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10-05-1999



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U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Tab settings

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

John Edward Hodgson  
David John Payne  
Stewart Campbell Pearson  
Kenneth H. Pearce, Jr.

*mdd*  
*10-1-99*

2. Name and address of receiving party(ies)

SmithKline Beecham Corporation  
One Franklin Plaza  
Philadelphia, PA. 19103

*OCT 1*

Additional names of conveying party(ies) attached?

☐ yes ☒ no

Additional name(s) and addresses attached?

☐ yes ☒ no

3. Description of the interest conveyed:

- ☒ Assignment; please record and return  
☐ Security Agreement  
☐ Other

- ☐ Merger  
☐ Change of Name

Execution Date: April 4, 24, 28 and May 28, 1998

4. Application number(s) or patent number(s). Additional Numbers attached? ☐ Yes ☒ No

A. Patent Application No.(s)

**08/921,209**

B. Patent No.

If this document is being filed together with a new application, the execution date of the application is: NO

5. Name and address of party to whom correspondence concerning document should be mailed:

ALLEN BLOOM, ESQ.  
4000 BELL ATLANTIC TOWER  
1717 ARCH STREET  
PHILDELPHIA, PA. 19103-2793  
TEL (609) 620-3214

6. Total number of applications and patents involved: ☒ 1

7. Total fee (37 CFR 3.41) .....\$40.00

8. ☒ Authorized to be charged to deposit account  
No. 50-0258

The Commissioner is hereby authorized to charge any additional fees under 37 CFR 1.16 or 1.17 which may be required by this paper, or credit any overpayment, to our Deposit Account No. 50-0258

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Heather S. Richman, Legal Assistant

*Heather S. Richman*  
Signature

*October 1, 1999*  
Date

Total number of pages including cover sheet, attachments, and document: [ 4 ]

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

513245.1.01 10/01/99 10:22 AM

**PATENT**  
**REEL: 010278 FRAME: 0196**

ASSIGNMENT

WHEREAS We, John Edward HODGSON of 260 Lapp Road, Malvern, Pennsylvania 19355, a citizen of United Kingdom; David John PAYNE of 618 Waterfall Way, Phoenixville, Pennsylvania 19460, a citizen of United Kingdom; Stewart Campbell PEARSON of 75 Highpoint Drive, Berwyn, Pennsylvania 19312, a citizen of United Kingdom; Kenneth H PEARCE, Jr. of 7 Anderson Avenue, Phoenixville, Pennsylvania 19426 a citizen of United States of America have made an invention entitled:

"NOVEL Div1b"

NOW, THEREFORE, in return for valuable consideration paid to us by SmithKline Beecham Corporation, a corporation organized under the laws of the Commonwealth of Pennsylvania and having its principal place of business at One Franklin Plaza, Philadelphia, Pennsylvania 19103, the receipt and sufficiency of which is hereby acknowledged, and intending to be legally bound, We do hereby assign unto the said SmithKline Beecham Corporation, its successors and assigns, the entire right, title and interest in and to the said invention, executed under 35 U.S.C. §111(a) or filed under 35 U.S.C. §111(b) application, and from which priority may be claimed from one or more 35 U.S.C. §111(b) applications, or any division, continuation and continuation-in-part of said application, and all Letters Patent of the United States and all foreign countries to be obtained therefor;

We further assign to the said SmithKline Beecham Corporation the right, optionally in its own name or in the names of its related companies, to apply for, obtain and maintain in all countries foreign to the United States, patent and/or Utility Model applications for said invention, including the full right to claim for any such application the benefits of any priority rights based on said executed United States application;

And We agree to execute further instruments (including provisional, divisional, continuation, continuation-in-part or reissue applications or other instruments) proper to effectuate the premises, this agreement to be binding upon our heirs, executors and administrators;

And We request the Commissioner of Patents and Trademarks of the United States, and any official of any country or countries foreign to the United States whose duty it is to issue patents on applications as aforesaid, to issue Letters Patent in accordance herewith.

Signed at Paris, France

  
\_\_\_\_\_  
John Edward HODGSON

Date: 28 MAY 1998

Signed at Upper Providence

Date: \_\_\_\_\_

John Edward HODGSON

Commonwealth of Pennsylvania )

) ss.:

County of Montgomery )

Before me, a Notary Public, personally appeared John Edward HODGSON known to me to be the person who executed the foregoing assignment and acknowledged it to be his/her act and deed.

Witness my hand and seal this \_\_\_\_\_ day of \_\_\_\_\_, 1998.

Signed at Upper Providence

*David John Payne*

David John PAYNE

Date: 28<sup>th</sup> April 98

Commonwealth of Pennsylvania )

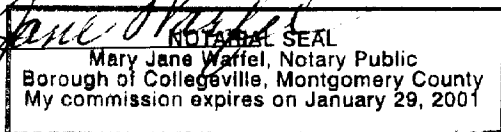
) ss.:

County of Montgomery )

Before me, a Notary Public, personally appeared David John PAYNE known to me to be the person who executed the foregoing assignment and acknowledged it to be his/her act and deed.

Witness my hand and seal this 28<sup>th</sup> day of April, 1998.

*Mary Jane Warfel*



Signed at Upper Providence

*Stewart Campbell Pearson*

Stewart Campbell PEARSON

Date: 4/24/98

Commonwealth of Pennsylvania )

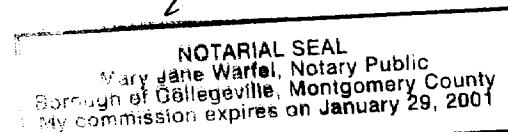
) ss.:

County of Montgomery )

Before me, a Notary Public, personally appeared Stewart Campbell PEARSON known to me to be the person who executed the foregoing assignment and acknowledged it to be his/her act and deed.

Witness my hand and seal this 24<sup>th</sup> day of April, 1998.

*Mary Jane Warfel*



Signed at Upper Providence

Kenneth H PEARCE, Jr.

Date: 5/7/98

Commonwealth of Pennsylvania )

) ss.:

County of Montgomery )

Before me, a Notary Public, personally appeared Kenneth H PEARCE, Jr. known to me to be the person who executed the foregoing assignment and acknowledged it to be his/her act and deed.

Witness my hand and seal this 4<sup>th</sup> day of May, 1998.

Mary Jane Warfel

NOTARIAL SEAL  
Mary Jane Warfel, Notary Public  
Borough of Collegeville, Montgomery County  
My commission expires on January 29, 2001