, Suite 1250  
701 Fourth Avenue South  
Minneapolis, MN 55415

By: Steven E. Dicke  
Name: Steven E. Dicke  
Reg. No.: 38,431

CERTIFICATE UNDER 37 C.F.R. 1.8: The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Box Assignment, Assistant Commissioner for Patents, Washington, D.C., 20231 on this 22nd day of September, 1999.

By: Steven E. Dicke  
Name: Steven E. Dicke