

PHA 23,219

10-04-1999

Form PTO 1595
(Rev. 6-93)

RECORD 1

PATENTS ONLY

101160583

U.S. Dept. of Commerce
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

PAWEL M. GRADZKI
WEN-JIAN GU
IHOR T. WACYK

Additional name(s) of conveying party(ies) attached?

☐

Yes

☒

No

2. Name and address of receiving party(ies):

Name: PHILIPS ELECTRONICS NORTH AMERICA CORPORATION

Internal Address: _____

Street Address: 1251 Avenue of the Americas

City: New York State: NY Zip: 10020-1104

Additional name(s) & address(es) attached?

☐

Yes

☒

No

3. Nature of conveyance:

☒

Assignment

☐

Merger

☐

Security Agreement

☐

Change of Terms

☐

Other _____

Execution Date: September 15, 17, 1997

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application, is _____

A. Patent Application No.(s)

08/932,986

B. Patent No.(s)

Additional numbers attached?

☐

Yes

☒

No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Philips Electronics North America Corporation

Internal Address: _____

Street Address: 580 White Plains Road

City: Tarrytown State: NY Zip: 10591

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41) ...\$40.00

☐

Enclosed

☒

Authorized to Deposit Account

8. Deposit Account Number: 14-1270

(Attach duplicate copy of this page paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Bernard Franzblau
Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks

Box Assignments

Washington, D.C. 20231

10/01/1999 DNGUYEN 00000493 141270 08932986

01 FC:581

40.00 CH

PATENT
REEL: 010274 FRAME: 0387

SOLE/JOINT ASSIGNMENT

Docket No. PHA 23,219

For good and valuable consideration, I, as a below-named Assignor, hereby sell, assign, and transfer the entire right, title, and interest in the following to Philips Electronics North America Corporation, a Delaware corporation having a place of business at 100 East 42nd Street, New York, NY 10017, its successors, assigns, and legal representatives, including any nominees (collectively "the Assignee"):

(1) my/our invention relating to A HIGH EFFICIENCY DIMMABLE COLD CATHODE FLUORESCENT LAMP BALLAST as described in the U.S. patent application

[X] executed concurrently herewith,

[] executed on _____,

[] filed in the United States Patent and Trademark Office
on _____ as Serial Number _____,

(2) the foregoing application and all other U.S. and foreign patent applications based thereon, including divisions, continuations, reissues, and extensions, and

(3) all patents granted on these applications.

I will provide my cooperation to enable the Assignee to enjoy the foregoing right, title, and interest to the fullest extent. Upon request at the expense of the Assignee, I agree to execute all papers, take all rightful oaths, testify in all legal proceedings including patent prosecutorial actions and infringement actions, and do all other such acts which may be necessary, desirable, or convenient for securing and maintaining patents on the foregoing invention or for perfecting title thereto in the Assignee. I authorize and request that these patents be issued to the Assignee.

I certify that I have the full right to convey the above rights.

Date (signature) _____, Assignor
(printed name) Pawel M. Gradzki

Sept. 17, 1997
Date (signature) X _____, Assignor
(printed name) Wen-Jian Gu

Date (signature) _____, Assignor
(printed name) Ihor T. Wacyk

State of _____)
County of _____)

On this 17th day of September, 1997 before me personally appeared Wen Jian Gu known to me to be the person(s) who executed the foregoing assignment and acknowledged to me that he/they executed this assignment of his/their own free will.

Notary Public

S:\BK\M012BKA1.WA0

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I certify that I have the full right to convey the above rights.

Sept. 15, 1997
Date

(signature) Pawel M. Gradzki, Assignor
(printed name) Pawel M. Gradzki

Date

(signature) _____, Assignor
(printed name) Wen-Jian Gu

Sept. 15, 1997
Date

(signature) Ihor T. Wacyk, Assignor
(printed name) Ihor T. Wacyk

State of New York
County of Nassau

On this 15th day of September, 1997 before me personally appeared Pawel M. Gradzki and Ihor T. Wacyk known to me to be the person(s) who executed the foregoing assignment and acknowledged to me that ~~he~~ they executed this assignment of ~~his~~ their own free will.

0286

EDWARD M. BLOCKER
Notary Public, State of New York
S:\BK\M012BKA1.WAO No. 30-4862779
Qualified in Nassau County
Certificate Filed in New York County
Commission Expires May 27, 1997

Edward M. Blocker
Notary Public