

09-30-1999



U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Tab settings

To the Honorable Commissioner of Pa.

101158693

attached original documents or copy thereof.

1. Name of conveying party(ies):

Peter J. Carrie

9.28.99

2. Name and address of receiving party(ies):

Name: Photovoltaics International, LLC

Internal Address:

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Street Address: 171 Commercial Street

City: Sunnyvale State: CA ZIP: 94086

Execution Date: August 16, 1999 & August 19, 1999

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/385,115

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Law Offices of Thomas Schneck

Internal Address:

Street Address: P.O. Box 2-E

City: San Jose

State: CA

ZIP: 95109

6. Total number of applications and patents involved:

one

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

19-0590 (for any add'l fees)

09/29/1999 DNGUYEN 00000336 09385115

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Thomas Schneck

Name of Person Signing

Signature

September 23, 1999

Date

Total number of pages including cover sheet, attachments, and document:

4

PATENT

REEL: 010269 FRAME: 0276

A S S I G N M E N T

WHEREAS, the undersigned, PETER J. CARRIE, having an address of 609 Indian Road, Toronto, Canada M6P 2C4; and KINGSLEY D. D. CHEN, having an address of 23 Freeman Road, Markham, Ontario, Canada L3P-4E9 (hereinafter termed Assignors) have invented certain new and useful improvements in an invention entitled METHOD OF MAKING SOLAR COLLECTORS BY IN-SITU ENCAPSULATION OF SOLAR CELLS; the undersigned Assignors hereby authorize and request that the serial number and filing date of said patent application be entered herein by the attorney in charge of the application, as soon as such information is known:

Serial No. 09/385,115

Filed: August 27, 1999

WHEREAS, PHOTOVOLTAICS INTERNATIONAL, LLC, a limited liability corporation of the State of California, having an address of 171 Commercial Street, Sunnyvale, California 94086 (hereinafter termed Assignee) is desirous of acquiring the entire right, title and interest in and to said application and said invention and improvements thereon, and in and to Letters Patent thereon when granted in the United States and foreign countries;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by said Assignors from said Assignee, the receipt and sufficiency of which in full are hereby acknowledged by said Assignors:

1. Said Assignors do hereby sell, assign, transfer and convey unto said Assignee, the entire right, title and interest in and to said application and said invention and in and to any and all improvements on said invention heretofore or hereafter made or acquired by said Assignors; and in and to any and all Letters Patent on said invention and/or said improvements that may be granted in the United States or any foreign country, including each and every Letters Patent granted on any application which is a division, continuation, substitution, renewal, or continuation-in-part of any of said application specifically identified herein, and in and to each and every reissue or extension of said Letters Patent.

2. Said Assignors hereby covenant and agree to cooperate with said Assignee whereby said Assignee may enjoy to the fullest extent the right, title and interest herein conveyed. Such cooperation shall include (a) prompt execution of all papers (prepared at the expense of Assignee) which are deemed necessary or desirable by Assignee to perfect in it the right, title and interest herein conveyed; (b) prompt execution of all petitions, oaths, specifications or other papers (prepared at the expense of Assignee) which are deemed necessary or desirable by Assignee for prosecuting said application, for filing and prosecuting divisional, continuation, substitution, renewal, continuation-in-part, or additional applications in the United States and/or foreign countries covering said invention and/or said improvements, for filing and prosecuting applications for reissuance of Letters Patent included herein, or for interference

proceedings involving said invention and/or said improvements;
(c) prompt assistance and cooperation in the prosecution of
interference proceedings involving said invention and/or said
improvements and in the adjudication of said Letters Patent,
particularly by the disclosure of facts and the production of
evidence relating to said invention and/or said improvements,
provided the expenses which may be incurred by said Assignors in
lending such assistance and cooperation shall be paid by the
Assignee.

3. The terms, covenants and conditions of this assign-
ment shall inure to the benefit of said Assignee, its successors,
assigns and/or other legal representatives, and shall be binding
upon said Assignors, their heirs, legal representatives and
assigns.

4. Said Assignors hereby warrant and represent that
they have not entered into any assignment, contract or under-
standing in conflict herewith.

IN WITNESS WHEREOF, these said Assignors have executed
and delivered this instrument on the dates shown below.

8.16.99
DATE


PETER J. CARRIE

8-19-99
DATE


KINGSLEY D. D. CHEN