

9-27-99

10-05-1999

Docket No. RD-26,778

FORM PTO 1595  
1-31-92



101162076

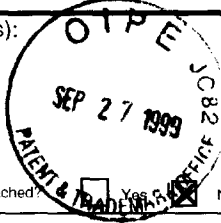
SHEET

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

James -NMN- Day  
Sukhendu Bikash Hait



2. Name and address of receiving party(ies):

Name: General Electric Company

Internal Address:

Street Address: One River Road

City: Schenectady State: NY ZIP: 12345

Additional name(s) & address(es) attached? ☐ Yes ☒ No

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: Sep 16, 1999 Sep 20, 1999

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

Sep 16, 1999 Sep 20, 1999

A. Patent Application No.(s)

09/371,450

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: General Electric Company

Internal Address: CRD Patent Docket Rm. 4A59

Bldg. K-1, Salamone

P.O. Box 8

Street Address: One Research Circle

City: Schenectady State: NY ZIP: 12301

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41).....\$40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number:

07-0868

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Robert T. Barker

Name of Person Signing

*Robert T. Barker*

Signature

September 21, 1999

Total number of pages including cover sheet, attachments and document 3

OMB No. 0651-0011 (exp 4/94)

Do Not detach this portion

Mail documents to be recorded with required cover sheet information to:

10/04/1999 DMGUYEN 00000155 070868 09371450

01 FC:581

40.00 CH

Commissioner of Patents and Trademarks  
Box Assignments  
Washington, D.C. 20231

## ASSIGNMENT

**FOR GOOD AND VALUABLE CONSIDERATION**, the receipt, sufficiency and adequacy of which are hereby acknowledged, the undersigned, do/does hereby:

**SELL, ASSIGN AND TRANSFER** to General Electric Company ("the Assignee), hereinafter referred to as GE, a New York corporation, acting through its GE Plastics component, with offices located at One Plastics Avenue, Pittsfield, Massachusetts, the entire right, title and interest for India, the United States and all foreign countries of the world, which are disclosed in the priority application for Letters Patent, which has been executed by the undersigned concurrently herewith and is entitled "Method of Crystallizing Polycarbonate Prepolymer" filed on 8/10/99, Patent Application Serial No. 09/371,450 such application and all divisional, continuing, substitute renewal, reissue and pending applications based on said original patent, which have been or shall be filed in India, the United States and all foreign countries of the world and which have been or shall be issued in India, the United States, and all foreign countries of the world; specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on provisional applications in the United States, or patent applications in India, the United States, and all foreign countries of the world;

**AUTHORIZE AND REQUEST** the issuing authority to issue any and all Indian, United States, and foreign patents granted to the Assignee;

**WARRANT AND COVENANT** that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned;

**COVENANT**, when requested and at the expense of the Assignee, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and pending applications based on said original patent; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the Assignee all facts known to the undersigned relating to such patents and the history thereof; and generally do everything possible which the Assignee shall consider desirable for vesting title to such patents in the Assignee, and for securing, maintaining and enforcing proper patent protection.

**TO BE BINDING** on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignee.

(Signature) [Signature]  
Name: James - NMN- Day

Date 9-16-99

STATE OF NEW YORK )  
 )ss:  
COUNTY OF SCHENECTADY )

BEFORE ME, the undersigned authority, on this 16<sup>th</sup> day of September, 1999, personally appeared James -NMN- Day, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he/she executed the same of their own free will for the purposes and consideration therein expressed.

SUSAN ZAMPIER  
Notary Public In the State of New York  
Qualified in Saratoga Co.  
No. 01ZA5C69047  
My Commission Expires Nov. 11, 2000

[Signature]  
Notary Public  
My Commission Expires:

(Seal)

(Signature) Sukhendu Bikash Hait  
Name: Sukhendu Bikash Hait

Date 09/20/99

STATE OF ALABAMA )  
 )ss:  
COUNTY OF TWOCALBOS )

BEFORE ME, the undersigned authority, on this 20 day of September, 1999, personally appeared Sukhendu Bikash Hait, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he/she executed the same of their own free will for the purposes and consideration therein expressed.

[Signature]  
Notary Public  
My Commission Expires: 9-24-00

(Seal)