

FORM PTO-1594  
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*MRO*  
*9-30-99*

10-04-1999



U.S. Department of Commerce  
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To the Honorable Commissioner of Patents  
Please record the attached original document.

101161306

...N. BOX ASSIGNMENTS

1. Name of conveying party(ies): Alfons ENHSEN  
Eugen FALK  
Heiner GLOMBIK  
Siegfried STENGELIN

2. Name and address of receiving party(ies):

Name: Hoechst Aktiengesellschaft

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

Internal Address: Patent-und Lizenzabteilung

3. Nature of conveyance:

Street Address: Gebaude K 801

☒ Assignment ☐ Merger

City: Frankfurt am Main

☐ Security Agreement ☐ Change of Name

State: Germany Zip Code: D-65926

☐ Other

Additional name(s) & Address(es) attached?

Execution Date: September 9, 13, 15 and 16, 1999

☐ Yes ☒ No

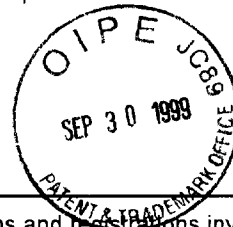
4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application:

A. Patent Application Number(s):

09/361,530

B. Patent Number(s):

Additional numbers attached? ☐ Yes ☒ No



5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Carol P. Einaudi

Internal Address: Finnegan, Henderson, Farabow, Garrett & Dunner, L.L.P.

6. Total number of applications and registrations involved: One

7. Total fee (37 CFR 3.41): \$40

☒

Enclosed (Please charge deficiency to deposit account)

☐

Authorized to be charged to deposit account

Street Address: 1300 I Street, N.W.

City: Washington

State: DC Zip: 20005-3315

8. Deposit account number: 06-0916

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Carol P. Einaudi

Reg. No. 32,220

*Carol P. Einaudi*  
Signature

*September 30, 1999*  
Date

Total number of pages including cover sheet, attachments and documents: 2

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02 FC:531

40.00 DP

PATENT  
REEL: 010275 FRAME: 0140

**ASSIGNMENT**

For valuable consideration, the receipt and adequacy of which is hereby acknowledged,  
I/we

- 1) Dr. Alfons ENHSEN, Birkenweg 4, 64572 Büttelborn, Germany
- 2) Dr. Eugen FALK, Völklingerweg 15, 60529 Frankfurt, Germany
- 3) Dr. Heiner GLOMBIK, Am Lotzenwald 42, 65719 Hofheim, Germany
- 4) Dr. Siegfried STENGELIN, Sachsenring 27, 65817 Eppstein, Germany

Citizenship: 1) - 4) German

hereby sell, assign, and transfer unto Hoechst Aktiengesellschaft

a corporation organized under the laws of Germany  
located at D-65926 Frankfurt am Main, Germany,

the entire right, title and interest in and to my/our application for Letters Patent of  
the United States, executed concurrently herewith /

Ser.-No.: 09/361,530

filed: July 27, 1999

entitled: **Hypolipidemic 1,4-benzothiazepine-1,1-dioxides**

and my/our entire right, title, and interest in and to all my/our inventions disclosed in said  
application for Letters Patent, and in and to all divisional or continuation applications that may  
be filed for United States Letters Patent for any of said inventions, and in and to all patents  
that may be granted on the foregoing applications, and I/we hereby agree, whenever  
requested, to communicate to said assignee, its successors and assigns, any facts known to  
me/us respecting said inventions, to testify in any legal proceeding, and to execute all  
applications or papers necessary to obtain and maintain proper patent protection on said  
inventions in the United States.

Inventor(s):

1st: Alfon Enhsen

Date: 09.09.99

2nd: Eugen Falk

Date: 13.09.1999

3rd: Heiner Glombik

Date: 15.9.99

4th: Siegfried Stengel

Date: 16-9-99

5th: \_\_\_\_\_

Date: \_\_\_\_\_

6th: \_\_\_\_\_

Date: \_\_\_\_\_